



Sixth Six-months Component 3 Status Report

17 May 2017 – 16 November 2017

**Report submitted by the
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(MRPiPS) - Poland,
Component 3 Coordinator**

DRAFT



EU-CHINA
Social Protection Reform Project
中国-欧盟社会保护改革项目

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Relevant Acronyms

Acronym	Description
ACFTU	All-China Federation of Trade Unions
ACWF	All-China Women's Federation
ADECRI	Agence pour le Développement et la Coordination des Relations Internationales (Agency for the
CEC	China Entreprise Confederation
CASS	China Academy of Social Science
CIRC	Chinese Insurance Regulatory Commission
EN3S	Ecole Nationale Supérieure de Sécurité Sociale (National School of Advanced Social Security
ESF	European Social Fund
EU	European Union
EU MS	European Member State
EUD	European Union Delegation
IAC	Insurance Association of China
IASIA	International Association of Schools and Administration Institutes
IBRD	International Bank of Reconstruction and Development
ICT	Information and Communication Technology
ILO	International Labour Organization
IMC	Internal Management Committee
ISSA	International Social Security Association
LAC	Latin America & the Caribbean
M&E	Monitoring and Evaluation
MIS	Management Information System
MoA	Ministry of Agriculture
MoCA	Ministry of Civil Affairs
MoF	Ministry of Finance
MOFCO	Ministry of Commerce
NHFPC	National Health and Family Planning Commission;
MoHRSS	Ministry of Human Resources and Social Security
NDRC	National Development and Reform Commission
NGO	Non-Governmental Organization
OECD	Organization for Economic Co-operation and Development
OHS	Occupational Health & Safety
OPHRD	Operational Programme Human Resources Development
PAC	Project Advisory Committee
SAFEA	State Administration of Foreign Experts Affairs
SAI	Shanghai Administration Institute
SAWS	State Administration for Work Safety
SOCIEUX	Social Protection European Union Expertise in development cooperation
UNDP	United Nations Development Programme

Introduction

The sixth Component 3 Status Report presents activities of the Component 3 in the six months from 17 May 2017 to 16 November 2017. Activities within Component 3 during the sixth period of implementation focused mainly on the analyses of three topics agreed in Action plan for 2017 for Component 3, organisation of events enabling the exchange of the opinions and best practices as well as preparing the documents and reports foreseen for 2017.

The following topics have been analysed in the reviewed period:

- 3.2.2 Optimization of social assistance – procedures and eligibility criteria for social assistance benefits
- 3.2.3 Coordination of overall social assistance resources
- 3.2.4 Fraud in social assistance: identification, coping strategy and prevention

The main priority was aimed at completing the second result of the Project (*R10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups c) identification of low-income families are consolidated*).

In the reviewed period C3 focused on the extended exchange of EU practices and recommendations.

Delegation led by Mr. Gong Pugang, Deputy Minister of Civil Affairs P.R.China held official visit to Italy on May 24th-27th, 2017, where they met with Italian Ministry of Labour and Social Policy.

As a part of the 2017 work plan of Component 3 there was 2-weeks training on Social Assistance Benefits and Services Legal Framework, Implementation and Monitoring organized for MoCA and central and local senior government staff in France.

On June 29th, 2017 Ministry of Civil Affairs, the main stakeholder of Component 3 EU-China SPRP hosted 4th Panel Discussion in Beijing. The 3-topics of the Panel Discussion were supported by 3 CN short-term experts and several external senior experts from across the country.

On September 13th – 20th, 2017 there was a study visit on social assistance support for vulnerable groups – standards and accessibility of benefits, monitoring and key challenges organized in Romania and Poland.

In the reviewed period, C3 coordinated situation analysis for the three topics according to the Component 3 2017 work plan, conducted by three Chinese experts.

The visibility of the Project has been improved thanks to better implementation of the project website and creating a special column on MoCA's official website dedicated to C3. Component 3 has been preparing bilingual newsletter during the period under review.



The period under review characterized also the ongoing excellent collaboration between MoCA, the C3 project team based in Beijing and Polish Ministry of Family, Labour and Social Policy.

Monika Szostak

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1.1 Background information on the Component activities and results

This report describes implementation of activities under the Component 3 – support MoCA on Improving of legal framework and policy for social assistance - of the EU-China Social Protection Reform Project. It covers the period from 17 May 2017 to 16 November 2017.

1.1.1 Results pursued

Within Component 3 of the project there are 3 of 11 identified results - results R9 to R11 inclusive, namely:

- Promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living;
- Developing of legal framework on unified standards for defining social assistance benefits, target groups, low income families; and,
- Improving care for poor rural people and disabled people as well public information and transparency on social assistance policy.

MoCA is the main stakeholder and beneficiary for Component 3 activities.

Topics being explored in 2017 contribute mainly to the R10 – (“*Result 10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups c) identification of low-income families are consolidated*”) and are foreseen to complete research supporting that result of the Project.

1.1.2 Analysed topics

The PAC meeting held on 22 February 2017 agreed on the topics to be considered within Component 3 activities. See Annex X. Some adjustment has been made to take into account the priorities of MoCA since the elaboration of the Grant application form.

In 2017 under Component 3 three topics are being elaborated. See table 1. Component 3 is performing research activities related mainly to the topics 3.2.2; 3.2.3; and 3.2.4. All topics are subject to an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

Table 1 – Topics in 2017, Component 3

ID	DESCRIPTION	R.	DATE STARTS
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Component 3 - Improving of legal framework and policy for social assistance			
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	10	January/February 2017
3.2.3	Coordination of overall social assistance resources	10	January/February 2017
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	10	January/February 2017

For the period under review identification and situation analysis for topics 3.2.2, 3.2.3, 3.2.4 have been finalized by the three Chinese experts as indicated in the Table 2.

Table 2 –Situational analysis in 2016, Component 3

R	No	Topic	Incumbent	Output	Observation
10	3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	CN expert 1 - Mr. Jianping Yao	EN&CN report	Assessment report submitted to MoCA By July 2017
10	3.2.3	Coordination of overall social assistance resources	CN expert 2 - Ms. Zhang Haomiao	EN&CN report	Assessment report submitted to MoCA By July 2017
10	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	CN expert 3 - Mr. Lin Yi	EN&CN report	Assessment report submitted to MoCA By July 2017

Topics 3.2.2, 3.2.3, 3.2.4 are being under research activities as from January/February 2017 by three Chinese experts.

All finalised reports will be published in a C3 2017 volume for each of the three topics and shared with a broader audience via the Project website.

1.1.3 Best practices

Activities from 2017 were continued and planned activities for Component 3, approved by the PAC meeting on February 22nd, were also performed. Following actions have been already successfully accomplished:

- The 3-topics 4th C3 Panel Discussion hosted by MoCA on June 29th, 2017. The event was supported by 3 CN short-term experts and several external senior experts from across the country specialising in the topics discussed.

For the time of 4th C3 Panel Discussion on the topics 3.2.2, 3.2.3, 3.2.4 Component 3 was not able to select and ensure mission for EU experts.

Therefore, the June Panel discussion meeting was mainly supported by Chinese experts working for the Project and external one from the respective fields of expertise.

Table 3 – Best practices reports in 2017 (within the time frame of this review), Component 3

TOPIC	TITLE	INCUBENTS ¹	OUTPUT	OBSERVATIONS
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	Julien Van Geert-som	1 report EN	Best practice report to be submitted to MoCA
3.2.3	Coordination of overall social assistance resources	Heinz-Dietrich Steinmeyer	1 report EN	Best practice report submitted to MoCA
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	Stephane Pacaud	1 report EN	Best practice report submitted to MoCA

Due to the delay in selection of EU experts the best practise reports will be submitted by the end of 2017 and respective exchanges will be provided during the 3rd Component 3 Workshop hosted by MoCA on November 24th, 2017. Based on the briefing of Chinese social assistance in the field of 2017 topics as well as discussion and exchanges between Chinese and EU best practises report for the three topics 3.2.2; 3.2.3 and 3.2.4 will be provided.

The implementation of the project proved that in order to increase the relevance and to improve the focus of exposure to European best practices under each of the related topics, the selection of European best practices should respond to the needs identified by the Chinese counterparts.

1.1.4 Stakeholders

The main Chinese stakeholder for Component 3 of the Project is the Ministry of Civil Affairs (MoCA). Department of Social Assistance in MoCA is the lead technical unit for Component 3.

Annex XI to this report lists national stakeholders – target audience and beneficiaries – for C3 activities, as described in the report “Audience for Project results”.

The list could be updated in line with pilot sites which will be identified on a later stage according to the MoCA indication.

¹ The EU experts mission to Beijing starts on November 21st, 2017

1.1.5 Overseas activities

Component 3 work plan for 2017 has included up to four EU activities (training and study visits) for MoCA delegation to several EU countries. One of them under the leadership of Deputy Minister MoCA Mr Gong Pugang and the other one for technical purpose for high level officials from central and provincial level MoCA.

In May 2017 Delegation of Ministry of Civil Affairs of the P.R. China under the leadership of Mr. Gong Pugang, Deputy Minister visited Italy (May 24th - 27th, 2017). During several days visit policy dialog meetings were hold between Deputy Minister of MoCA Mr. Gong Pugang and the Italian Under-Secretary of State of Labour and Social Policies, Ms Franca Biondelli, Mr. Tito Beori, President of INPS and Ms. Rita Visini, Regione Lazio Assessor for social policies.

Additionally at the Italian Ministry of Labour and Social Policies was organized a round table with the Directors General focusing on the role of third sector in the social assistance and on social inclusion policy in Italy. During the meeting at INPS a dialog on the Component 3 of the EU-China Social Protection Reform Project (outputs and developments), on the means testing procedure in Italy (ISEE)family allowances, invalidity as well social assistance pension and Home care premium was held.

MoCA delegation visited CARITAS an NGO acting as a service delivery provider for homeless people, elderly, migrants, etc.

During the visit to Regione Lazio coordination for assistance on the field for old-age people and sick people has been presented.

Final meeting was organized by INPS with the participation of Mr Gong Pugang and other MoCA delegation members; INPS officials including Mr Massimo Antichi the EU-China SPRP Project Leader and Component 3 resident expert Ms Marzena Breza. Discussion was dedicated to further steps in the cooperation on social assistance within EU-China Social Protection Reform Project. Additionally, some proposals has been made for deepening cooperation between Italian Ministry of Labour and Social Policy, INPS and MoCA.

In June 2017 as a part of the 2017 Component 3 of the EU-China SPRP work plan a 2-weeks training to France has been organized for the Ministry of Civil Affairs P.R. China with participation of central and local government staff. The training was coordinated by Expertise France with cooperation of MoCA and the Component 3 Coordinator Ministry of Family, Labour and Social Policy of Poland and Ms Marzena Breza the EU Resident expert of Component 3 who was also accompanying the MoCA delegation to France. MoCA delegation consisted of 15 participants,officials from Ministry of Civil Affairs with the head of the delegation Mr Liu Yong, Deputy Director General of Social Assistance Department and ten provincial level staff - directors of social assistance offices from Heilongjiang, Jiangsu, Anhui, Shandong, Hubei, Guangxi, Chongqing, Guizhou, Shaanxi, Gansu.

The main goal of the training was sharing information on policy design, knowledge and practices in terms of on Social Assistance Benefits and Services Legal Framework, Implementation and Monitoring. During the training following issues were specifically deepened and discussed: experience of

French and other EU countries and European institutions - European Parliament and Council of Europe – actions done for social cohesion; reporting; reviewing as a part of policy making and designing new policy instruments; European ‘focus’ on comprehensive approach towards labour and social policy and instruments/benefits – cooperation of labour office with social assistance beneficiaries; legal framework (national and European approach); cooperation between different levels of the government, state and NGO within social assistance system; target groups of social assistance - elderly; disabled; children, etc.

During the two weeks training several training sessions, presentations and field visits were organized. Institutions involved in the training included French one located in Paris and Strasbourg (Expertise France; Ministry of Social Affairs and Health; National Family Allowances Fund Autonomy Solidarity Fund (CNAF); Department Social Assistance Office – Bas-Rhin Region; Public Employment Agency Strasbourg- Haute-pierre; Local Family Allowances Fund (CAF) Bas-Rhin Region; School of Social Work in Strasbourg (ESTES- Strasbourg); Obernai – creche and Social Action Center (public institution); and European level like the European Parliament (Commission for Employment and Social Affairs) and Council of Europe (Department of Social Cohesion and Diversity). Beside the governmental level intuitions some NGOs (NGO Caritas France and NGO services Secours Populaire (People’s aid) contributed to the knowledge and practice exchanges during the training period.

Thanks to the engagement in the training policy makers, EU experts and practitioners in the field of social assistance at the national (French) and EU level, MoCA delegation had the opportunity for concrete knowledge exchange and sharing practices in a view of challenges for social assistance system in Europe and China.

In September 2017 continuing the work plan foreseen for 2017, Component 3 of the EU-China SPRP Project supported the Ministry of Civil Affairs P.R. China with EU-China knowledge and practical exchanges. A study visit coordinated by Ministry of Labour and Social Justice of Romania and the Ministry of Family, Labour and Social Policy of Poland focused on standards and accessibility of benefits and services (for poor people; families with children; elderly and the disabled) in social assistance as well as monitoring tools used in social assistance schemes. MoCA officials (central and provincial level) held several exchanges with central and local government staff as well as took part in a field visits to social services providers (NGOs). The delegation was also hosted by the National Agency for Payments and Social Inspection and the Prahova county in Romania where several social assistance institutional support schemes for vulnerable groups and monitoring tools used for social assistance were presented. In Poland local Social Assistance Office (Warszawa Praga Południe), day care center and NGO – Otwarte Drzwi have been visited.

The main goal of the study visit was sharing information on policy design, payment and financing schemes, legal and institutional framework as well as defining main challenges for the social assistance.

MoCA delegation had the opportunity to learn the latest achievements of the social assistance system and reform planned in these two countries as well as to share knowledge on social assistance progress in China.

1.1.6 Human resources

The contract of the EU resident expert for Component 3, Ms Marzena Breza took effect on 23 December 2014. The EU resident expert of Component 3, within the Beijing Office in the assessed period was assisted by Ms Miao Yijun. Component 3 is lacking operational Chinese expert mainly due to budget limitations and availability of Chinese experts in social assistance. This makes the daily cooperation with Chinese beneficiary (MoCA) of Component 3 much more challenging also from the perspective of limited efficiency of English communication between the Resident Expert and MoCA.

For Component 3 three Chinese experts were allocated during the period under review. Around 120 working days (under new budget arrangements) will be allocated among CN experts by the end of 2017. Around 50 working days are foreseen to be allocated in 2017 for the EU experts.

Table 4 – Human resources in 2017 Component 3

EU-CHINA SOCIAL PROTECTION REFORM PROJECT COMPONENT THREE - MONITORING OF HUMAN RESOURCES - 2017 as for early November 2017									
#	CATEGORY			POSITION	DURATION W/D		INCUMBENT	OBSERVATIONS	
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT			
1		X		RESIDENT EXPERT			MARZENA BREZA	FULL-TIME / INCLUDING PILOTS WHEN DEFINED	
2			X	COMPONENT ASSISTANT			Miao Yijun/Sun Wei	PART-TIME / INCLUDING PILOTS WHEN DEFINED	
3	X			1. RESEARCH 3.2.2 Optimization of Social Assistance – Procedures and Eligibility Criteria for Social Assistance Benefits	max 40		Yao Jianping		
4	X			2. RESEARCH 3.2.3 Overall coordination of social assistance resources in China	max 40		Haomiao Zhang		
5	X			3. RESEARCH 3.2.4 Fraud in social assistance: identification, coping strategy and prevention	max 40		Lin Yi		
6	X	X		CONSULTANCY FOR THE WORKSHOP MEETING ON ASSESSMENTS OF SOCIAL ASSISTANCE IN CHINA	within research study budget line	4000 EURO EACH	1 EU EXPERT - MIHAI MAGHERU 1 CN EXPERT - GUAN XINPING	IN CHINA	Jun-17
7	X			RESEARCH ON COMPONENT 3 PILOTS PROGRAM AND FINAL POLICY RECOMMENDATION REPORT ON TOPICS 3.1.1&3.1.2&3.1.3&3.1.4&3.2.1&3.3.1	within research study budget line	4000 euro	1 CN EXPERT - GUAN XINPING	IN CHINA	Oct-17
8		X		CONSULTANCY FOR THE 4TH C3 PANEL DISCUSSION ON THE TOPICS: 3.2.2&3.2.3 &3.2.4	0		not selected - postponed till 3rd Workshop in November 2017	IN CHINA	Jun-17
9		X		CONSULTANCY FOR THE 3RD WORKSHOP ON TOPICS: 3.2.2 & 3.2.3 & 3.2.4	max 45		6 EU EXPERTS FOR 5-10 working days each	IN CHINA	NOV 2017 - 3RD C3 WORKSHOP
10	x	x		TRAINING IN FRANCE - 2 WEEKS	1		EU EXPERT - Mihai Magheru	IN FRANCE	

1.1.7 Visibility

C3 RE supports the team from the horizontal Secretariat with all related background information and papers performed under C3 activities for the purpose of publishing on the Project webpage on a regular basis.

C3 (like C1) has published Component Newsletters. All can be found on the new Project website <http://www.euchinasprp.eu/index.php/en/> as well.

Additionally, to improve the visibility of Component 3 EU-China SPRP, a special column on MoCA's official website information about the activities on Component 3 was created.

1.2 Activities

The current chapter presents a summary of activities conducted during the period under Component 3.

It follows the structure of the Plan of activities for 2017 adopted by the PAC meeting held on 22 February 2017.

1.2.1 Situational analysis

The 2017 Activity plan for C3 endorsed by the PAC meeting on February 22nd states that “under Component 3 three topics will be a subject of situational analysis in 2017, namely: 3.2.2, 3.2.3, 3.2.4.”

Situation analysis for the three topics has been conducted by three Chinese experts selected jointly by MoCA and the C3 resident expert and shared with the C3 Component Coordinator.

All topics will be a subject of an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

The evaluation of topics 3.2.2, 3.2.3, 3.2.4 started in January/February as shown in table 5 below.

While the official requirement of the Project is to prepare reports in English, all authors agreed that the impact of their work would be more immediate if a Chinese version was also available for the direct use of national stakeholders. In case of Component 3 main steps to ensure the CN and EN reports are undertaken by MoCA with some support of EU-China SPRP Office.

Following the experience of 2015&2016, the project in 2017 has been implemented in close cooperation with MoCA, which is the main national stakeholder for Component 3 – 3 topics; see Table 6

Table 5 – Schedule for situation analyses

R	No	Topic	Incumbent	Deadline for providing situation analyses
10	3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	CN expert 1 - Mr. Jianping Yao	First draft report by May 2017 Final assessment report by mid July 2017
10	3.2.3	Coordination of overall social assistance resources	CN expert 2 - Ms. Zhang Haomiao	First draft report by May 2017 Final assessment report by mid July 2017
10	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	CN expert 3 - Mr. Lin Yi	First draft report by May 2017 Final assessment report by mid July 2017

Coherence among the various situational analysis will be provided by mid-term peer review and quality control conducted by Chinese short-term experts working under the supervision of the C3 EU Resident expert and MoCA.

It is envisaged that an European expert will be provided for the situational analysis for each topic, in order to ascertain that the assessment reports correspond to the level and quality of information required allowing for proper matching with the corresponding EU experience in terms of best practices.

The output of this activity is to provide an adequate knowledge of Chinese situation related to the topic under consideration. The assessment reports in English document the findings and conclusions of the Chinese experts.

1.2.2 Identification and Review of possible European experience

In the reviewed period Component 3 did not organise an EU mission due to the lack of candidates by the time of open calls. The next mission with 6 EU experts to Beijing is planned for November 2017.

An extended opportunity for exchange EU practises will be performed within 3rd Component 3 Workshop which is planned for November 2017.

1.2.3 Cross-topic activities

1.2.3.1 Panel discussions

The 4th Panel discussion under the 2017 work plan was hosted by MoCA on June 29th, 2017 namely for topics 3.2.2; 3.2.3; 3.2.4.

1.2.3.2 Workshops on the analysis phase

The C3 activity plan for 2017 foresees a Workshop aiming at reviewing the topics analyzed in 2017.

The 3rd C3 Workshop will be organised by MoCA on November 24th, 2017.

For this workshop six short-term EU experts - two for each topic namely 3.2.2; 3.2.3 and 3.2.4 will be presenting best practises and preliminary policy recommendations.

1.2.3.3 Component management

During the period under review the team of C3 in Beijing has been limited to C3 Resident Expert and part-time Component Assistant as from February 2016. As from 1st January 2016 C3 team is supported by a new Secretariat – Expertise France.

Beside that cooperation with MoCA counterparts is functioning on a daily basis - regular joint meetings are held to review all pending, outstanding or proposed questions mainly coordinated by the C3RE.

1.2.4 Pilot Sites/Local Visits

MoCA has not selected the pilot sites yet. However the comprehensive framework of the pilots has been discussed. A research will take place in the next months first to support MoCA in proper programming the pilots (setting goals based on a solid motivation for choosing particular topics) and secondly local staff with the topics and instruments to be used when piloting EU examples.

For this specific task senior Chinese expert (Prof. Guan Xinping) has been selected to review policy proposals made by EU and Chinese experts (including motivation) and furthermore propose a program for the pilots to be undertaken in late 2017 or early 2018 in a few provinces in China.

The last C3 Panel Discussion was followed by a seminar – workshop on the assessment of social assistance in China. The aim was to focus the discussion on target, measures and scope of the pilots for the 2017-2018. Foundation for the discussion was a previous research (one of them relates to *study on social assistance structures of P.R. China - main achievements of and challenges* and the other focuses on *most relevant EU countries experiences for social assistance structures of China*) executed by Prof. Guan Xinping and Mr Mihai Magheru on Chinese and European social assistance system respectively.

1.3 Planning for the next period

Lastly, a preparatory work for planning 2018 activities has been undertaken. The main goal as for the next year performing should be the pilot exercise in a few locations in China at the county level.

1.3.1 2018 Activities

In 2017 Component work plan the approach suggested by MoCA relates to the effort already done in terms of the 3 results (from R9-R11) of the Project.

Annex XIV reproduces the revised work plan for C3 proposed activities, covering the period until February 2018.

1.3.1.1 - Completing 2017 programme;

The final activity for 2017 to be undertaken is the 3rd C3 Workshop. On this occasion C3 with support of MoCA with 6 EU experts mission to Beijing. EU mission will enable further knowledge and expertise exchanges between MoCA officials and Chinese experts working for EU-China SPRP.

1.3.1.2 Choosing technical topics for 2018

In 2017 three topics (3.2.2, 3.2.3 and 3.2.4) have been a subject of the situational analysis. Three assessment reports have been completed by July 2017. Full publication covering 2017 research will be completed in early 2018.

From the remaining 2 topics to be considered under Component 3 both will be a subject of situational analysis in 2018, namely: 3.3.2, 3.3.3. All topics will be a subject of an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government's priorities in terms of social assistance system.

For both topics, the review and assessment will start in January as shown in table 1.

The main priority is to complete the result 11 (*R11 - Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level*).

Table 6 – Topics to be considered, Component 3

ID	DESCRIPTION	R.	DATE STARTS
Component 3 - Improving of legal framework and policy for social assistance			
3.3.2	Providing services for social assistance beneficiaries	11	January 2018
3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	11	January 2018

The subsequent sections of this document will introduce the corresponding activities for each of above listed topics (point 3.4.3) to be conducted in 2018 following the overall approach in the Grant application form across Identification and Analysis phases. Identification phase corresponds mainly to the review of the current situation in China and in European countries for a given topic, while the Analysis phase leads to the elaboration of the adequate reform proposals taking into account the most relevant EU best practices for the same topic.

Some activities will be the same for all topics (e.g. panel discussions or workshops) and therefore are described in separate point (point 3.4.5). A separate chapter is dedicated to the Pilot and will be possibly a part research activities foreseen for 2018.

1.1.1. Specific Activities

Situational Analysis

Following the experience of previous three years, the project in 2018 will be implemented in close cooperation with MoCA, which is the main national stakeholder for Component 3.

Table 7 – Schedule for situation analyses

R	No	Topic	Incumbent	Deadline for providing situation analyses
11	3.2.2	Providing services for social assistance beneficiaries	CN expert 1	First draft report by May 2018 Final assessment report by mid July 2018
11	3.2.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	CN expert 2	First draft report by May 2018 Final assessment report by mid July 2018

Coherence among the various situational analysis will be provided by mid-term peer review and quality control conducted by Chinese short-term experts working under the supervision of the C3 EU Resident expert and MoCA.

It is envisaged that an European expert will be provided for the situational analysis for each topic, in order to ascertain that the assessment reports correspond to the level and quality of information required allowing for proper matching with the corresponding EU experience in terms of best practices.

The output of this activity is to provide an adequate knowledge of Chinese situation related to the topic under consideration. The assessment reports in English document the findings and conclusions of the Chinese experts².

Identification and Review of Possible Relevant EU Experience

In compiling the best practices contributions from EU Member States, special attention will be paid to experiences and lessons learned of adjusting social assistance policies to adapt to economic crisis in EU Countries. 2 short-term EU experts will be recruited for the project (one to each of the topics) to prepare the EU best practices report (collect, analyze and compile most relevant EU best practices and their compatibility with the expectations and requirements of the Chinese stakeholders).

The best practices reports will be prepared by recruited EU short-term experts:

First batch on the occasion of Panel Discussion by June 2018 – for topics 3.3.2; 3.3.3.

Second batch on the occasion of Workshop by October 2018 – for topics 3.3.2; 3.3.3.

The output of this activity will be a documentation on EU best practices relevant to Chinese social protection reform listed priorities, while deliverables will be the best practices reports for each of the

² It is expected that each Situational analysis will be limited to some 40 pages.

topics considered under Component 3 plan of activities for 2018, including a special contribution on experiences and lessons learned in addressing economic crisis, demographic change including ageing.

For the year 2018 the EU experts are foreseen for each topic separately for the panel discussion and the workshops. Additionally, several missions could be organized if requested by MoCA on specific topics according to the selection procedure under the supervision of the Consortium.

1.1.2. Policy dialog / Study assignments to EU countries³

For the 2018 three EU activities are foreseen for Component 3.

In May- 2018 under the leadership of MoCA study visit to two EU countries has been planned. The topic will refer to 2017 research issues namely to social assistance overall resources; targeting and antifraud methods and prevention.

The detailed program and activities to be undertaken will be decided by January 2018. It is foreseen that the outputs of the study visit (mission report) will be shared within the Project.

–Study visit topic: Study visit on Social Assistance overall resources; targeting and antifraud methods and prevention	May 2018
	Participants / Duration
TBD two EU countries – Topics 3.2.2;3.2.3;3.2.4	a. 6 participants, 8 days

In June a study visit on issues related professionalization of social assistance staff and social workers; experimentation and innovation in social assistance (for most excluded people) and a multilateral co-operation for better implementation of social assistance policy. It is planned that a visit will be organized possibly to non-consortium EU countries.

Study visit - topic: Study visit on social assistance for vulnerable groups	June 2018 (TBC)
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³ As for October 12th, 2017 MoCA is still waiting final approval for the EU visits plan (dates & venues).

	Participants / Duration
Non- consortium (two) EU countries (TBC) – Topics 3.3.2&3.3.3	a.6 participants 8 days

In 2018 training activities for Component 3 are foreseen to be undertaken in China. The main target would be to offer training (based on previous research outputs) to the country and township level staff in locations selected as pilot sites.

1.1.3. Cross-topics activities

Panel discussion

Panel discussion for three interrelated topics has been tentatively scheduled for June 2018.

This Panel discussion will gather the Chinese experts in charge of the respective situational analysis, representatives of the interested Chinese stakeholders and the Component management. Consideration will be given to the possibility of arranging videoconference for the event, to facilitate participation of interested EU Member States specialists. However due to the time constraints it can be a challenging issue.

To support the Panel discussion sessions and the production of subsequent panel reports, the preliminary set of typical reform proposals to follow with the corresponding requirement to deepen knowledge on most relevant European best practices will be prepared. Ad hoc support will be provided by 2 Chinese and 2 European experts - one for each of the three reports.

Table 8 - EU best practices reports

R	No	Topic	Incumbent	Deadline for providing situation analyses
11	3.3.2	Providing services for social assistance beneficiaries	EU expert 1	First draft report by July 2018 Final assessment report by mid August 2018
11	3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	EU expert 2	First draft report by July 2018 Final assessment report by mid August 2018

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The output of this activity will be a solid ground for a preliminary formulation of desirable areas for reforms under the concerned topics, and a selection of European best practices worth deepening for that purpose. Deliverables will be reports on the Panel discussion sessions prepared by Chinese and European experts.

ITEM	June 2018
	Participants / Duration
Panel discussion Topics: 3.3.2; 3.3.3.	20 participants, 1 day

Workshop on the Analysis Phase

This activity represents a logical continuation of the Panel discussion sessions. The workshop will represent an unique opportunity, after duly analyzing the Chinese situation and the most relevant EU best practices, to start considering a set of draft reform proposals concerning the variety of topics already introduced under Component 3 Plan of activities, 2018.

The 4th Component 3 Workshop has been tentatively scheduled for October 2018.

The Workshop will gather high level representatives of the Chinese stakeholders, Chinese and European experts and Component 3 representatives. Provisions could be made to facilitate attendance via videoconference of interested consortium members and project leadership. However due to the time constrains it can be a challenging issue.

The preparation, holding and subsequent reporting of the workshop would benefit from the support of 1 Chinese and 1 EU expert for each of the three topics recruited for the project. The results and conclusions of the Workshop would be of direct use in shaping reform proposals and designing related technical assistance activities, including development of ad hoc tools, as foreseen under the Grant application form Follow Up phase (Macro-activities 3.8 and ff.).

Table 9 - Policy recommendations reports

R	No	Topic	Incumbent	Deadline for providing situation analyses
11	3.3.2	Providing services for social assistance beneficiaries	EU expert 3 CN expert 1	First draft report by October 2018 Final assessment report by mid Early November 2018

11	3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	EU expert 5 CN expert 2	First draft report by October 2018 Final assessment report by mid Early November 2018
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Output of the Workshop will be a solid ground for considering further possible reform options to be finalised, and designing a programme of accompanying technical assistance and technical tools development to be implemented under project auspices. The deliverables will be the Workshop report including proposals for reform options on each of the three topics being a subject of situational analysis in 2018, and a first set of proposed activities for a technical assistance programme and the development of relevant technical tools under the framework of the project Component 3.

ITEM	October 2018
	Participants / Duration
Workshop to discuss first proposals for reform options, topics: 3.3.2; 3.3.	35 participants, 1 day

1.1.4. Pilot Sites

Pilots for Component 3 should start in early 2018. The first step is setting the main goals and techniques to be used at pilot sites.

The final Program of the C3 pilot will be decided by MoCA after the research by Prof. Guan Xining is completed.

In the framework of Pilot exercise C3 could support MoCA by involving Chinese and European experts. It will be also involved to provide manuals for the social assistance staff taking part in the pilot. The idea is to share the main target of the pilot; motivation and expected results.

The key issue will be organizing a training for MoCA staff dedicated to take part in the pilot. Activities to be undertaken will include training in China for county and township social assistance staff. Before the pilots start there is a need of providing training to explain the goals of the pilot; actions to be undertaken and monitoring methods.

For smooth implementation of the pilot a local agency (possibly training institution) will be selected to ensure proper preparation of the pilots (organizing training; daily monitoring of the pilot process and its evaluation).

Finally, the pilot should provide a solid publication on the outputs of the pilots and their evaluation as well possible follow up. This should include also other visibility actions on the pilot area.

Based on above Component 3 pilots could gather a reasonable number of social assistance officials getting professional knowledge and learning from experiences of the EU member states social assistance system. This due to the internal rules and costs is not feasible when such events are organized in Europe.

1.1.5. Deliverables

For 2018 within Component 3 deliverables are provided according to the work plan and activities performed through the year.

Table 10 – Component 3 - 2018 deliverables (preliminary list)

DATE	TITLE	AUTHOR	OBSERVATIONS
Feb 2018	2017 C3 Volume	Package of CN and EU final reports from 2017	EN/CN
March 2018	C3 1/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
May 2018	7 th Component Status report	MRPiPS & C3RE	EN
May 2018	Study visit to Europe (1) background materials	Coordinated by MRPiPS C3RE	EN & possibly in CN
June 2018	Study visit to Europe (1) mission report	MoCA	EN&CN
June 2018	C3 2/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
June/July 2018	Assessment report on topic 3.3.2	CN expert 1	EN/CN
June/July 2018	Assessment report on topic 3.3.3	CN expert 2	EN/CN
June 2018	5 th Panel Discussion meeting report	C3RE Comp 3 Assistant	EN
July 2018	BEST PRACTICES REPORT – 3.3.2	EU expert 1	EN
July 2018	BEST PRACTICES REPORT – 3.3.3	EU expert 2	EN
September 2018	Training in Europe background materials	Coordinated by MRPiPS	EN
September 2018	Training in Europe mission report	MoCA	EN&CN
September 2018	C3 3/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
Oct 2018	Policy recommendations / Best practices report 3.3.2	CN expert 1 / EU 3 expert	CN/EN&EN
Oct 2018	Policy recommendations / Best practices report 3.3.3	CN expert 2 / EU 4 expert	CN/EN&EN
Oct/Nov 2018	4 th C3 Workshop report	C3RE Comp 3 Assistant	EN
November 2018	C3 4/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN

1.1.6. Human Recourses foreseen for 2018

Table 11 - Component 3 Human resources in 2018 (estimation)

EU-CHINA SOCIAL PROTECTION REFORM PROJECT COMPONENT THREE - MONITORING OF HUMAN RESOURCES - 2018 as for October 2017								
#	CATEGORY			POSITION	DURATION W/D		INCUMBENT	OBSERVATIONS
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT		
1		X		RESIDENT EXPERT			MARZENA BREZA	FULL-TIME / INCLUDING PILOTS WHEN DEFINED
2			X	COMPONENT ASSISTANT			TBD	PART-TIME / INCLUDING PILOTS WHEN DEFINED
3	X			1. RESEARCH 3.3.2	max 40		TBD	
4	X			2. RESEARCH 3.3.3	max 40		TBD	
5		X		CONSULTANCY FOR THE 5TH C3 PANEL DISCUSSION ON THE TOPICS: 3.3.2&3.3.3	max 30		2 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA Jun-18
6	X	X		RESEARCH AND OTHER KINDS OF SUPPORTING ACTIVITIES UNDERTAKEN BASED ON THE PILOTS NEEDS	TBD		TBD	IN CHINA Jan-Sep 2018
7		X		CONSULTANCY FOR THE 4th WORKSHOP ON TOPICS: 3.3.2 & 3.3.3	max 30		2 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA October 2018 - 4th C3 WORKSHOP
8	X	X		TRAINING IN THE EU 2 weeks	TBC		EU EXPERTS	EU

1.1.7. Summary

Table 12 - Activities foreseen for 2018 (chronological order)

No	Topic	Date	location
1.	Situation analyses on topics 3.3.2; 3.3.3.	from January to July 2018	China
2.	Pilot sites	Jan-Sep 2018	China
3.	Study visit to Europe (1)	May 2018	EU
4.	Study visit to Europe (2)	June 2018	EU
5.	Panel discussion on topics 3.3.2; 3.3.3.	June 2018	Beijing-China
6.	Best practices reports -first batch (for Panel Discussion)	from June to July 2018	China/EU
7.	4 th C3 Workshop on topics 3.3.2; 3.3.3.	October 2018	Beijing-China
8.	Best practices reports / policy recommendations (for 4 th C3 Workshop)	October 2018	China/EU

Tentative number of short-term experts contributing to C3 activities held in China in 2018:

EU experts: 2 for Panel discussion in June 2018; 2 for the 3rd C3 Workshop in October. Each mission of the EU expert is foreseen for max 10 working days. Possibly several EU experts will be involved for the research/training activities of the purpose of the pilots in China to be decided at the later stage.

Chinese experts: 2 CN experts – one for each research topic selected for 2018 for max 40 w/d each of them. Possibly several CN experts will be involved for the research/training activities of the purpose of the pilots in China to be decided at the later stage.

1.3.1.3 Visibility

The new arrangement set up by the new horizontal Secretariat includes also the visibility of the activities. C3 team is supporting the page with all new C3 outputs on a regular basis.

1.4 Revised GANTT

Implementation of 2017 C3 plan of activities has been included in the revised C3 GANTT form. See Annex XV.

6. Annexes

6.1 Component 3

6.1.1 Annex X – Topics to be considered

Topics to be considered, Component 3⁴

Result	#	Topic	Date starts
R10	3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	January/February 2017
	3.2.3	Coordination of overall social assistance resources	January/February 2017
	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	January/February 2017
R11	3.3.2	Social Assistance services for poor people and families – service delivery approach	January 2018
	3.3.3	Communication techniques and channels of public information on Social Assistance policy	January 2018

⁴ Incorporating the changes agreed upon between MoCA and Component 3, subsequently endorsed by the PAC on its 21 April 2015 meeting.

6.1.2 Annex XI – National stakeholders

Target Groups, Component 3	Final beneficiaries, Component 3
High level officials of NDRC	Rural people / farmers
High level officials, managers and staff of MoF	Rural landless households
High level official of managers and staff MoCA	Elderly / People in retirement age
High level officials of MoHRSS	Children (aged less 16)
High level officials of MoA	People with disabilities (physically or mentally)
High level officials, managers and staff of NHFPC	Patients
High level officials, managers and staff of LAO	Migrant workers
Members of CIRC	Indigent people
Representatives of ACWF	Unemployed people
Representatives of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC representa- tives	
Members of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC	
Local administrators, officials and staff *	

6.1.3 Annex XII – Deliverables

Component 3 – deliverables under reviewed period

DATE	TITLE	AUTHOR	OBSERVATIONS
May 2017	5th Component Status report	MRPiPS & C3RE	EN

DATE	TITLE	AUTHOR	OBSERVATIONS
May/June 2017	MoCA ministerial study visit to Italy - background materials	Coordinated by INPS C3RE	EN/IT & CN
June 2017	4th Panel Discussion meeting report (joint report)	C3RE& Comp 3 Assistant	June 2017
June 2017	4 th Panel Discussion follow up meeting on assessment of social assistance in China – meeting report (joint report)	C3RE& Comp 3 Assistant	June 2017
June 2017	C3 Newsletter 2/2017	C3RE& Comp 3 Assistant	EN/CN
July/August 2017	Training to France background materials	Coordinated by Expertise France/MRPiPS C3RE	EN & CN
August 2017	Training in France mission report	MoCA	EN&CN
July/August 2017	Assessment report Optimization of social assistance – procedures and eligibility criteria for social assistance benefits - 3.2.2	CN expert 1 Yao Jianping	EN/CN
July/August 2017	Assessment report Coordination of overall social assistance resources - 3.2.3	CN expert 2 Zhang Haomiao	EN/CN
July/August 2017	Assessment report Fraud in social assistance: identification, coping strategy and prevention– 3.2.4	CN expert 3 Lin Yi	EN/CN
September/October 2017	Study visit to Europe to Romania and Poland - background materials	Coordinated by MRPiPS C3RE& Comp 3 Assistant	EN/CN
September 2017	C3 Newsletter 3/2017	C3RE& Comp 3 Assistant	July 2017
October 2017	Study visit to Europe to Romania and Poland mission report	MoCA	EN&CN
November 2017	Research output on C3 pilot programming	CN short-term Prof. Guan Xinping	CN& possibly EN

6.1.4 Annex XIII – Quarterly Dashboard 2017

In a separate xls file

6.1.5 Annex XIV – Tentative work plan until November 2018 in a separate xls file

6.1.6 Annex XIV – Revised GANTT

