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EU-China Social Protection Reform Project
Component 3: Improving of legal framework and policy for social assistance

Second Six-months Component Status Report
17 May 2015 – 16 November 2015

Report submitted by the
Ministry of Labour and Social Policy
(MPiPS) - Poland,
Component 3 Coordinator



Social Protection Reform Project
中国欧盟社会保护改革项目

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Introduction

The second Component 3 Status Report presents activities of the Component 3 in the six months from 17 May to 16 November 2015.

Activities within Component 3 during the second period of implementation included mainly continuation of analyses of three topics agreed in Action plan for 2015 for Component 3, organisation of events for exchanging the opinions and best practices, as well as finalising the documents and reports foreseen for 2015.

The topics analysed in 2015 have been as following:

- 3.1.1. Legal framework on social assistance
- 3.2.1. Experiences on unified standards for calculation of Social Assistance benefits
- 3.3.1. Social Assistance specific groups - services for children, elderly, people with disabilities.

During the second period the EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance was the most important event organised within Component 3. The Forum has been very successful in bringing out issues and in highlighting the challenges of the reforms of social protection system. It was a good platform for exchanging good practices. The event showed how the development of social equality and inclusiveness has become a key policy issue in China. The discussions during the Forum focused on addressing such challenges as: how to improve the legal framework and policy of social assistance, how to make it more practical, more effective and closer to the citizens and how to provide the effective personalized assistance. A number of conclusions were drawn from the Forum, both from the keynote speeches and the sessions, but also from the open discussions.

There was also first *Panel discussion on a ministerial level* organised in June 2015. The panel aimed at analysing the topics and exchanging good practices.

During this period 1st Assessment report on Legal framework on Social Assistance was finalised and shared with Consortium members and Chinese partners (July 2015). There was also the best practices report by all Consortium member countries prepared. It was shared with Chinese stakeholders within *Background information on Social Assistance report* for the High Level Forum on Social Assistance held in Beijing in September 2015.

In order to evaluate the Chinese situation in an in-depth way, a number of local visits in China were organized. They aimed at providing information for situation analyses.

The experience of the second period of project implantation showed that the exact activities and titles adopted in the Grant application should be implemented in flexible and updating way to correspondent to changing reality in China. It is crucial for the Project to be adequate to the situation and Chinese needs. Therefore it is important that PAC approves the possibility to adjust the Grant applications task to the changing reality in China and needs of Chinese partners.

The other challenges for implementation of the Project within C3 during the second period resulted from the uncertainties related to procedures and division of responsibilities stemming, to a large extent, from the announced change of the institution running the Project Secretariat. Uncertainties connected to this transition and long decision making processes caused delays in Project activities, for which Component 3 Coordinator cannot take responsibility. Therefore there is an urgent need to solve the issue of ineffectiveness of the Project Secretariat administration.

Another area that is challenging for the Project implementation is – despite the fact of a really good cooperation and understanding with MoCA - postponing the confirmation of the dates of events.

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Contents

INTRODUCTION	1
LIST OF TABLES	4
TABLE OF ANNEXES	5
RELEVANT ACRONYMS	6
1 BACKGROUND INFORMATION ON THE COMPONENT ACTIVITIES AND RESULTS	8
1.1 RESULTS PURSUED	8
1.2 TOPICS TO BE CONSIDERED	8
1.3 BEST PRACTICES	9
1.4 BENEFICIARIES	10
1.5 PLANNING FOR OVERSEAS ACTIVITIES	10
1.6 HUMAN RESOURCES	10
1.7 VISIBILITY	11
2 ACTIVITIES	12
2.1 SITUATIONAL ANALYSIS	12
2.2 IDENTIFICATION AND REVIEW OF POSSIBLE EUROPEAN EXPERIENCE	13
2.3 CROSS-TOPIC ACTIVITIES	14
2.3.1 EUROPEAN COUNTRY REPORTS	14
2.3.2 PANEL DISCUSSIONS	14
2.3.3 WORKSHOPS ON THE ANALYSIS PHASE	15
2.3.4 COMPONENT MANAGEMENT	15
2.4 PILOT SITES/LOCAL VISITS	15
2.4.1 2015 EU-CHINA HIGH LEVEL FORUM ON SOCIAL PROTECTION REFORM CHALLENGES FOR LEGAL FRAMEWORK OF SOCIAL ASSISTANCE	17
3 PLANNING FOR NEXT PERIOD	(17 NOVEMBER – 16 MAY 2016) 19
3.1 2015 ACTIVITIES	19
3.2 TENTATIVE 2016 PLAN OF ACTIVITIES	20
3.2.1 COMPLETING 2015 PROGRAMME	20
3.2.2 CHOOSING TECHNICAL TOPICS FOR 2016	20
3.2.1 CONDUCTING OVERSEAS ACTIVITIES	21
3.2.1 VISIBILITY	22
3.3 REVISED GANTT	22
4 ANNEXES	23

List of Tables

Table 1 – Topics to be considered in 2015, Component 3	9
Table 2 – Situational analysis – as of 15 November 2015	12
Table 3 – C3, Selected local study visits	16
Table 4 – C3, Selected local study visits – TENTATIVE LIST	17
Table 5 –Topics to be considered in 2016	20
Topics to be considered, Component 3	25

draft

Table of Annexes

Annex 1

Topics to be considered, Component 3

Annex 2

National stakeholders for C3 component

Annex 3

Utilization of Human resources

Annex 4

Component 3 deliverables

Annex 5

Quarterly Dashboard 2015

Annex 6

Tentative work plan until February 2017

Annex 7

Revised GANTT – Component 3

draft

Relevant Acronyms

Acronym	Description
ACFTU	All-China Federation of Trade Unions
ACWF	All-China Women's Federation
ADECRI	Agence pour le Développement et la Coordination des Relations Internationales (Agency for the Development and Coordination of International Relations)
CEC	China Enterprise Confederation
CASS	China Academy of Social Science
CIRC	Chinese Insurance Regulatory Commission
CMBII	Programme for Strengthening the Basic Health Care Coverage
CPC	Communist Party of China
DPRC	Disabled People Rehabilitation Centres
EN3S	Ecole Nationale Supérieure de Sécurité Sociale (National School of Advanced Social Security Studies)
ENPI	European Neighbourhood & Partnership Instrument
ESF	European Social Fund
EU	European Union
EU MS	European Member State
EUD	European Union Delegation
HRD OP	Human Resources Development Operational Programme
IAC	Insurance Association of China
IASIA	International Association of Schools and Administration Institutes
IBRD	International Bank of Reconstruction and Development
ICT	Information and Communication Technology
ILO	International Labour Organization
IMC	Internal Management Committee
IPA	Instrument for the Pre-Accession Assistance
IPSAS	International Public Sector Accounting Standards
ISSA	International Social Security Association
LAC	Latin America & the Caribbean
M&E	Monitoring and Evaluation
MIDAS	Micro-simulation for the Development of Adequacy and Sustainability
MII	Minimum Insertion Income
MIS	Management Information System
MoA	Ministry of Agriculture
MoCA	Ministry of Civil Affairs
MoF	Ministry of Finance
MOFCOM	Ministry of Commerce
NHFPC	National Health and Family Planning Commission;
MoHRSS	Ministry of Human Resources and Social Security

NDC	Notional Defined Contribution
NDRC	National Development and Reform Commission
NGO	Non-Governmental Organization
NOP	National Operating Programmes
NRP	National Reform Programmes
NSR	National Strategy Reports
OECD	Organization for Economic Co-operation and Development
OHS	Occupational Health & Safety
OMC	Open Method of Coordination
OPHRD	Operational Programme Human Resources Development
PAC	Project Advisory Committee
SAFEA	State Administration of Foreign Experts Affairs
SAI	Shanghai Administration Institute
SAWS	State Administration for Work Safety
SOCIEUX	Social Protection European Union Expertise in development cooperation
ToR	Terms of Reference
UNDP	United Nations Development Programme
WB	World Bank

1 Background information on the Component activities and results

This report describes implementation of activities under the Component 3 – support MoCA on *Improving of legal framework and policy for social assistance* - of the EU-China Social Protection Reform Project. It covers the period 17 May 2015 to 16 November 2015.

1.1 Results pursued

Within Component 3 of the project there are 3 of 11 identified results - results R9 to R11 inclusive -, namely:

- Promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living;
- Developing of legal framework on unified standards for defining social assistance benefits, target groups, low income families; and,
- Improving care for poor rural people and disabled people as well public information and transparency on social assistance policy.

MoCA is the main stakeholder and beneficiary for Component 3 activities.

Topics being explored in 2015 will contribute to the R9 – 1 topic, R10 – 1 topic, R11 – 1 topic.

1.2 Analysed topics

The PAC meeting held on 21 April 2015 agreed on the topics to be considered within Component 3 activities. See Annex 1. Some adjustment has been made to take into account the priorities of MoCA since the elaboration of the Grant application form. Table 1 presents the topics selected for the implementation in 2015.

It is expected that for the next years the title and contents of the topics to be considered will not necessarily correspond to those contained in the Grant

Table 1 below presents the topics selected for implementation already from the year 2015.

Table 1 – Topics to be considered in 2015, Component 3

ID	DESCRIPTION	R.	DATE STARTS
1	Component 3 - Improving of legal framework and policy for social assistance		
3.1.1	Legal framework on Social Assistance	9	Apr 2015
3.2.1	Experiences on unified standards for calculation of Social Assistance benefits	10	Jul 2015
3.3.1	Social Assistance specific groups - services for children, elderly, people with disabilities, with a special focus on poor rural people	11	Jul 2015

According to the MoCA needs and expectations as stated in the meeting minutes from Sep 19th, 2015 (Annex 2) there is a significant need to support situational analysis by the local study visits¹ of Chinese experts. In June and July for the topic 3.1.1 five local study visits were organised for three Chinese experts. For the next two topics being analysed from July the local study visit is planned to be organised in November and December 2015.

1.3 Best practices

The implementation of the project proved that in order to increase the relevance and to improve the focus of exposure to European best practices under each of the related topics, the selection of European best practices should respond to the needs identified by the Chinese counterparts.

MoCA – main Chinese counterpart for Component 3 indicated several times (see minutes Annex 2) that the EU experience should be shared with MoCA and Chinese experts on a later stage when the situational analysis will be much more advanced.

Background report has been prepared by all Consortium member countries and shared with Chinese stakeholders within Background information on Social Assistance report. This has been presented before the High Level Forum on Social Assistance held in Beijing in September 2015.

Additionally the best practice report was prepared by short –term EU expert in September 2015 (for topic 3.1.1.) The next two reports (for topics 3.2.1. and 3.3.1.) will be prepared by two short-term EU experts after their missions in December 2015.

¹ Local study visits in China need a significant support from the Project Secretariat as the Beijing Office is not allowed to support such kind of activities mainly due to the lack of financial recourses.

1.4 Beneficiaries

The main Chinese stakeholder for Component 3 of the Project is the Ministry of Civil Affairs (MoCA). Department of Social Assistance in MoCA is the lead technical unit for Component 3.

Annex 6 to this report lists national stakeholders – target audience and beneficiaries – for C3 activities, as described in the report “Audience for Project results”.

The list could be updated in line with pilot sites which will be identified on a later stage according to the MoCA indication.

1.5 Planning for overseas activities

Component 3 work plan for 2015 has included one study visit for 8-days for 10 experts from MoCA to two EU countries. Due to the challenges concerning the administration of the Project Secretariat and setting the date of the study visit by MoCA (3 dates proposals, changed with 3 months) it was decided that this activity will be postponed to 2016 (possibly May 2016).

1.6 Human resources

The contract of the EU resident expert for Component 3, Ms Marzena Breza took effect on 23 December 2014. The EU resident expert of Component 3, within the Beijing Office was assisted by Ms Huo Lei and Mr Wenhui Wang. Chinese assistants experienced problems with receiving contracts on time, what should have been provided by Project Secretariat. The challenge concerning providing contracts and payment for assistants could influence the efficiency of the project implementation as well as credibility of the project.

For Component 3 five Chinese experts were allocated. Around 200 working days were allocated among CN experts in 2015². For the EU experts due to the contracting challenges less than 25 working days were allocated in 2015³. Additionally 200 w/d for experts outside the Consortium as subcontractors are foreseen in 200 w/d in total for the three components.

² In the project budget for Component 3 850 working days were foreseen for the Chinese short-term experts.

³ For EU experts 420 (from the Consortium member countries) working days are foreseen.

Annex 7 provides all detailed information on the human recourse involved in Component 3. This however does not include the recourse⁴ used for the High Level Forum: *2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance* as they were not communicated to the Component 3 Coordinator. It should be stressed that all Consortium member countries were represented during the event. 26 EU experts and officials participated to the event in total.

1.7 Visibility

Main visibility activities for the project are entrusted to its Secretariat under FORMEZ responsibility (pages 22 and 49 of the Grant Application).

Additionally during the period under review, MoCA posted on its website information concerning the Panel discussion held on 29 June 2015⁵.

Information on the High Level Forum on Social Assistance was published on several webpages outside China⁶.

On the occasion of the preparation for the Project opening event which took place in September 2015, C3 component (in line with C1 and C2) had prepared text and iconographic materials for the production of a project newsletter – as foreseen in the Grant application form and aide-memoire adopted during the April 2015 PAC meeting. Those were submitted to the Project Leader and the Project Secretariat.

The Project is based on the webpage of the FORMEZ⁷ that is used to advertise job openings and provide a calendar of events when they are being confirmed.

It is foreseen that by January 2016 a conference report will be submitted to the Consortium and Chinese stakeholders as an output of the event (High Level Forum on Social Assistance). The report should include the research papers and the speeches.

⁴ Component 3 Coordinator does not have information on the rules that has been applied for the EU speakers and panelists as far as the organizational and reimbursement issues are concerned.

⁵ <http://wss.mca.gov.cn/article/gzdt/201507/20150700842237.shtml>

<http://www.mpips.gov.pl/aktualnosci-wszystkie/art,5528,7308,minister-mleczko-odwiedzil-chiny.html>

⁶ <http://www.mpips.gov.pl/aktualnosci-wszystkie/art,5528,7387,ue-pomaga-chinom-w-dziedzinie-zabezpieczenia-spolecznego.html>,

<http://www.esn-eu.org/news/684/index.html>,

http://www1.seg-social.es/ActivaInternet/BuenasPracticas/REV_034798

⁷ <http://spr.formez.eu>

CHAPTER

2 Activities

The current chapter presents a summary of activities conducted during the period under Component 3.

It follows the structure of the Plan of activities adopted by the PAC meeting on 21 April 2015.

2.1 Situational analysis

The 2015 Activity plan for C3 endorsed by the PAC meeting on 21 April states: “For each of the three topics to be considered under the project Component 3 in 2015, the project will conduct a situational analysis of the Chinese circumstances”.

Situation analysis for the three topics were conducted by five Chinese experts selected jointly by MoCA and the C3 resident expert and shared with the C3 Component Coordinator.

Chinese experts experienced problems with receiving contracts on time, what should have been provided by Project Secretariat. The challenge concerning providing contracts and payment for Chinese experts could influence the efficiency of the project implementation as well as credibility of the project.

Table 2 summarizes the state of affairs concerning the situation analysis conducted during the period under review.

Table 2 – Situational analysis – as of 15 November 2015

TOPIC	TITLE	INCUBENTS	OUTPUT	OBSERVATIONS
3.1.1	MAIN CN EXPERT RESEARCH 3.1.1 Legal framework on Social Assistance subtopics: 2;4; RESEARCH 3.1.1 Legal framework on Social Assistance subtopics: 1;3;	Grace Guo Yu Frank Cao Feng Alena Kong Fanhua	1 report CN and EN ver- sions	FIRST BATCH

	RESEARCH 3.1.1 Legal framework on Social Assistance subtopic: 5;			
3.2.1	Experiences on unified standards for calculation of Social Assistance benefits	Grace Guo Yu Gong Shuge	1 report CN and EN ver- sions	SECOND BATCH
3.3.1	Social Assistance specific groups - services for children, elderly, people with disabilities, with a special focus on poor rural people	Zuo Ting Gong Shuge	1 report CN and EN ver- sions	SECOND BATCH

Due to the absence of a Project dedicated website, the corresponding reports are temporarily published on the Resident expert personal website, and can be accessed from <http://mbrezachina.eu/>

While the official requirement of the Project is to prepare reports in English, all authors agreed that the impact of their work would be more immediate if a Chinese version was also available for the direct use of national stakeholders. The team leader, who referred the matter back to the Project Leader, was requested to establish a procedure to linguistically fine-tune the English version of the reports. No practical solution has been however identified yet, which delays the official publication of finalized reports.

The first report due to the tight deadlines was shared with the HLF participants with no specific linguistic correction.

2.2 Identification and Review of possible European experience

Component 3 identification of the most suitable best practices from the EU to China was provided in strong cooperation and consultation with MoCA. The first experience on the EU practices in the field of Component 3 was shared with MoCA and other Chinese experts on the occasion of the first panel discussion in June 2015. The exchange was limited to a video conference with Ms Zofia Czepulis-Rutkowska, expert in the field of legal provisions of social assistance in Europe (See annex 3). This kind of exchange was done on a voluntary basis since MoCA at that time was in favour to

postpone any EU experts visits having in mind that the main focus should be on the situational analysis in China before asking on the most suitable experience and practise from the EU to be adaptable in China.

The comprehensive exchange of the good practices from the EU was done on the occasion of the High Level Forum. See http://mbrezachina.eu/HLF_2015/

2.3 Cross-topic activities

2.3.1 European country reports

Within the framework of Component 3, MoCA has expressed a strong opinion that they would prefer to be supported by good practices from specific EU countries (also including those outside of the Consortium) rather than using a general approach when sharing the experience with China. Additionally it was stressed by MoCA that the priority should be given to providing a solid and comprehensive analysis on Chinese Social Assistance status of affairs before the process of EU-China exchange should begin.

Additionally, Component 3 activities will have a specific practices exchange during 1st panel discussion⁸ (June 2015) and the 2nd panel discussion tentatively scheduled for December 4th, 2015, as well as in the reports of short term experts after their mission to China.

2.3.2 Panel discussions

The C3 activity plan for 2015 as adopted by the PAC meeting on 21 April 2015 foresees two panel discussions aiming at reviewing the topics analyzed during this year. Three topics for C3 were split into two batches, the first one for topic 3.1.1 *Legal framework of Social Assistance* and the second for 3.2.1 *Experiences on unified standards for calculation of Social Assistance benefits* and 3.3.1 *Social Assistance specific groups - services for children, elderly, people with disabilities, with a special focus on poor rural people*.

The first panel discussion was organised at MoCA premises on 29 June 2015. See Annex 8.

⁸ No EU expert mission has been organised due to the decision of MoCA to ask EU to come to Beijing on a later stage of the situation analysis in China

Second panel discussion scheduled for November 2015, on the request of MoCA jointly with the Chinese experts was postponed to December 4th, 2015 (TBC).

In the second panel discussion there is foreseen a participation of two short-term EU experts: one for each topic to be discussed namely 3.2.1 and 3.3.1.

2.3.3 Workshops on the analysis phase

The work plan for C3 provides a Workshop on the three topics which were under the consideration in 2015. However due to the MoCA decision on holding the second panel discussion in December the workshop has been postponed to February 2016.

2.3.4 Component management

The team of C3 in Beijing has been limited to C3 Resident Expert from August 2015. As reported in the Aide-memoire submitted to the PAC meeting on 21 April, C3 was supported by an Assistant, however due to the problems with the contract, the Assistant resigned by the end of July. Due to the C3 resident expert work overload⁹ an operational expert has been selected, however the Project Secretariat proposed to him a position of a C3 Assistant. He has agreed to act as an Assistant but to very much limited working days since it does not correspond with his original expectations.

Cooperation with MoCA counterparts is functioning on a daily basis- regular joint meetings are held to review all pending, outstanding or proposed questions. The Component deliverables anticipated in the Plan of activities were produced. Annex lists those in the order announced in the Plan of activities. The whole list of meeting minutes with MoCA see http://mbrezachina.eu/MoCA_meetings/

It is expected that the changes in the organisation of the Secretariat proposed for implementation as from 1st January 2016 will further enhance the assistance and support for the Component 3- and eliminate the obstacles related to the contracts concluding and payment for staff and experts.

2.4 Pilot Sites/Local Visits

MoCA has not decided on the pilot sites yet. The main reasons for that the decision was not taken yet was to make situational analysis in China much more advanced first and then continue with a concrete proposal on province/city for pilots experience.

⁹ Deadlines for the assessment reports and the preparation to the High Level Forum held in Beijing in Sep 2015.

Even no choice on pilots has been made till now, MoCA focused on the regional and local challenges and experiences. The aim was to make situational analysis as comprehensive and suitable to the current situation in different provinces which have some autonomy on social assistance measures. Therefore RE C3 was asked to organise local study visits for Chinese experts for the first and second batch of the situational analysis¹⁰.

Concerning C3 component, local study visits were identified jointly with MoCA. For the first situational analysis phase six local visits have been performed as indicated in table 3.

Table 3 – C3, Selected local study visits

No	Expert full name	City to be visited	Date FROM - TO
1.	KONG Fanhua (Alena)	Guangzhou	June 12
2.	KONG Fanhua (Alena)	Nanchang	June 17-18
3.	GUO Yu (Grace)	Wuhan	June 25-26
4.	GUO Yu (Grace)	Qingdao	July 1-2
5.	CAO Feng (Frank)	Lanzhou	June 17-18
6.	CAO Feng (Frank)	Chengdu	June 18-19

All three Chinese short-term experts have made case studies which have been a part of the assessment report done with topic 3.1.1. Additionally respective mission reports can be found in Annex 4.

The situational analysis and the preparatory work for the High Level Forum were supported by a C3 RE missions. See Annex 5.

For the second research batch several local study visits have been proposed. However, some delay in decisions put this initiative at a high risk.

The tentative list of local visits for the Chinese short-term experts is included in the Table 4.

¹⁰ As these was a reasonable request from MoCA expressed after the PAC meeting to make it happened C3 RE made it as a subject of several communication with the Component 3 Coordinator, Project Leadership and the Project Secretariat – where the motivation for local visits was fully explained.

Table 4 – C3, Selected local study visits – TENTATIVE LIST

No	Expert full name	City to be visited	Date FROM - TO
1.	Xining, Qinghai Province	Zuo Ting	Nov-Dec 2015
2.	Zhangjiajie, Hunan Province	Zuo Ting	Nov-Dec 2015
3.	Beijing	Gang Shuge*	Nov-Dec 2015
4.	Shenyang	Gang Shuge*	Nov-Dec 2015
5.	Tianjin	Gang Shuge*	Nov-Dec 2015
6.	Dalian	Guo Yu	Nov-Dec 2015
7.	Shanghai	Guo Yu	Nov-Dec 2015

*these missions have not been supported by the Project Secretariat.

The selection of priority topics, methods of work, identification of cities/provinces organised in a consultation with MoCA and its support by local MoCA bureaus.

2.4.1 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance

In April 2015 MoCA expressed the willingness to organise the High Level Event in Beijing due to the limited possibility at the ministerial level to participate in the events organised in Europe. The final date of the event was shared with the Consortium in late July.

On 15th-16th of September 2015 with more than 100 participants (ministers, policy makers, experts, practitioners and Chinese officials from MoCA, NDRC and MoF) and with close cooperation with MoCA and EUD, 2015 EU-China High Level Forum on Social Protection Reform on Challenges for Legal Framework of Social Assistance took place. The 2 days event was accompanied by a Launch Event of the EU-China SPRP. The detailed program and a background note see Annex 9.

Preparing the HLF, the Component 3 Coordinator, asked Consortium Member Countries to submit, reports on social assistance issues (C3 background information on Social Assistance country reports).

For the time of the HLF the first assessment report on Legal framework on Social Assistance in China was shared with all participants – EU and CN stakeholders.

The HLF has given an excellent opportunity for a High Level Dialog between the EU and China.

All Consortium member countries has been represented by speakers and panelist. Four ministerial level officials (from Italy, Poland, Romania and Spain) have given a contribution during the first day of the event. The Minister of Civil Affairs Mr. Lu and Deputy Minister of Civil Affairs Mr. Gong have expressed great interest to the Project itself and to knowledge exchange in the field of social assistance. This was performed by an initiative to co-host a VIP meeting together with Mr. Hans Dietmar Schweisgut, Ambassador of the European Union to the People's Republic of China.

All the HLF outputs will be submitted within conference report (foreseen for January 2016). For all background materials for the HLF see: http://mbrezachina.eu/HLF_2015/

3 Planning for next period (17 November – 16 May 2016)

Over the next 6 months of the Project, the programme of activities for 2015 will be completed, and the action plan for 2016 will start being implemented. The action plan for 2016 is expected to be approved by the next PAC meeting, to be held at a time to be specified – possibly at the beginning of the year 2016.

C3 programme implementation for the last part of 2015 should not be hampered by the termination of FORMEZ services as Project Secretariat – complete withdrawal foreseen by 31 December 2015 – since arrangements were made to ensure that commitments taken will be honoured within the limits applied by FORMEZ itself – notably concerning contracts, payments, and local study visits organisations (transportation, accommodation, ect.)

3.1 2015 Activities

Over the six weeks to run between the date of this report and the end of the year 2015, most of the outstanding activities will be completed according to the Plan of activities approved by the PAC meeting on 21 April, with two notable exceptions:

- The 3-topics workshop initially foreseen for the month of December 2015 will be held in February 2016, to guarantee more time for preparation. It is hoped that successor arrangements for the Secretariat will allow for this event to be organized in a suitable manner, notably for the recruitment and placement of European experts¹¹;
- - Study visit in the EU planned for 2015 was postponed to 2016 (possibly May).

Annex 11 reproducing the Component 3 dashboard (self-monitoring) for the 3rd quarter of 2015 highlights the activities to be completed between the time of writing this report and the end of the current year. Additionally in Annex 10 there are deliverables of Component 3 presented for the time of drafting this report.

¹¹ As soon as the new Secretariat for Component 3 will be known the TOR for the EU experts will be submitted to offer Chinese stakeholders mainly MoCA most relevant experience on the topics discussed.

3.2 Tentative 2016 Plan of activities

The issue of 2016 planning was a subject of meetings with MoCA on September 28th and November 5th, 2015. The main idea is to start to use the result approach. In 2015 one topic from all three results was explored (in total 3 topics). In 2016 the approach suggested by MoCA relates to the effort already done in terms of the 3 results of the Project. This means that next year will focus on the legalization of social assistance, which, as stressed also by the high level policy makes from China during the High Level Forum, is the main priority for MoCA.

Annex 12 reproduces the revised work plan for C3 proposed activities, covering the period until February 2017.

The following paragraphs briefly address the following issues:

- Completing 2015 programme;
- Choosing technical topics for 2016;
- Conducting overseas activities;
- Visibility.

3.2.1 Completing 2015 Programme

The Action plan for 2015 should be completed with two exceptions underlined in point 3.1.

3.2.2 Choosing technical topics for 2016

During preliminary consultations with MoCA it was proposed to focus on three topics as included in the table 5. The list however could be updated when the outlines for the respective reports will be discussed possibly in January 2016.

Table 5 – Topics to be considered in 2016

Result	Topic	Title	Observations
9	3.2.1	Governance framework for Social Assistance administration and management process	
9	3.3.1	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	

9	3.4.1	Monitoring and evaluation of Social Assistance schemes	
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Based on the experience from the year 2015, two batches of panel discussions have been scheduled for 2016. The first one is tentatively scheduled for July and the second one for November 2016

These Panel discussions would gather the Chinese experts dealing with the respective situational analysis, representatives of the interested Chinese stakeholders and the Component management. Consideration will be given to the possibility of arranging videoconference for the event, to facilitate participation by interested EU Member states specialists.

To support the Panel discussions sessions and in the production of subsequent panel reports – the preliminary set of topical reform proposals to follow with the corresponding requirement to deepen knowledge on most relevant European best practices will be prepared – ad hoc support will be provided by 1 Chinese and 1 European expert.

3.2.1 Conducting overseas activities

The possible overseas activities for Component 3, as preliminary discussed with MoCA, will include: a one 10-days study visit and 2 weeks training in 2016. It is necessary to analyse the possibility to meet the expectations of MoCA to have the exchange of experiences also with non-Consortium EU countries.

Study visit to the EU within the EU-China SPRP is proposed for May 2016 (possibly to Poland and Romania). It is an activity which mainly will support the 2015 situational analysis performance. The details of the agenda and the program will be decided when the second panel discussion is completed – Dec. 2015/Jan. 2016.

The study visit which enables a dialog on the policymaking level as well as among practitioners will focus on legal framework; minimum standards of living; specific groups.

2 weeks training is foreseen for September/ October 2016 -to maximum three countries for up to 20 participants.

The topics for the training will focus on legal framework of social assistance and will be related to the topics from 2015 and 2016 of Component 3.

The details on the subject of the training and the activities expected will be known by the end of 2015.

Since in Component 3 has not performed the activity in Europe in 2015 it should be stressed that based on the Component 1 experience (in total 3 overseas activities in 2015) an issue of international flights limitations for MoCA officials could possibly arise when considering the activities in 2016 and following years. It should be a matter of possible project amendment.

3.2.1 Visibility

The new arrangement to be set up for the Secretariat should also include the visibility of the activities from the beginning of the Project. This jointly with the conference report on the High Level Forum would allow to present the activities performed and foreseen in the field of social assistance.

3.3 Revised GANTT

Implementation of 2015 C3 plan of activities as well the proposals for C3 2016 activities has been included in the revised C3 GANTT form. See Annex 13.

2nd Draft, 16 November 2015

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ANNEXES

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Annex 1
Topics to be considered, Component

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Topics to be considered, Component 3¹²

Result	#	Topic
R9	3.1.1	Legal framework on Social Assistance
	3.1.2	Governance framework for Social Assistance administration and management process
	3.1.3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance
	3.1.4	Monitoring and evaluation of Social Assistance schemes
R10	3.2.1	Experiences on unified standards for calculation of Social Assistance benefits
	3.2.2	Identification of Social Assistance target groups
	3.2.3	Overlapping and “cliff” effect management and policy framework
	3.2.4	Procedures and methods for requirement verification within Social Assistance system at central, local, urban and rural level
R11	3.3.1	Social Assistance specific groups - services for children, elderly, people with disabilities, with a special focus on poor rural people
	3.3.2	Social Assistance services for poor people and families – service delivery approach
	3.3.3	Communication techniques and channels of public information on Social Assistance policy

¹² Incorporating the changes agreed upon between MoCA and Component 3, subsequently endorsed by the PAC on its 21 April meeting.

Annex 2
National stakeholders for C3 component

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Target Groups, Component 3	Final beneficiaries, Component 3
High level officials of NDRC	Rural people / farmers
High level officials, managers and staff of MoF	Rural landless households
High level official of managers and staff MoCA	Elderly / People in retirement age
High level officials of MoHRSS	Children (aged less 16)
High level officials of MoA	People with disabilities (physically or mentally)
High level officials, managers and staff of NHFPC	Patients
High level officials, managers and staff of LAO	Migrant workers
Members of CIRC	Indigent people
Representatives of ACWF	Unemployed people
Representatives of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC representatives	
Members of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC	
Local administrators, officials and staff *	

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EU-CHINA SOCIAL PROTECTION REFORM PROJECT COMPONENT THREE - MONITORING OF HUMAN RESOURCES - 2015 SITUATION AT

#	CATEGORY			POSITION	DURATION W/D		INCUMBENT	INITIAL / REMAINING W/D ALLOCATION		TIME-SHEET	OBSERVATIONS
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT		CN EXPERT	EU EXPERT		
								280	100	LAST IN	
2	X			CN EXPERT (OPERATIONS COORDINATOR)	27		WENHUI WANG (WILLIAM)	253			NEED FOR A CONTRACT JULY-DEC 2015 (7 days worked in July in Aug without contract)
3			X	COMPONENT ASSISTANT	66		HUO LEI (MONICA)				NEED FOR A CONTRACT FORMEZ RECEIVED TOR BY MID APRIL, 2015
4	X			RESEARCH 3.1.1 LEGAL FRAMEWORK OF SOCIAL ASSISTANCE - SUBTOPIC 5.	30		FANHUA KONG (ALENA)	223			NEED FOR AN OPERA CONTRACT FORMEZ RECEIVED TOR BY MID APRIL, 2015 FINAL REPORT FOR TOPIC 3.1.1 JULY, 2015

5	X		<p>RESEARCH 3.1.1 LEGAL FRAME- WORK OF SOCIAL ASSISTANCE - SUBTOPICS 2, 4 AND COORDINA- TION 3.1.1. 3.2.1 EXPERIENCE ON UNIFIED STANDARDS FOR CALCULATION OF SOCIAL ASSIS- TANCE BENEFITS, SUBTOPIC 1 AND COORDINATION. 3.3.1 SOCIAL AS- SISTANCE FOR SPECIFIC GROUPS – SERVICES FOR CHILDREN, EL- DERLY, PEOPLE WITH DISABILITIES, WITH A SPECIAL FOCUS ON POOR RURAL PEOPLE - SUBTOPIC 4.</p>	91	36	GUO YU (GRACE)	132				<p>NEED FOR AN OPERA CON- TRACT FOR 45 DAYS FIRST CON- TRACT FOR 36 DAYS APRIL - JUNE 22, 2015 FINAL REPORT FOR TOPIC 3.1.1 JULY, 2015 FINAL REPORT FOR TOPIC 3.2.1 DECEMBER, 2015 FINAL REPORT FOR TOPIC 3.3.1 DECEMBER 2015</p>
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6	X			RESEARCH 3.1.1 LEGAL FRAME- WORK OF SOCIAL ASSISTANCE - SUBTOPICS 1, 3.	30		CAO FENG (FRANK)	102			NEED FOR AN OPERA CON- TRACT FORMEZ RE- CEIVED TOR BY MID APRIL, 2015 FINAL REPORT FOR TOPIC 3.1.1 JULY 31ST, 2015
7	X			RESEARCH 3.2.1 EXPERIENCE ON UNIFIED STAND- ARDS FOR CALCU- LATION OF SOCIAL ASSISTANCE BEN- EFITS, SUBTOPIC 2 RESEARCH 3.3.1 SOCIAL ASSIS- TANCE FOR SPE- CIFIC GROUPS – SERVICES FOR CHILDREN, EL- DERLY, PEOPLE WITH DISABILITIES, WITH A SPECIAL FOCUS ON POOR RURAL PEOPLE SUBTOPIC SO- CIALSUBTOPICS 1, 2 AND COORDINA- TION.	45		ZUO TING	57			NEED FOR AN OPERA CON- TRACT FORMEZ RE- CEIVED TOR BY JUNE 12TH, 2015 FINAL REPORT FOR TOPIC 3.2.1 DECEMBER, 2015 FINAL REPORT FOR TOPIC 3.3.1 DECEMBER, 2015

	X		3.2.1 EXPERIENCE ON UNIFIED STANDARDS FOR CALCULATION OF SOCIAL ASSISTANCE BENEFITS - SUBTOPIC 3 3.3.1 SOCIAL ASSISTANCE FOR SPECIFIC GROUPS – SERVICES FOR CHILDREN, ELDERLY, PEOPLE WITH DISABILITIES, WITH A SPECIAL FOCUS ON POOR RURAL PEOPLE SUBTOPICS 3	30		GANG SHUGE	27			NEED FOR AN OPERA CONTRACT FORMEZ RECEIVED TOR BY Aug 27th, 2015 FINAL REPORT FOR TOPIC 3.2.1 DECEMBER, 2015 FINAL REPORT FOR TOPIC 3.3.1 DECEMBER, 2015
12		X	CONSULTANCY SOCIAL ASSISTANCE ON THE OCCASSION OF HIGH LEVEL EVENT	7		TBD	93		IN CHINA	MS ZOFIA CZEPULIS-RUTKOWSKA, EU EXPERTS FOR HIGH LEVEL FORUM ON SOCIAL ASSISTANCE
13		X	TWICE TWO WEEKS SUPPORT PANEL DISCUSSIONS	20		TBD	73		IN CHINA	FOR SECOND PANEL DISCUSSION ONLY

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EU-CHINA

Social Protection Reform Project
中国欧盟社会保障改革项目

COMPONENT 3 - LIST OF REPORTS AS OF OCT. 2015

ALL AVAILABLE ON THE www.mbrezachina.eu

Component 3

DATE	TITLE	AUTHOR	OBSERVATIONS
Apr 2015	Audience of the Project results – C3	Marzena Breza	
Apr 2015	Baseline data – C3	Grace (Guo Yu)	
June 2015	Component Status report	MPiPS & Marzena Breza	
June/July 2015	Panel discussion - meeting report	Marzena Breza & Comp Assistant	
July/Aug 2015	Assessment report on Legal Framework on Social Assistance	Feng Cao Grace Guo Fanhua Kong	Shared with HLF participants Also in Chinese version
July 2015	Mission report - Nanchang	Fanhua Kong	
July 2015	Mission report - Qingdao	Guo Yu	
July 2015	Mission report - Wuhan	Guo Yu	
July 2015	Mission report – Sichuan	Cao Feng	

July 2015	Mission report - Gansu	Cao Feng	
Aug 2015	Background information on Social Assistance - report	MPiPS & Consortium	Shared with HLF participants Also in Chinese version
Aug 2015	Mission report - Guizhou	Marzena Breza	Background papers also in Chinese
Oct 2015	BEST PRACTICES REPORT – mission report&conclusions on the High Level Forum	Zofia Czepulis-Rutkowska	Prefinal draft report
Oct 2015	Mission report - Guangzhou	Marzena Breza	Background papers also in Chinese

Marzena Breza, Oct 22nd, 2015

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Component 3 - Improving of legal framework and policy for social assistance						
Expected Results	Topics	Activities	Inputs (ex-pecte/actual)	Type of Output	Deadline as planned	Curr
R.9 The capacity of the MoCA for promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living are strengthened; the skills of local officials in policy transmission and implementation are upgraded.	3.1.1 Legal framework on Social Assistance	Situational Analysis	C3 RE, 3 STE (E)	1 Report (E) (analysis and suggestions) supported by several local study visits across China - 6 in total	July,2015	
		Identification and review of possible relevant EU experience	1 STE EU (E)	1. Comments included in the meeting report;	July,2015	
		Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 3 STE CN (E)	meeting report with recommendations	July,2015	

High Level Forum on Social Assistance in Beijing	MoCA, Consortium	1. Consortium Background report; 2. Conference background materials	September, 2015	
High Level Forum on Social Assistance in Beijing	C3 RE, 1 EU STE, MoCA, Consortium	1. Conference report with recommendations	December, 2015	S
Training / Study assignments to EU countries	MoCA, C3 RE, CN Experts	Study visit to Poland and Czech Republic & mission report	September 23-30	PO 20
Specific analysis of relevant EU experience	1 STE EU (E)	Preliminary reform proposals	October 31, 2015	POS for J So

	Workshop with stakeholders on the analysis phase	MoCA, C3 RE, STE CN (E)	1 Report (E) Draft 1	December 31, 2015	POS FOR AN SO
	Elaboration of reform proposals	1 STE CN (E)	Preliminary reform proposals	December 31, 2015	PO F RU S
<p>Result 10: The legal frameworks on</p> <p>a) formulation of unified standards for the estimation and calculation of social assistance benefits,</p> <p>b) recognition of social assistance target groups</p> <p>c) identification of low-income families are consolidated</p> <p>3.2.1 Experiences on unified standards for calculation of Social Assistance benefits</p>	Situational Analysis	C3 RE, 3 STE CN (E)	1 Report (E); supported by several local study visits across China (TBC)	December, 2015	SO
	Identification and review of possible relevant EU experience	1 STE EU (E)	Report on best practices	November/December, 2015	S
	Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 3 STE CN (E)	1 Report (E)	December, 2015	SO
	Specific analysis of relevant EU experience	1 STE EU (E)	Preliminary reform proposals	January, 2016	SO

		Workshop with stakeholders on the analysis phase	MoCA, C3 RE, 3 STE CN (E)	1 Report (E) Draft 1	January, 2016	So
		Elaboration of reform proposals	1 STE CN (E)	Preliminary reform proposals	February, 2016	S
<p>Result 11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level</p> <p>3.3.1 Social Assistance specific groups - services for children, elderly, people with disabilities, with a special focus on poor rural people</p>		Situational Analysis	C3 RE, 3 STE (E)	1 Report (E); supported by several local study visits across China (TBC)	December, 2015	So
		Identification and review of possible relevant EU experience	1 STE EU (E)	Report on best practices	December, 2015	S
		Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 3 STE CN (E)	1 Report (E)	December, 2015	So
		Specific analysis of relevant EU experience	1 STE EU (E)	reports delivered when the situational analysis finished	January, 2016	So

Workshop with stakeholders on the analysis phase	MoCA, C3 RE, 3 STE CN (E)	1 Report (E) Draft 1	February, 2016	So
Elaboration of reform proposals	1 STE CN (E)	Preliminary reform proposals	February, 2016	S

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Component 3 - Overall Plan of Activities - March 2015 - February 2017 - revision November 2015

ID	DESCRIPTION	Y 1													Y 2													Y 3	
		S 1						S 2							S 1						S 2							S 2	
		M 1	M 2	M 3	M 4	M 5	M 6	M 7	M 8	M 9	M 10	M 11	M 12	M 13	M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27	
		XII 14	I 15	II 15	III 15	IV 15	V 15	VI 15	VII 15	VIII 15	IX 15	X 15	XI 15	XII 15	I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17	
						PD			HLE			PD	WS				PD					WS							
0.1	Selection of sub-topics to be covered under specific year				Y1	Y1					Y2	Y2	Y2									Y3	Y3	Y3					
0.2	TOR, selection, recruitment CN & European Experts				Y1	Y1							Y2	Y2											Y3	Y3			
Identification Phase																													
3.0	Preparation of brief diagnosis, establish baseline data, analysis audience of project results (macro-activity 0.1)																												
3.1.	Situational Analysis																												
3.1.1	In-depth analysis on the topics 3.1.1;																												
3.1.2	In-depth analysis on the topic 3.2.1; 3.3.1																												
3.1.3	In-depth analysis on the topic 3.1.2; 3.1.3; 3.1.4																												
3.1.4	Preparation of the Situational Analysis Reports for topics 3.1.1;								LSV																				
3.1.5	Preparation of the Situational Analysis Reports for topics 3.2.1; 3.3.1												LSV																
3.1.6	Preparation of the Situational Analysis Reports for topics 3.1.2; 3.1.3; 3.1.4																												

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