



Fifth Six-months Component 3 Status Report

17 November 2016 – 16 May 2017

**Report submitted by the
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(MRPiPS) - Poland,
Component 3 Coordinator**

DRAFT



EU-CHINA

Social Protection Reform Project
中国-欧盟社会保护改革项目

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Relevant Acronyms

Acronym	Description
ACFTU	All-China Federation of Trade Unions
ACWF	All-China Women's Federation
ADECRI	Agence pour le Développement et la Coordination des Relations Internationales (Agency for the
CEC	China Entreprise Confederation
CASS	China Academy of Social Science
CIRC	Chinese Insurance Regulatory Commission
EN3S	Ecole Nationale Supérieure de Sécurité Sociale (National School of Advanced Social Security
ESF	European Social Fund
EU	European Union
EU MS	European Member State
EUD	European Union Delegation
IAC	Insurance Association of China
IASIA	International Association of Schools and Administration Institutes
IBRD	International Bank of Reconstruction and Development
ICT	Information and Communication Technology
ILO	International Labour Organization
IMC	Internal Management Committee
ISSA	International Social Security Association
LAC	Latin America & the Caribbean
M&E	Monitoring and Evaluation
MIS	Management Information System
MoA	Ministry of Agriculture
MoCA	Ministry of Civil Affairs
MoF	Ministry of Finance
MOFCO	Ministry of Commerce
NHFPC	National Health and Family Planning Commission;
MoHRSS	Ministry of Human Resources and Social Security
NDRC	National Development and Reform Commission
NGO	Non-Governmental Organization
OECD	Organization for Economic Co-operation and Development
OHS	Occupational Health & Safety
OPHRD	Operational Programme Human Resources Development
PAC	Project Advisory Committee
SAFEA	State Administration of Foreign Experts Affairs
SAI	Shanghai Administration Institute
SAWS	State Administration for Work Safety
SOCIEUX	Social Protection European Union Expertise in development cooperation
UNDP	United Nations Development Programme

Introduction

The fifth Component 3 Status Report presents activities of the Component 3 in the six months from 17 November 2016 to 16 May 2017.

Activities within Component 3 during the fifth period of implementation included mainly analyses of three topics agreed in Action plan for 2017 for Component 3, organisation of events for exchanging the opinions and best practices, preparing the documents and reports foreseen for 2017, as well as finishing the activities planned for 2016.

The topics analysed in the reviewed period have been as following:

- 3.2.2 Optimization of social assistance – procedures and eligibility criteria for social assistance benefits
- 3.2.3 Coordination of overall social assistance resources
- 3.2.4 Fraud in social assistance: identification, coping strategy and prevention

The main priority was to complete the second result of the C3 Project (*R10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups c) identification of low-income families are consolidated*).

In the reviewed period C3 has been focusing on the extended exchange of EU practices and recommendations on the occasion of the 2nd C3 Workshop supported by 3 EU senior experts.

The 2nd C3 Workshop was hosted by MoCA on December 13th, 2016. The main issues presented and discussed were dedicated to the three research topics and focused on governance in social assistance, policy transmission and implementation between and by different institutional and social assistance level.

Component 3 was working on 2017 planning together with Component 3 stakeholders mainly MoCA and Consortium Member Countries. C3 was also preparing the overseas activities for the second half of the year: training and study visits.

In the reviewed period C3 coordinated and published all 2016 research outputs in the three Volumes separately in English and Chinese. The implementation of the Component 3 2017 work plan has been initiated by starting the research on assessment reports within three selected topics performed by Chinese experts.

The visibility of the Project has been improved thanks to better functioning of the project website and creating a special column on MoCA's official website dedicated to C3. Component 3 has prepared bilingual newsletter during the period under review.



The period under review was therefore also characterized by the continued excellent collaboration between MoCA, the C3 based project team and Polish Ministry of Family, Labour and Social Policy.

Monika Szostak

Ministry of Family, Labour and Social Policy

Poland

1.1 Background information on the Component activities and results

This report describes implementation of activities under the Component 3 – support MoCA on improving of legal framework and policy for social assistance - of the EU-China Social Protection Reform Project. It covers the period from 17 November 2016 to 16 May 2017.

1.1.1 Results pursued

Within Component 3 of the project there are 3 of 11 identified results - results R9 to R11 inclusive, namely:

- Promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living;
- Developing of legal framework on unified standards for defining social assistance benefits, target groups, low income families; and,
- Improving care for poor rural people and disabled people as well public information and transparency on social assistance policy.

MoCA is the main stakeholder and beneficiary for Component 3 activities.

Topics being explored in 2017 contribute mainly to the R10 – topics 3.2.2; 3.2.3; 3.2.4. (*R10 - "Result 10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups c) identification of low-income families are consolidated"*).

For 2017 there are foreseen 3 topics to complete research in. These support mainly Result 10 of the Project.

1.1.2 Analysed topics

The PAC meeting held on 22 February 2017 agreed on the topics to be considered within Component 3 activities. See Annex X. Some adjustment has been made to take into account the priorities of MoCA since the elaboration of the Grant application form.

In 2017 under Component 3 three topics will be elaborated. See table 1. Component 3 is performing research activities related mainly to the topics 3.2.2; 3.2.3; and 3.2.4. All topics are a subject of an evaluation in a view of continued relevance and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

Table 1 – Topics in 2017, Component 3

ID	DESCRIPTION	R.	DATE STARTS
Component 3 - Improving of legal framework and policy for social assistance			
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	10	January/February 2017
3.2.3	Coordination of overall social assistance resources	10	January/February 2017
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	10	January/February 2017

For the period under review identification and situation analysis for topics 3.1.2, 3.1.3, 3.1.4 have been finalised by the three Chinese experts as indicated in the Table 2.

Table 2 –Situational analysis in 2016, Component 3

R	No	Topic	Incumbent	Output	Observation
9	3.1.2	Governance framework for Social Assistance administration and management process	Zuo Ting	EN&CN report	Policy recommendation report submitted to MoCA
9	3.1.3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	Guo Yu	EN&CN report	Policy recommendation report submitted to MoCA
9	3.1.4	Monitoring and evaluation of Social Assistance schemes	Gang Shuge	EN&CN report	Policy recommendation report submitted to MoCA

As agreed, the topics 3.2.2, 3.2.3, 3.2.4 are being under research activities from January/February 2017 by three Chinese experts.

All finalised reports are published in a C3 2017 volume for each of the three topics and shared with a broader audience via the Project website.

1.1.3 Best practices

Component 3 identification of the most relevant best practices (related to topics 3.2.1 and 3.3.1) from the EU for China was provided in strong cooperation and consultation with MoCA.

For the 2nd C3 Workshop on the topics 3.1.2, 3.1.3, 3.1.4 Component 3 was able to select and ensure mission for three EU experts: Mr. Andreas Teras; Ms. Josee Goris and Mr. Jean-Yves Hosquet. All

experts contributed actively to the workshop hosted by MoCA on December 13th, 2016 and provided solid base of the knowledge on the best practices report for each of the topic as well relevant policy recommendations.

Those are available and published in a C3 2017 volume (bilingual version) and shared with a broader audience via the Project website.

Table 3 – Best practices reports in 2016 (within the time frame of this review), Component 3

TOPIC	TITLE	INCUBENTS	OUTPUT	OBSERVATIONS
3.1.2	Governance framework for Social Assistance administration and management process	Adrianos Tesas	1 report EN & CN version	Best practice report/policy recommendations report submitted to MoCA
3.1.3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	Josee Goris	1 report EN & CN version	Best practice report/policy recommendations report submitted to MoCA
3.1.4	Monitoring and evaluation of Social Assistance schemes	Jean-Yves Hocquet	1 report EN & CN version	Best practice report/policy recommendations report submitted to MoCA

In June next wave of EU expertise sharing will be organised and support the 4th C3 Panel Discussion hosted by MoCA. Based on the assessments of Chinese social assistance in the field of 2017 topics as well discussion and exchanges between Chinese and EU best practises a report for the three topics: 3.2.2; 3.2.3 and 3.2.4 will be provided.

The implementation of the project proved that in order to increase the relevance and to improve the focus of exposure to European best practices under each of the related topics, the selection of European best practices should respond to the needs identified by the Chinese counterparts.

1.1.4 Stakeholders

The main Chinese stakeholder for Component 3 of the Project is the Ministry of Civil Affairs (MoCA). Department of Social Assistance in MoCA is the lead technical unit for Component 3.

Annex XI to this report lists national stakeholders – target audience and beneficiaries – for C3 activities, as described in the report “Audience for Project results”.

The list could be updated in line with pilot sites which will be identified on a later stage according to the MoCA indication.

1.1.5 Overseas activities

Component 3 work plan for 2017 has included three to four EU activities (training and study visits) for MoCA delegation to several EU countries (including non Consortium countries). One of them under the leadership of Deputy Minister MoCA Mr Gong Pugang and the other one for technical purpose.

1.1.6 Human resources

The contract of the EU resident expert for Component 3, Ms Marzena Breza took effect on 23 December 2014. The EU resident expert of Component 3, within the Beijing Office in the assessed period was assisted by Ms Caihua Zhong till February/March 2017 and currently by Ms Miao Yijun. Component 3 is lacking operational Chinese expert mainly due to budget limitations and availability of Chinese experts in social assistance. This makes the daily cooperation with Chinese beneficiary (MoCA) much more challenging also from the perspective of limited efficiency of English communication between the Resident Expert and MoCA.

During the period under review three Chinese experts were allocated for Component 3. Around 120 working days (under new budget arrangements) will be allocated among CN experts in 2017. For the EU experts around 50 working days are foreseen to be allocated in 2017.

Table 4 – Human resources in 2017, Component 3

3	X			1. RESEARCH 3.2.3.2.2 Identification of Social Assistance target groups	max 40		CN expert 1	
4	X			2. RESEARCH 3.2.3 Overlapping and "cliff" effect management and policy framework	max 40		CN expert 2	
5	X			3. RESEARCH 3.2.4 Procedures and methods for requirement verification within Social Assistance system at central, local, urban and rural level	max 40		CN expert 3	
6	X	X		CONSULTANCY FOR THE WORKSHOP MEETING ON ASSESSMENTS OF SOCIAL ASSISTANCE IN CHINA	max 3		1 EU EXPERT 1 CN EXPERT	IN CHINA Jun-17
7		X		CONSULTANCY FOR THE 4TH C3 PANEL DISCUSSION ON THE TOPICS: 3.2.2&3.2.3 & 3.2.4	max 30		3 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA Jun-17
8		X		CONSULTANCY FOR THE 3RD WORKSHOP ON TOPICS: 3.2.2 & 3.2.3 & 3.2.4	max 30		3 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA NOV 2017 - 3RD C3 WORKSHOP
9	x	x		TRAINING IN FRANCE - 2 WEEKS	TBC		EU EXPERTS	IN FRANCE

1.1.7 Visibility

C3RE supports the team from the horizontal Secretariat with all related background information and papers performed under C3 activities for the purpose of publishing on the Project webpage on a regular basis.

C3 (in line with C1) has published Component Newsletters. All can be reached from the new Project website <http://www.euchinasrp.eu/index.php/en/> as well.

Additionally, to improve the visibility of Component 3 EU-China SPRP, a special column on MoCA's official website information about the activities on Component 3 was created.

1.2 Activities

The current chapter presents a summary of activities conducted during the period under Component 3.

It follows the structure of the Plan of activities for 2016 adopted by the PAC meeting on 6 April 2016 and for 2017 work plan by the PAC held on 22 February 2017.

1.2.1 Situational analysis

The 2016 Activity plan for C3 endorsed by the PAC meeting on 6 April states that "under Component 3 three topics will be a subject of situational analysis in 2016, namely: 3.1.2, 3.1.3, 3.1.4."

Situation analysis for the three topics has been conducted by three Chinese experts selected jointly by MoCA and the C3 resident expert and shared with the C3 Component Coordinator.

All topics will be a subject of an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

Due to the priority treatment of the topics 3.1.1, 3.2.1, 3.3.1, that were still reviewed in 2016, the evaluation of topics 3.2.2, 3.2.3, 3.2.4 started in January/February as shown in table 5 below.

The main priority is to complete the first result (R9 - The capacity of the MoCA for promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living are strengthened; the skills of local officials in policy transmission and implementation are upgraded).

Table 5 – Topics to be considered in 2016, Component 3

ID	DESCRIPTION	R.	DATE STARTS
Component 3 - Improving of legal framework and policy for social assistance			
3.1.2	Governance framework for Social Assistance administration and management process	9	March 2016
3.1.3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	9	March 2016
3.1.4	Monitoring and evaluation of Social Assistance schemes	9	March 2016

While the official requirement of the Project is to prepare reports in English, all authors agreed that the impact of their work would be more immediate if a Chinese version was also available for the direct use of national stakeholders. In case of Component 3 main steps to ensure the CN and EN reports are undertaken by MoCA with some support of EU-China SPRP Office.

Following the experience of 2015&2016, the project in 2017 implemented in close cooperation with MoCA, which is the main national stakeholder for Component 3 – 3 topics, see Table 6

Table 6 – Schedule for situation analyses

R	No	Topic	Incumbent	Deadline for providing situation analyses
10	3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	CN expert 1 - Mr. Jianping Yao	First draft report by May 2017 Final assessment report by mid July 2017
10	3.2.3	Coordination of overall social assistance resources	CN expert 2 - Ms. Zhang Haomiao	First draft report by May 2017 Final assessment report by mid July 2017
10	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	CN expert 3 - Mr. Lin Yi	First draft report by May 2017 Final assessment report by mid July 2017

Coherence among the various situational analysis will be provided by mid-term peer review and quality control conducted by Chinese short-term experts working under the supervision of the C3 EU Resident expert and MoCA.

It is envisaged that a European expert will be provided for the situational analysis for each topic in order to ascertain that the assessment reports correspond to the level and quality of information required allowing for proper matching with the corresponding EU experience in terms of best practices.

The output of this activity is to provide an adequate knowledge of Chinese situation related to the topic under consideration. The assessment reports in English document the findings and conclusions of the Chinese experts.

1.2.2 Identification and Review of possible European experience

For 2016 in Component 3 there was one EU mission for three EU experts organised. All of them supported the 2nd C3 Workshop (December 2017). The next mission of EU experts to Beijing is planned for June/July 2017.

An extended opportunity for exchange EU practises will be performed within 2 weeks training which is planned for June 2017.

1.2.3 Cross-topic activities

1.2.3.1 Panel discussions

The 4th Panel discussion under the 2017 work plan is foreseen for June 2017 namely for topics 3.2.2; 3.2.3; 3.2.4.

1.2.3.2 Workshops on the analysis phase

The C3 activity plan for 2016 as adopted by the PAC meeting on 6 April 2016 foresees a workshop aiming at reviewing the topics analyzed in 2016.

The 2nd C3 Workshop has been organised by MoCA on December 13th, 2016.

For this workshop three short-term EU experts - one for each topic namely 3.1.2; 3.1.3 and 3.1.4 was presenting best practises and policy recommendations.

1.2.3.3 Component management

During the period under review the team of C3 in Beijing consisted of C3 Resident Expert and part-time Component Assistant as from February 2016. As from 1st January 2016 C3 team is supported by a new Secretariat – Expertise France. Beside that cooperation with MoCA counterparts is functioning on a daily basis - regular joint meetings are held to review all pending, outstanding or proposed questions mainly coordinated by the C3RE.

1.2.4 Pilot Sites/Local Visits

MoCA has not selected the pilot sites in 2017. However, the comprehensive framework of the pilots has been discussed. A research will take place in the next months to support local staff with the topics and instruments to be used when introducing EU examples.

For this specific task senior Chinese expert will be selected to review policy proposals made by EU and Chinese experts (including motivation) and next propose a program for the pilots to be undertaken in 2017-2018 in a few provinces in China.

The next C3 Panel Discussion follows by a seminar session scheduled for June 2017 aims at focusing the discussion on target, measures and scope of the pilots for the 2017-2018. A foundation for the discussion will be the previous research executed by Prof. Guan Xinping and Mr Mihai Magheru.

1.2.4.1 Research study: Follow up of 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance

The HLF 2015 has given an excellent opportunity for a high level dialog between the EU and China. In addition Component 3 undertook two types of research. One of them relates to study on social assistance structures of P.R. China - main achievements of and challenges and the other focuses on most relevant EU countries experiences for social assistance structures of China. Both studies had been completed. Finally an event summing up all the outputs from both research will be discussed possibly within a seminar session (back to back 4th C3 Panel Discussion) with experts and policy makers participation.

1.2.5 Planning for the next period

Over the next 6 months of the Project (17 May 2017 – 16 November 2017) the programme of activities for 2017 will be advanced, and planning 2018 will start as well.

1.2.6 2017 Activities

Continuing activities from 2016 and performing plan of activities for Component 3 approved by the PAC meeting on 6 April, following actions have been already successfully performed:

The 3-topics 2nd C3 Workshop hosted by MoCA on December 13th, 2016. The event was supported by 3 EU short-term experts Annex XIV shows the revised work plan for C3 proposed activities, covering the period until February 2018.

The following paragraphs briefly address the following issues:

- Completing 2016 programme;
- Choosing technical topics for 2017;
- Conducting overseas activities;
- Visibility.

1.2.6.1 Choosing technical topics for 2017

During preliminary consultations with MoCA it was proposed to focus on three topics as included in the table 9. The topics chosen have been renamed according to the original ones included in Grant

application form. The current topics are in line with the actual needs of MoCA and the tasks to be undertaken in 2017.

Table 8 – Topics in 2017, Component 3

ID	DESCRIPTION	R.	DATE STARTS
Component 3 - Improving of legal framework and policy for social assistance			
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	10	January / February 2017
3.2.3	Coordination of overall social assistance resources	10	January / February 2017
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	10	January / February 2017

Coherence among the various situational analysis will be provided by mid-term peer review and quality control conducted by Chinese short-term experts working under the supervision of the C3 EU Resident expert and MoCA.

Based on the experience from the year 2016, panel discussion and workshop will be organised to discuss the preliminary findings of the Chinese research as well as to exchange EU expertise.

These Panel discussions would gather the Chinese experts dealing with the respective situational analysis, representatives of the interested Chinese stakeholders and the Component management. Consideration will be given to the possibility of arranging videoconference for the event, to facilitate participation by interested EU Member states specialists.

To support the Panel discussions sessions and in the production of subsequent panel reports – the preliminary set of reform proposals to follow with the corresponding requirement to deepen knowledge on most relevant European best practices will be prepared – ad hoc support will be provided by 1 Chinese and 1 European expert.

Panel discussions

Panel discussion for three interrelated topics has been tentatively scheduled for June 2017.

This Panel discussion would gather the Chinese experts in charge of the respective situational analysis, representatives of the interested Chinese stakeholders and the Component management.

To support the Panel discussion sessions and the production of subsequent panel reports, the preliminary set of reform proposals to follow with the corresponding requirement to deepen knowledge on most relevant European best practices will be prepared. Ad hoc support will be provided by 3 Chinese and 3 European experts - one for each of the three reports.

The output of this activity will be a solid ground for a preliminary formulation of desirable areas for reforms under the concerned topics, and a selection of European best practices worth deepening for that purpose. Deliverables will be reports on the Panel discussion sessions prepared by Chinese and European experts.

ITEM	June 2017
	Participants / Duration
Panel discussion Topics: 3.2.2; 3.2.3; 3.2.4	20 participants, 1 day

Workshop on the Analysis Phase

This activity represents a logical continuation of the Panel discussion sessions. The workshop will represent a unique opportunity, after duly analyzing the Chinese situation and the most relevant EU best practices, to start considering a set of draft reform proposals concerning the variety of topics already introduced under Component 3 Plan of activities, 2017.

The 3rd Component 3 Workshop has been tentatively scheduled for November/December 2017.

The Workshop will gather high level representatives of the Chinese stakeholders, Chinese and European experts and Component 3 representatives. Provisions could be made to facilitate attendance via videoconference of interested consortium members and project leadership. However due to the time constrains it can be a challenging issue.

The preparation, holding and subsequent reporting of the workshop would benefit from the support of 1 Chinese and 1 EU expert for each of the three topics recruited for the project. The results and conclusions of the Workshop would be of direct use in shaping reform proposals and designing related technical assistance activities, including development of ad hoc tools, as foreseen under the Grant application form Follow Up phase (Macro-activities 3.8 and ff.).

Output of the Workshop will be a solid ground for considering further possible reform options to be finalised, and designing a programme of accompanying technical assistance and technical tools development to be implemented under project auspices. The deliverables will be the Workshop report including proposals for reform options on each of the three topics being a subject of situational analysis in 2017, and a first set of proposed activities for a technical assistance programme and the development of relevant technical tools under the framework of the project Component 3.

ITEM	November/December 2017
	Participants / Duration
Workshop to discuss first proposals for reform options, topics: 3.2.2; 3.2.3; and 3.2.4.	35 participants, 1 day

Follow up of the 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance

The research study outputs executed by Chinese and UE experts will be discussed during a seminar session which is planned to be organized jointly with 4th Panel Discussion meeting in June 2017.

1.2.6.2 Conducting overseas activities

For 2017, three to four European activities¹ are foreseen for Component 3.

The first EU event organized in close cooperation between MoCA, Component 3 SPRP and INPS is a ministerial visit to Italy in May 2017. The main goal of the visit is to strengthen cooperation between MoCA and Italian authorities dealing with social assistance on the basis of the current EU-China SPRP Project (Component 3) and its possible follow up.

In June 2017, under the leadership of MoCA, a training in France has been planned. The training venues will be Paris and Strasbourg.

Table 11 - Training on Social Assistance Benefits and Services Legal Framework, Implementation and Monitoring

Training - topic: Training on Social Assistance Benefits and Services Legal Framework, Implementation and Monitoring	June 2017
	Participants / Duration
France (Paris&Strasbourg) – Topics 3.1.1; 3.2.1; 3.3.1&3.1.2;3.1.3;3.1.4	a.23 participants, 2 weeks

In September, a study visit on standards implemented in the social policy scheme (social assistance for poor, support for families with children), social services for disabled, elderly and socially excluded people, is planned to be organized to Germany and Hungary. The main target is to share the European experience in terms of social assistance databases for means testing, payments and monitoring purpose. In addition standards on social services for different vulnerable groups will be shared.

Table 22 - Study Visit on Standards in social policy schemes

Study visit - topic: Study visit on Standards in social policy schemes	September 2017
	Participants / Duration

¹ In addition in May 2017 MoCA ministerial visit to Italy is planned.

Germany/Hungary (TBC) – Topics 3.1.1;3.1.4&3.3.1	a.7 participants 8 days
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In November, a study visit jointly with a coordination meeting in Europe (possible in Rome/Italy) will be organized. This study visit is planned to focus on means testing techniques and methods as well as on the monitoring and evaluation of Social Assistance schemes. This study visit will also consider issues related to professionalization of social assistance staff and social workers; experimentation and innovation in social assistance (for most excluded people) and a multilateral cooperation for better implementation of social assistance policy. It is planned that the visit will be organized to Italy and Belgium.

The details of the agenda and the program of the Component 3 event in Europe will be decided on a later stage considering also the outputs of the 2016 research topics assessments.

Table 2 - Study visit on Social assistance

Study Visit - topic: Study visit on Social assistance: means testing; policy implementation and monitoring; on Social assistance benefits and services – targeting; professionalization of social workers; multilat- eral cooperation;	November 2017
	Participants / Duration
Study visit to Italy/Belgium (TBC) – Topics 3.1.4; 3.2.1; 3.2.2&3.2.4	a. 7 participants, 8 days

1.2.6.3 *Visibility*

The new arrangement set up by the new horizontal Secretariat includes also the visibility of the activities. C3 team is supporting the page with all new C3 outputs on a regular basis.

1.3 Revised GANTT

Implementation of 2016 C3 plan of activities has been included in the revised C3 GANTT form. See Annex XV.

6. Annexes

6.1 Component 3

6.1.1 Annex X – Topics to be considered

Topics to be considered, Component 3²

Result	#	Topic	Date starts
R10	3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	January/February 2017
	3.2.3	Coordination of overall social assistance resources	January/February 2017
	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	January/February 2017
R11	3.3.2	Social Assistance services for poor people and families – service delivery approach	January 2018
	3.3.3	Communication techniques and channels of public information on Social Assistance policy	January 2018

² Incorporating the changes agreed upon between MoCA and Component 3, subsequently endorsed by the PAC on its 21 April 2015 meeting.

6.1.2 Annex XI – National stakeholders

Target Groups, Component 3	Final beneficiaries, Component 3
High level officials of NDRC	Rural people / farmers
High level officials, managers and staff of MoF	Rural landless households
High level official of managers and staff MoCA	Elderly / People in retirement age
High level officials of MoHRSS	Children (aged less 16)
High level officials of MoA	People with disabilities (physically or mentally)
High level officials, managers and staff of NHFPC	Patients
High level officials, managers and staff of LAO	Migrant workers
Members of CIRC	Indigent people
Representatives of ACWF	Unemployed people
Representatives of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC representa- tives	
Members of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC	
Local administrators, officials and staff *	

6.1.3 Annex XII – Deliverables

Component 3 – deliverables under reviewed period

DATE	TITLE	AUTHOR	OBSERVATIONS
Dec 2016	2 nd C3 Workshop meeting report	Marzena Bre- za & Comp 3 Assis- tant	EN



Dec 2016	C3 Newsletter 4/2016	Marzena Breza & Comp 3 Assistant	EN/CN
Dec 2016	Policy recommendations / Best practice report 3.1.2	CN / EU 7 expert	EN/CN
Dec 2016	Policy recommendations / Best practice report 3.1.3	CN / EU 8 expert	EN/CN
Dec 2016	Policy recommendations / Best practice report 3.1.4	CN / EU 9 expert	EN/CN
Dec 2016	Policy recommendations 3.1.2	Zuo Ting	EN/CN
Dec 2016	Policy recommendations 3.1.3	Guo Yu	EN/CN
Dec 2016	Policy recommendations 3.1.4	Gang Shuge	EN/CN
March 2017	2016 C3 Volume on 2016 research topic 3.1.2	Package of CN and EU final reports from 2016	EN
March 2017	2016 C3 Volume on 2016 research topic 3.1.2	Package of CN and EU final reports from 2015	CN
March 2017	2016 C3 Volume on 2016 research topic 3.1.3	Package of CN and EU final reports from 2016	EN
March 2017	2016 C3 Volume on 2016 research topic 3.1.3	Package of CN and EU final reports from 2015	CN
March 2017	2016 C3 Volume on 2016 research topic 3.1.4	Package of CN and EU final reports from 2016	EN
March 2017	2016 C3 Volume on 2016 research topic 3.1.4	Package of CN and EU final reports from 2015	CN
March 2017	C3 Newsletter 1/2017	Marzena Breza & Comp 3 Assistant	EN/CN
March 2017	Research study – follow up of the 2015 HLF	Mihai Magheru	EN/CN



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6.1.4 Annex XIII – Quarterly Dashboard 2017

Quarterly Dashboard (July to September 2016)

Component 3 - Improving of legal framework and policy for social assistance				
Expected Results	Topics	Activities	Inputs (expected/actual)	
R.9 The capacity of the MoCA for promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living are strengthened; the skills of local officials in policy transmission and implementation are upgraded.	3.1.1 Legal framework on Social Assistance	Situational Analysis	C3 RE, 3 STE CN	



		Identification and review of possible relevant EU experience	1 STE EU (E)	1. the
		Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 3 STE CN	m



		High Level Forum on Social Assistance in Beijing	MoCA, Consortium	1. gro 2. gro
		High Level Forum on Social Assistance in Beijing	C3 RE, 1 EU STE, MoCA, Consortium	1. rec



		Training / Study assignments to EU countries	MoCA, C3 RE, CN Experts	St C 1
		Specific analysis of relevant EU experience	1 STE EU (E)	P
		Workshop with stakeholders on the analysis phase	MoCA, C3 RE, 2 STE CN (E), 1 STE EU (E)	





		Elaboration of reform proposals	1 STE CN (E)	P
		Follow up of the 2015 HLF on Social Assistance - research study	CN&EU experts	
		High Level Policy Dialog - 2 EU-China SPRP Consortium Member Countries (PL&ES)	MoCA 6 officials delegation under the leadership of Mr Li Minister MOCA; C3RE	



	<p>3.1.2 Governance framework for Social Assistance administration and management process</p>	Situational Analysis	C3 RE, 1 STE CN (E)	su C
		Identification and review of possible relevant EU experience	1 STE EU (E)	1 E
		Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 1 STE CN Experts, 1 STE EU	
		Training / Study assignments to EU countries	MoCA, C3RE, EU public staff and EU STE	ba ma
		Specific analysis of relevant EU experience	1 STE EU (E)	P

		Workshop with stakeholders on the analysis phase	MoCA, C3 RE, 1 STE CN Experts, 1 STE EU	
		Elaboration of reform proposals	1 STE CN (E)	P
	3.1.3 Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	Situational Analysis	C3 RE, 1 STE CN (E)	su (
		Identification and review of possible relevant EU experience	1 STE EU (E)	1 E
		Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 3 STE CN (E)	

		Training / Study assignments to EU countries	MoCA, EU public staff and EU STE	ba ma
		Specific analysis of relevant EU experience	1 STE EU (E)	
		Workshop with stakeholders on the analysis phase	MoCA, C3 RE, CN Experts	
		Elaboration of reform proposals	1 STE CN (E)	P
	<p>3.1.4 Monitoring and evaluation of Social Assistance schemes</p>	Situational Analysis	C3 RE, 1 STE CN (E)	su c



		Identification and review of possible relevant EU experience	1 STE EU (E)	1 I
		Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 3 STE CN (E)	R
		Training / Study assignments to EU countries	MoCA, EU public staff and EU STE	ba ma
		Specific analysis of relevant EU experience	1 STE EU (E)	
		Workshop with stakeholders on the analysis phase	MoCA, C3 RE, CN Experts	

		Elaboration of reform proposals	1 STE CN (E)	P
<p>Result 10: The legal frameworks on</p> <ul style="list-style-type: none"> a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups c) identification of low-income families are consolidated 	<p>3.2.1 Experiences on unified standards for calculation of Social Assistance benefits</p>	Situational Analysis	C3 RE, 2 STE (E)	SU C
		Identification and review of possible relevant EU experience	1 STE EU (E)	R
		Panel discussion with stakeholders on the identification phase	MoCA, C3 RE, 2 STE CN, 1 STE EU	



		Training / Study assignments to EU countries	MoCA, C3 RE, CN Experts	St C I
		Specific analysis of relevant EU experience	1 STE EU report	P
		Workshop with stakeholders on the analysis phase	MoCA, C3 RE, 2 STE CN (E), 1 STE EU (E)	

		Elaboration of reform proposals	1 STE CN (E)	P
		Follow up of the 2015 HLF on Social Assistance - re-research study	CN&EU experts	
<p>Result 11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level</p>	<p>3.3.1 Social Assistance specific vulnerable groups - services for children, elderly, people with disabilities, with a special focus on poor rural people</p>	Situational Analysis	C3 RE, 2 STE (E)	S C




		<p>Identification and review of possible relevant EU experience</p>	<p>1 STE EU (E)</p>	<p>R</p>
		<p>Panel discussion with stakeholders on the identification phase</p>	<p>MoCA, C3 RE, 2 STE CN, 1 STE EU</p>	
		<p>Training / Study assignments to EU countries</p>	<p>MoCA, C3 RE, CN Experts</p>	<p>St C 1</p>



		Specific analysis of relevant EU experience	1 STE EU	P
		Workshop with stakeholders on the analysis phase	MoCA, C3 RE, 2 STE CN (E), 1 STE EU (E)	



		Elaboration of reform proposals	1 STE CN (E)	P
 <p>postponed continued from 2015 currently on going High Level Policy Dialog - bilateral meetings</p>		Follow up of the 2015 HLF on Social Assistance - research study	CN&EU experts	

SPRP_2^ Year_Dashboard_Overall

18 Nov 2015 - March 2017

C	R	Topic		Activi-ty	HR #					Sta-tus	Plann-ed Date	Date of conclu-sion	Mitiga-tion, if delayed	Output			Notes
		ID	Title		ST E C N	ST E E U	R E	BE N	CO N					Type	Sub- mitted	On web- site	
3	R9	3.1. 1	Legal framework on Social Assistance	Situa-tional analysis	3		1			Com- pleted		July, 2015		Assessment report	Yes	Yes	published in : Com- ponent 3 Volume 2015 re- search topics
				Identifi- cation and re- view of possible relevant EU expe- rience			1			Com- pleted		July, 2015		Best prac- tice report 1	Yes	Yes	published in : Com- ponent 3 Volume 2015 re- search topics

															Training / Study assignments to EU countries - training in France						Planned	June, 2017	June, 2017	due to the MoCA procedure the training is delayed and will be organised in June 2017	mission report	No	No	close cooperation with MOCA and the host Expertise France
															Situational analysis	1	1				Completed	October, 2016		Assessment report	Yes	No	end March to be published in a 2016 research topic Volume	
	3.1.2														Identification and review of possible relevant EU experience		1				Completed	October, 2016		Best practice report 1	Yes	No	end March to be published in a 2016 research topic Volume	
															Panel discussion with stakeholders on the Identifi-	1	1	1			Completed	September, 2016		Panel Discussion Report	Yes	No	jointly with 3.1.3, 3.1.4	

3.1. 3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance																for a CN version of the EU report
		Training / Study assignments to EU countries						Planned	June, 2017	June, 2017	due to the MoCA procedure the training is delayed and will be organised in June 2017	Mission report	No	No	No	No	close cooperation with MOCA and the host Expertise France
		Situational analysis	1	1			Completed		October, 2016		Assessment report	Yes	No	No	No	end March to be published in a 2016 research topic Volume	
		Identification and review of possible relevant EU experience		1		Completed		October, 2016		Best practice report 1	Yes	No	No	No	end March to be published in a 2016 research topic Volume		

			Elabora- tion of reform pro- posals	1	1		Com- pleted	Decem- ber, 2016	January, 2017	full package of three CN Policy Rec- ommenda- tion Report submitted to MoCA mid January 2017	Policy Rec- ommenda- tion Report	Yes	No	end March to be pub- lished in a 2016 research topic Volume waiting for a CN version of the EU report
			Training / Study assign- ments to EU coun- tries				Planned	June, 2017	June, 2017	due to the MoCA pro- cedure the training is delayed and will be or- ganised in June 2017	mission re- port	No	No	close co- opera- tion with MOCA and the host Ex- pertise France
3.1. 4	Monitoring and evalua- tion of Social As- sistance schemes		Situa- tional analysis	1	1		Com- pleted		October, 2016		Assessment report	Yes	No	end March to be pub- lished in a 2016 research topic Volume



															end March to be pub- lished in a 2016 research topic Volume
			1				Com- pleted		October, 2016		Best prac- tice report 1	Yes	No		
			1	1	1		Com- pleted		Septem- ber, 2016		Panel Dis- cussion Re- port	Yes	No		jointly with 3.1.2, 3.1..3
			1				Com- pleted	Decem- ber, 2016	January, 2017	full package of EU re- ports sub- mitted to MoCA mid January 2017	Best prac- tices & Poli- cy Recom- mendation Report	Yes	No		end March to be pub- lished in a 2016 research topic Volume waiting for a CN version of the EU report

																	Workshop with stakeholders on the analysis phase	1	1	1	Completed		December, 2016		Workshop Report	Yes	No	jointly with 3.1.2, 3.1..3
																	Elaboration of reform proposals	1	1		Completed	December, 2016	January, 2017	full package of three CN Policy Recommendation Report submitted to MoCA mid January 2017	Policy Recommendation Report	Yes	No	end March to be published in a 2016 research topic Volume waiting for a CN version of the EU report
																	Training / Study assignments to EU countries				Planned	June, 2017	June, 2017	due to the MoCA procedure the training is delayed and will be organised in June 2017	mission report	No	No	close cooperation with MOCA and the host Expertise France

R1	3.2.	1.	Experiences on unified standards for calculation of Social Assistance benefits	Situational analysis	1	1			Completed	December, 2015	Assessment report	Yes	Yes	published in : Component 3 Volume 2015 re-search topics
				Identification and review of possible relevant EU experience		1			Completed	December, 2015	Best practice report 1	Yes	Yes	published in : Component 3 Volume 2015 re-search topics
				Panel discussion with stakeholders on the Identification phase	1	1	1	1	Completed	December, 2015	Panel Discussion Report	Yes	No	jointly with 3.3.1

				Specific analysis of relevant EU experience	1				Completed	March, 2016	Best practice report 2	Yes	Yes	1. report on the occasion of the 1st C3 Workshop 2. report on IT system on application, assessment and payment procedures published in : Component 3 Volume 2015 research topics
				Workshop with stakeholders on the analysis	1	1	1	1	Completed	March, 2016	Workshop Report	Yes	No	jointly with 3.1.1., 3.3.1

<i>phase</i>													
<i>Elaboration of reform proposals</i>	1					<i>Completed</i>		<i>March, 2016</i>		<i>Policy Recommendation Report</i>	<i>Yes</i>	<i>Yes</i>	<i>published in : Component 3 Volume 2015 research topics</i>
<i>Follow up of the 2015 HLF on Social Assistance - research study - CN report</i>	1					<i>Completed</i>	<i>September, 2016</i>	<i>September, 2016</i>	<i>waiting January 2017 for a bilingual version</i>	<i>research study report</i>	<i>Yes</i>	<i>No</i>	
<i>Follow up of the 2015 HLF on Social Assistance - research study - EU report</i>		1				<i>Completed</i>	<i>March, 2017</i>	<i>March, 2017</i>	<i>requirement for double call to find an EU expert</i>	<i>research study report</i>	<i>Yes</i>	<i>No</i>	<i>waiting for a CN version t</i>

		Training / Study assignments to EU countries						Planned	June, 2017	June, 2017	due to the MoCA procedure the training is delayed and will be organised in June 2017	mission report	No	No	close cooperation with MOCA and the host Expertise France
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	Situational Analysis	1	1				Ongoing	July, 2017			assessment report	No	No	first draft experted by mid May 2017 after endorsement of the report content on February 26th, 2017
3.2.3	Coordination of overall social assistance resources	Situational Analysis	1	1				Ongoing	July, 2017			assessment report	No	No	first draft experted by mid May 2017 after endorsement of the re-

															port content on February 26th, 2017
	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	Situational Analysis	1	1			On-going	July, 2017		assessment report	No	No		first draft expected by mid May 2017 after endorsement of the report content on February 26th, 2017
R1 1	3.3.1	Social Assistance specific vulnerable groups - services for children, elderly, people with disabilities, with a spe-	Situational analysis	1	1			Completed	December, 2015		Assessment report	Yes	Yes		published in : Component 3 Volume 2015 research topics
			Identification and review of possible		1				Completed	December, 2015		Best practice report 1	Yes	Yes	

														<i>search topics</i>	
	<i>cial focus on poor rural people</i>	<i>relevant EU experience</i>													
		<i>Panel discussion with stakeholders on the Identification phase</i>	1	1	1	1		<i>Completed</i>		<i>December, 2015</i>		<i>Panel Discussion Report</i>	<i>Yes</i>	<i>No</i>	<i>jointly with 3.2.1</i>
		<i>Specific analysis of relevant EU experience</i>		1				<i>Completed</i>		<i>March, 2016</i>		<i>Best practice report 2</i>	<i>Yes</i>	<i>Yes</i>	<i>1. report on the occasion of the 1st C3 Workshop</i> <i>2. report on IT system on application, assessment and payment procedures</i> <i>'published</i>

0. 1	Selection of sub-topics to be covered under specific year				Y 1	Y 1					Y 2	Y 2	Y 2								Y 3	Y 3	Y 3						Y 4	Y 4	Y 4				
0. 2	TOR, selection, recruitment CN & European Experts				Y 1	Y 1						Y 2	Y 2					Y 2	Y 2			Y 2	Y 2		Y 3	Y 3			Y 3						
	Identification Phase																																		
3. 0	Preparation of brief diagnosis, establish baseline data, analysis audience of project results (macro-activity 0.1)																																		
3. 1.	Situational Analysis																																		
3. 1. 1	In-depth analysis on the topics 3.1.1;																																		
3. 1. 2	In-depth analysis on the topic 3.2.1; 3.3.1																																		
3. 1. 3	In-depth analysis on the topic 3.1.2; 3.1.3;																																		

3.2.4																																					
3.2 Identification and review of possible relevant EU experience																																					
3.2.1 Preparation of General Country Reports (cross topics)																																					
3.2.2 Involvement of other EU Countries in the research on EU Best Practice on the specific sub-topics																																					
3.2.3 Research and preparation of reports on EU Countries Best Practices on the specific sub-topics																																					
3.3 Panel discussions with stakeholders on the Identi-																																					

	fication Phase																						
3.3.1.1	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 3.1.1;																						
3.3.1.2	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 3.2.1; 3.3.1																						
3.3.1.3	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 3.1.2; 3.1.3; 3.1.4																						



	the Main Chinese stakeholders																									
Analisis Phase																										
3.4	Specific analysis of relevant EU experience																									
3.4.1	Technical feasibility of EU MS Best Practices to be introduced in China and preliminary reform proposals about the specific topics 3.1.1																									
3.4.2	Technical feasibility of EU MS Best Practices to be introduced in China and preliminary reform proposals about the specific topics 3.2.1; 3.3.1																									

<p>signments to EU countries</p>																															
<p>3. High Level Policy Dialog / Ministerial visit to two EU-China</p> <p>5. SPRP Consortium Member Countries - PL and ES on topics 3.1.1; 3.2.1; 3.3.1.</p>												<p>HLPD</p>																			
<p>3. 10-days study tour to Europe (3 EU-China SPRP countries-PL,CZ and RO) on the topic of legal framework of Social Assistance</p>																															
<p>3. Organisation of study tour to Europe (best practices on topics 3.1.1; 3.2.1; 3.3.1)</p>																															

	Challenges for Legal Framework of Social Assistance																										
3.10.1	Choose topic for High Level Event																										
3.10.2	Prepare programme, choose venue, arrange logistics for HLE																										
3.10.3	Select speakers, panleists and researches																										
3.10.4	Prepare visibility activities related to the HLE																										
3.10.5	Hold HLE																										
3.10.	Report on the HLE																										



6.1.6 Annex XIV – Revised GANTT

draft

