Seventh Six-months Component 3 Status Report

17 November 2017 – 16 May 2018

Report submitted by the

Ministry of Family, Labour and Social Policy

(MRPiPS) - Poland,

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DRAFT

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Contents

Relevant Acronyms

Acronym	Description
ACFTU	All-China Federation of Trade Unions
ACWF	All-China Women's Federation
ADECRI	Agence pour le Développement et la Coordination des Relations Internationals (Agency for the
CEC	China Entreprise Confederation
CASS	China Academy of Social Science
CIRC	Chinese Insurance Regulatory Commission
EN3S	Ecole Nationale Supérieure de Sécurité Sociale (National School of Advanced Social Security
ESF	European Social Fund
EU	European Union
EU MS	European Member State
EUD	European Union Delegation
IAC	Insurance Association of China
IASIA	International Association of Schools and Administration Institutes
IBRD	International Bank of Reconstruction and Development
ICT	Information and Communication Technology
ILO	International Labour Organization
IMC	Internal Management Committee
ISSA	International Social Security Association
LAC	Latin America & the Caribbean
M&E	Monitoring and Evaluation
MIS	Management Information System
MoA	Ministry of Agriculture
MoCA	Ministry of Civil Affairs
MoF	Ministry of Finance
MOFCO	Ministry of Commerce
NHFPC	National Health and Family Planning Commission;
MoHRSS	Ministry of Human Resources and Social Security
NDRC	National Development and Reform Commission
NGO	Non-Governmental Organization
OECD	Organization for Economic Co-operation and Development
OHS	Occupational Health & Safety
OPHRD	Operational Programme Human Resources Development
PAC	Project Advisory Committee
SAFEA	State Administration of Foreign Experts Affairs
SAI	Shanghai Administration Institute
SAWS	State Administration for Work Safety
SOCIEUX	Social Protection European Union Expertise in development cooperation
UNDP	United Nations Development Programme

Introduction

The seventh Component 3 Status Report presents activities of the Component 3 in the six months from 17 November 2017 to 16 May 2018.

Activities within Component 3 during the seventh period of implementation focused mainly on finishing the analyses of three topics agreed in Action plan for 2017 for Component 3, starting two topics agreed in Action plan for 2018 for Component 3, organisation of events enabling the exchange of the opinions and best practices and preparation for the pilot activities in China.

The analysis of the following topics has been started in the reviewed period:
3.3.2   Providing services for social assistance beneficiaries
3.3.3   Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)

The main priority was aimed at completing the third result of the Project *(R11 - Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level)*.

In the reviewed period C3 focused on the extended exchange of EU practices and recommendations.

On 24 November 2017 MoCA hosted the 3rd C3 Workshop. The event was supported by six senior EU experts, 3 Chinese short-term experts and several external senior experts from China specialising in the topics discussed.

In December 2017 MoCA held a study visit to Italy and Belgium having exchanges with the Italian Institute of Social Security and the Ministry of Labour and Social Policies and Federal Public Service Social Security of Belgium and the Federal Public Planning Service for Social Integration. The main focus of the visit was given to social assistance means testing, policy implementation and monitoring.

In the reviewed period, C3 coordinated situation analysis for the three topics according to the Component 3 2017 work plan, conducted by three Chinese experts as well as best practice reports and policy recommendations conducted by six European experts.

The visibility of the Project has been improved thanks to better implementation of the project website and a special column on MoCA's official website dedicated to C3. Component 3 has been preparing bilingual newsletter during the period under review.

The period under review proved again ongoing excellent collaboration between MoCA, the C3 project team based in Beijing and Polish Ministry of Family, Labour and Social Policy.

Monika Szostak

Ministry of Family, Labour and Social Policy

Poland

1.1   Background information on the Component activities and results

This report describes implementation of activities under the Component 3 – support MoCA on Improving of legal framework and policy for social assistance - of the EU-China Social Protection Reform Project. It covers the period from 17 November 2017 to 16 May 2018.

1.1.1   Results pursued

Within Component 3 of the project there are 3 of 11 identified results - results R9 to R11 inclusive, namely:

- Promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living;

- Developing of legal framework on unified standards for defining social assistance benefits, target groups, low income families; and,

- Improving care for poor rural people and disabled people as well public information and transparency on social assistance policy.

MoCA is the main stakeholder and beneficiary for Component 3 activities.

Topics being explored in 2017 and 2018 contribute mainly to the R10 –*("Result 10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups c) identification of low-income families are consolidated")* and to the R11 ("*Result 11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level*").

1.1.2   Analysed topics

In 2017 under Component 3 three topics were being elaborated (based on the 2017 work plan adopted during the PAC meeting on 22 February 2017). See table 1. Component 3 was performing research activities related mainly to the topics 3.2.2; 3.2.3; and 3.2.4. All topics were subject to an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

Table 1 – Topics in 2017, Component 3

ID	DESCRIPTION	R.	DATE STARTS
Component 3 - Improving of legal framework and policy for social assistance			

3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	10	January/February 2017
3.2.3	Coordination of overall social assistance resources	10	January/February 2017
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	10	January/February 2017

For the period under review identification and situation analysis for topics 3.2.2, 3.2.3, 3.2.4 have been finalized by the three Chinese experts as indicated in the Table 2.

Table 2 –Situational analysis in 2017, Component 3

R	No	Topic	Incumbent	Output	Observation
10	3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	CN expert 1 - Mr. Jianping Yao	EN&CN report	Policy recommendation-report submitted to MoCA By December 2017
10	3.2.3	Coordination of overall social assistance resources	CN expert 2 - Ms. Zhang Haomiao	EN&CN report	Policy recommendation-report submitted to MoCA By December 2017
10	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	CN expert 3 - Mr. Lin Yi	EN&CN report	Policy recommendation-report submitted to MoCA By December 2017

Topics 3.2.2, 3.2.3, 3.2.4 are being under research activities as from January/February 2017 by three Chinese experts.

All finalised reports will be published in a C3 2017 volume for each of the three topics and have been shared with a broader audience via the Project website.[1]

As for 2018 work plan Component 3 has contened research activities. The PAC meeting held on 6 February 2018 agreed on the topics to be considered within Component 3 activities. See Annex X. Some adjustments have been made to take into account the priorities of MoCA since the elaboration of the Grant application form.

From the remaining 2 topics to be considered under Component 3 both are subject of situational analysis in 2018, namely: 3.3.2, 3.3.3. All topics are subject of evaluation in view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government's priorities in terms of social assistance system.

For both topics, the review and assessment activities started in January as shown in Table 3.

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[1] http://www.euchinasprp.eu/en/components-en/component-3-en/2016-reports/36-components-en/158-2017-reports

The main priority is to complete the result 11 (R11 - *Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level*).

Table 3 - Topics to be considered in 2018

ID	DESCRIPTION	R.	DATE STARTS
Component 3 - Improving of legal framework and policy for social assistance			
3.3.2	Providing services for social assistance beneficiaries	11	January 2018
3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	11	January 2018

1.1.3 Best practices

Activities from 2017 were continued and activities planned for Component 3 in 2018, approved by the PAC meeting on 22 February, were also performed. Following actions have been already successfully accomplished:

- The 3-topics 3rd C3 Workshop hosted by MoCA on 24 November, 2017. The event was supported by 3 CN short-term experts and several external senior experts from arcoss the country specialising in the topics discussed.

For the time of 3rd C3 Workshop on the topics 3.2.2, 3.2.3, 3.2.4 Component 3 was orgasing mission for six senior EU experts.

Table 5 – Best practices reports in 2017 (within the time frame of this review), Component 3

TOPIC	TITLE	INCUBENTS[2]	OUTPUT	OBSERVATIONS
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	Julien Van Geert-som	1 report EN	Best practice report to be submitted to MoCA by December 2017
3.2.3	Coordination of overall social assistance resources	Heinz-Dietrich Steinmeyer	1 report EN	Best practice report to be submitted to MoCA by December 2017
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	Stephane Pacaud	1 report EN	Best practice report to be submitted to MoCA by December 2017

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[2] The EU experts mission to Beijing lasted on November 21st- 30th, 2017.

The 3rd Component 3 Workshop was hosted by MoCA on 24 November, 2017. Based on the briefing of Chinese social assistance in the field of 2017 topics as well as discussion and exchanges between Chinese and EU best practises report for the three topics 3.2.2; 3.2.3 and 3.2.4 were provided.

The implementation of the project proved that in order to increase the relevance and to improve the focus of exposure to European best practices under each of the related topics, the selection of European best practices should respond to the needs identified by the Chinese counterparts.

The EU best practises were futher elaborated by other three EU senior experts which executed reports in reference to each of the 2017 research topics. Please see table 6.

Table 6 – Policy recommendations in 2017 (within the time frame of this review), Component 3

TOPIC	TITLE	INCUBENTS[3]	OUTPUT	OBSERVATIONS
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	Lacramioara Corches	1 report EN	Policy recommendation report to be submitted to MoCA by December 2017
3.2.3	Coordination of overall social assistance resources	Jean-Yves Hocquet	1 report EN	Policy recommendation report to be submitted to MoCA by December 2017
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	Katharina Hackstein	1 report EN	Policy recommendation report to be submitted to MoCA by December 2017

1.1.4 Stakeholders

The main Chinese stakeholder for Component 3 of the Project is the Ministry of Civil Affairs (MoCA). Department of Social Assistance in MoCA is the lead technical unit for Component 3.

Annex XI to this report lists national stakeholders – target audience and beneficiaries – for C3 activities, as described in the report "Audience for Project results".

The list could be updated in line with pilot sites which will be identified on a later stage according to the MoCA indication.
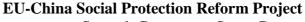
1.1.5 Overseas activities

Component 3 work plan for 2017 has included four EU activities (training and study visits) for MoCA delegation to several EU countries.

In the period of this report review MoCA held a study visit to Italy and Belgium.

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[3] The EU experts mission to Beijing lasted on November 21st- 30th, 2017.

In December Ministry of Civil Affairs P.R.China was having exchanges in Italy with the Italian Institute of Social Security and the Ministry of Labour and Social Policies & in Belgium with the Federal Public Service Social Security and the Federal Public Planning Service for Social Integration.

The visit was organised jointly with the Coordination Meeting of the SPRP Project in Rome on December 1st.

Italian Institute of Social Security, Federal Public Service Social Security and the Federal Public Planning Service for Social Integration from Belgium hosted the Ministry of Civil Affairs P.R.China visit organized within Component 3 Social Protection Reform Project on November 30th-December 7th, 2017.

The main focus of the visit was given to social assistance means testing; policy implementation and monitoring. Component 3 of the EU-China SPRP Project through the visit continue supporting the Ministry of Civil Affairs P.R.China with EU-China knowledge and practical exchanges.

In Italy the MoCA visit was coordinated by the INPS and following issues had been addressed:

Equivalent Economic Situation Indicator (ISEE) and its strategic role in the Italian Social Protection system as well as a monitoring tool of the Italian households' wealth and a guide for the evolution of Social Protection policies. "ISEE Simulator" was demonstrated through the INPS webpage. In addition, INPS presented the Italian National Social Assistance register for all the benefits and services provided to each citizen by each institution (public and private, at central and local level). Finally, Social Assistance for specific groups – services for children, families and vulnerable people - allowances for children, motherhood and low-income families were shared during the visit.

In Belgium the MoCA visit was coordinated by the Federal Public Service Social Security and the Federal Public Planning Service for Social Integration.

The Federal Public Service Social Security was introducing MoCA delegation to means testing in the three social assistance schemes: minimum income for the elderly, people with disabilities and people on active age and sharing the main assumptions of the Crossroadsbank for Social Security.

The Federal Public Planning Service for Social Integration presented to MoCA delegation means testing – measures, data base; main achievements as well institutional responsibilities and funding sharing. Finally, poverty reduction policies, main responsibilities and activities undertaken in Belgium were presented.

Moreover, in Belgium few field visits in Ghent and in Brussels were organised. In Ghent MoCA delegation visited the social assistance innovative service delivery at Campus Prince Filip, the local center for public welfare of Ghent and the Care center "Het Heiveld" (providing service flats; local service center Wibier and active volunteers at Wibier).

In Brussels MoCA delegation visited the local center for public welfare of Saint-Gilles (municipality in Brussels). During this visit coordination of social services; participatory approach and collaboration with the private sector as well as structure and financing of the local center were presented and discussed. In addition, short visit to services users (cooking services) were organized.

The study visit gave an opportunity to MoCA delegation for sharing knowledge and practices on the policy design and policy making as well as holding practical exchanges with the central and local social assistance staff and NGOs.

Further cooperation will be continued during the coming activities of the Component 3 SPPR activities.

1.1.6 Human resources

The contract of the EU resident expert for Component 3, Ms Marzena Breza took effect on 23 December 2014. The EU resident expert of Component 3, within the Beijing Office in the assessed period was assisted by Ms Sun Wei and as from the end of January 2018 by Ms Iwona Rogacka-Hu. Component 3 is lacking operational Chinese expert mainly due to budget limitations and availability of Chinese experts in social assistance. This makes the daily cooperation with Chinese beneficiary (MoCA) of Component 3 much more challenging also from the perspective of limited efficiency of English communation between the Resident Expert and MoCA.

For Component 3 three Chinese experts were allocated during the period under review. Around 80 working days (under new budget arrangements) will be allocated among CN experts by the end of 2018. Around 40 working days is foreseen to be allocated in 2018 for the EU experts.

Table 7 – Human resourses in 2017, Component 3

EU-CHINA SOCIAL PROTECTION REFORM PROJECT COMPONENT THREE - MONITORING OF HUMAN RESOURCES - 2017 as for early November 2017							
#	CATEGORY			POSITION	DURATION W/D		INCUMBENT	OBSERVATIONS	
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT		
1		X		RESIDENT EXPERT			MARZENA BREZA	FULL-TIME / INCLUDING PILOTS WHEN DEFINED
2			X	COMPONENT ASSISTANT			Miao Yijun/Sun Wei	PART-TIME / INCLUDING PILOTS WHEN DEFINED
3	X			1. RESEARCH 3.2.2 Optimization of Social Assistance – Procedures and Eligibility Criteria for Social Assistance Benefits	max 40		Yao Jianping	
4	X			2. RESEARCH 3.2.3 Overall coordination of social assistance resources in China	max 40		Haomiao Zhang	
5	X			3. RESEARCH 3.2.4 Fraud in social assistance: identification, coping strategy and prevention	max 40		Lin Yi	
6	X	X		CONSULTANCY FOR THE WORKSHOP MEETING ON ASSESMENTS OF SOCIAL ASSITANCE IN CHINA	within research study budget line	4000 EURO EACH	1 EU EXPERT - MIHAI MAGHERU 1 CN EXPERT - GUAN XINPING	IN CHINA	Jun-17
7	X			RESEARCH ON COMPONENT 3 PILOTS PROGRAM AND FINAL POLICY RECOMMENDATION REPORT ON TOPICS 3.1.1&3.1.2&3.1.3&3.1.4&3.2.1&3.3.1	within research study budget line	4000 euro	1 CN EXPERT - GUAN XINPING	IN CHINA	Oct-17
8		X		CONSULTANCY FOR THE 4TH C3 PANEL DISCUSSION ON THE TOPICS: 3.2.2&3.2.3 &3.2.4	0		not selected - postponed till 3rd Workshop in November 2017	IN CHINA	Jun-17
9		X		CONSULTANCY FOR THE 3RD WORKSHOP ON TOPICS: 3.2.2 & 3.2.3 & 3.2.4	max 45		6 EU EXPERTS FOR 5-10 working days each	IN CHINA	NOV 2017 - 3RD C3 WORKSHOP
10	x	x		TRAINING IN FRANCE - 2 WEEKS	1		EU EXPERT - Mihai Magheru	IN FRANCE

1.1.7   Visibility

C3 RE supports the team from the horizontal Secretariat with all related background information and papers performed under C3 activities for the purpose of publishing on the Project webpage on a regular basis.

C3 (like C1) has published Component Newsletters. All can be found on the Project website http://www.euchinasprp.eu/index.php/en/ as well.

Additionally, to improve the visibility of Component 3 EU-China SPRP, a special column on MoCA's official website information about the activities on Component 3 was created.

1.2   Activities

The current chapter presents a summary of activities conducted during the period under Component 3.

It follows the structure of the Plan of activities for 2017 adopted by the PAC meeting held on 22 February 2017 and as far as 2018 activities are concerened were adopted by PAC on 6 February 2018.

1.2.1 Situational analysis

The 2018 Activity plan for C3 endorsed by the PAC meeting on 6 February states that "under Component 3 three topics will be a subject of situational analysis in 2018, namely: 3.3.2, 3.3.3"

Situation analysis for the three topics has been conducted by three Chinese experts selected jointly by MoCA and the C3 resident expert and shared with the C3 Component Coordinator.

All topics will be a subject of an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

The evaluation of topics 3.3.2, 3.3.3, started in January/February as shown in table 8 below.

While the official requirement of the Project is to prepare reports in English, all authors agreed that the impact of their work would be more immediate if a Chinese version was also available for the direct use of national stakeholders. In case of Compoennt 3 main steps to ensure the CN and EN reports are undertaken by MoCA with some support of EU-China SPRP Office.

Following the experience of 2015&2016&2017, the project in 2018 has been implemented in close cooperation with MoCA, which is the main national stakeholder for Component 3 – 3 topics; see Table 8.

Table 8 – Schedule for situation analyses

R	No	Topic	Incumbent	Deadline for providing situation analyses
11	3.3.2	Providing services for social assistance beneficiaries	Ms Tian Rong CN expert 1	First draft report by May 2018 Final assessment report by mid July 2018
11	3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	Mr Gang Shuge CN expert 2	First draft report by May 2018 Final assessment report by mid July 2018

Coherence among the various situational analysis will be provided by mid-term peer review and quality control conducted by Chinese short-term experts working under the supervision of the C3 EU Resident expert and MoCA.

It is envisaged that an European expert will be provided for the situational analysis for each topic, in order to ascertain that the assessment reports correspond to the level and quality of information required allowing for proper matching with the corresponding EU experience in terms of best practices.

The output of this activity is to provide an adequate knowledge of Chinese situation related to the topic under consideration. The assessment reports in English document the findings and conclusions of the Chinese experts.

1.2.2 Cross-topic activities

1.2.2.1 Panel discussions

The 5th Panel discussion under the 2018 work plan to be hosted by MoCA was tentatively scheduled for the end of June, 2018 namely for topics 3.3.2; 3.3.3. For the time of panel discussion Component 3 will arrange EU experts mission to Beijing to share knowledge and EU best practises in the field of the reseach topics chosen for 2018 analysis.

1.2.2.2 Workshops on the analysis phase

The C3 activity plan for 2018 foresees a Workshop aiming at reviewing the topics analyzed in 2018.

The 4th C3 Workshop will be organised by MoCA in October 2018.

For the workshop short-term EU experts - one for each topic namely 3.3.2 and 3.3.3 will be presenting best practises and preliminary policy recommendations.

1.2.2.3 EU-China SPRP meeting Beijing Women's Federation

Considering the overall interst of EU-China SPRP Project a knowledge exchange meeting with Beijing Womens' Federation was organised based on the initiative undertaken by the Component 3 team. On 27 April 2018 Beijing Women's Federation Association for International Exchange hosted a international exchange meeting with EU-China Social Protection Reform Project (EU-China SPRP). The meeting was chaired and Ms Wang Shuixia (President of Beijing Women's Association for International Exchange) and by Ms Hou Huirong (Vice President Beijing Women's Association for International Exchange). EU-China SPRP was represented by Ms Marzena Breza (initiator of the meeting) and Mr Michele Bruni. Introduction to the role of social assistance in China in reference to women was given by Prof. Gang Shuge the director of the Women's Research Center of Beijing Academy of Social Sciences.

During the meeting several topics have been discussed. The main focus was given to research outputs on women's socio-economic conditions; women's rights; methods of support women's in Beijing (health care) as well as women's successful entrepreneurship trough start-ups. Some results of the international cooperation between China and several countries were also presented. SPRP experts shared key messages on the social policy (i.a. challenges on the ageing processes in Europe) and its impact on women in the EU countries. Some further policy reforms and initiatives on women's empowerment has been presented.

The exchange between SPRP and Women's Federation will be continued trough some activities planned for 2018.

1.2.2.4 Component management

During the period under review the team of C3 in Beijing has been limited to C3 Resident Expert and part-time Component Assistant as from February 2016. As from 1st January 2016 C3 team is supported by Secretariat – Expertise France.

Beside that cooperation with MoCA counterparts is functioning on a daily basis - regular joint meetings are held to review all pending, outstanding or proposed questions mainly coordinated by the C3RE.

1.2.3   Pilot Sites

Pilots for Component 3 practically started in March 2018 (the pilots execsice was preceded by a research executed by Prof. Guan Xinping 2017/2018). It was decided that pilots will be implemented in four different locations at county level (covering urban and rural areas). Considering the needs and development of social assistance in China the West and North China provinces are at the main interests for the pilot location. The pilots are being implemented in Inner Mongolia; Jillin; Sichuan and Jiangsu provinces and county/district level – one location at each and every province.

For smooth implementation of the pilot a local agency - training/research institution in the field of social security (Nanjing University Social Security Resech Center) was selected to ensure proper preparation of the pilots (executing baseline reports for the counties chosen for the pilots; organizing training; daily monitoring of the pilot process and their evaluation).

The first step is to set up the main goals and techniques to be used at pilot sites. For this purpose a research has been conducted. The output of the first research will be provided by (June) a team under the leadership of Nanjing University in a form of base line reprots (separate for each of the four locations). The final Program of the Component 3 pilot will be decided together with MoCA (central and provincisl level) based on the research and after considering of local condition in the pilots locations.

In the framework of Pilot exercise Component 3 will support MoCA by involving Chinese research institutions working in the field of social assistance as well as Chinese and European experts. The main assumption is to provide the county level with baseline reports; detailed program of the pilots to be undertaken; provide training for the social assistance staff; monitoring and evaluation of the pilot outputs.

The Component 3 trought implementing institution and in close cooperation wth MoCA will organize a training for MoCA staff dedicated to take part in a pilot. Activities to be undertaken will include: training in China for county and township social assistance staff. Before the pilots start there is a need of providing training to explain the goals of the pilot; actions to be undertaken and monitoring methods.

Finally, the pilot should provide a solid publication on the outputs of the pilots and their evaluation as well as possible follow up. This should include also other visibility actions on the pilot area.

Based on above Component 3 pilots could gather a reasonable number of social assistance officials getting professional knowledge and learning from experiences of the EU member states social assistance system. This, due to the internal rules and costs, is not feasible when such events are organized in Europe.

The very final result of implementation and execution of the pilots would be policy suggestions coming out from the pilots used for reform proposals within the legal framework of social assistance in China.

1.3 Planning for the next period

Lastly, a very preliminary preparatory work for planning 2019 acitvities has been undertaken. This should be a key elelement in the process on deciding on the extension of the Project as already requested by Chinese beneficiaries.

In compiling the best practices contributions from EU Member States, special attention will be paid to experiences and lessons learned of adjusting social assistance policies to adapt to economic crisis in EU Countries. 2 short-term EU experts will be recruited for the project (one to each of the topics) to prepare the EU best practices report (collect, analyze and compile most relevant EU best practices and their compatibility with the expectations and requirements of the Chinese stakeholders).

The best practices reports will be prepared by recruited EU short-term experts:

First batch on the occasion of Panel Discussion by June 2018 – for topics 3.3.2; 3.3.3.

Second batch on the occasion of Workshop by October 2018 – for topics 3.3.2; 3.3.3.

The output of this activity will be a documentation on EU best practices relevant to Chinese social protection reform listed priorities, while deliverables will be the best practices reports for each of the topics considered under Component 3 plan of activities for 2018, including a special contribution on experiences and lessons learned in addressing economic crisis, demographic change including ageing.

For the year 2018 the EU experts are foreseen for each topic separately for the panel discussion and the workshops. Additionally, several missions could be organized if requested by MoCA on specific topics according to the selection procedure under the supervision of the Consortium.

1.1.1. Policy dialog / Study assignments to EU countries

For the 2018 three EU activities are foreseen for Component 3.

In May-2018 under the leadership of MoCA a study visit to Lithuania and Sweden has been planned. The topic will refer to 2017 research issues, namely to social assistance overall resources; targeting and antifraud methods and prevention.

It is foreseen that the outputs of the study visit (mission report) will be shared within the Project.

	May/June 2018
–Study visit topic: Study visit on Social Assistance overall resources; targeting and antifraud methods and prevention	Participants / Duration

Lithuania and Sweden (non Consortium countries) – Topics 3.2.2;3.2.3;3.2.4	a. 6 participants, 8 days

In September a study visit on issues related to professionalization of social assistance staff and social workers; experimentation and innovation in social assistance (for most excluded people) and a multilateral cooperation for better implementation of social assistance policy. It is planned that a visit will be organized possibly to non-consortium EU countries.

	September 2018 (TBC)
Study visit - topic: Study visit on social assistance for vulnerable groups	Participants / Duration
Non- consortium (two) EU countries (TBC) – Topics 3.3.2&3.3.3	a.6 participants 8 days

In 2018 training activities for Component 3 are foreseen to be undertaken in China. The main target would be to offer training (based on previous research outputs) to the country and township level staff in locations selected as pilot sites.

1.1.2.Cross-topics activities

Panel discussion

Panel discussion for three interrelated topics has been tentatively scheduled for June 2018.

This Panel discussion will gather the Chinese experts in charge of the respective situational analysis, representatives of the interested Chinese stakeholders and the Component management. Consideration will be given to the possibility of arranging videoconference for the event, to facilitate participation of interested EU Member States specialists. However due to the time constrains it can be a challenging issue.

To support the Panel discussion sessions and the production of subsequent panel reports, the preliminary set of typical reform proposals to follow with the corresponding requirement to deepen knowledge on most relevant European best practices will be prepared. Ad hoc support will be provided by 2 Chinese and 2 European experts - one for each of the three reports.

Table 9 - EU best practices reports

R	No	Topic	Incumbent	Deadline for providing situation analyses
11	3.3.2	Providing services for social assistance beneficiaries	EU expert 1	First draft report by July 2018 Final assessment report by mid August 2018
11	3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	EU expert 2	First draft report by July 2018 Final assessment report by mid August 2018

The output of this activity will be a solid ground for a preliminary formulation of desirable areas for reforms under the concerned topics, and a selection of European best practices worth deepening for that purpose. Deliverables will be reports on the Panel discussion sessions prepared by Chinese and European experts.

ITEM	June 2018
	Participants / Duration
Panel discussion Topics: 3.3.2; 3.3.3.	20 participants, 1 day

Workshop on the Analysis Phase

This activity represents a logical continuation of the Panel discussion sessions. The workshop will represent an unique opportunity, after duly analyzing the Chinese situation and the most relevant EU best practices, to start considering a set of draft reform proposals concerning the variety of topics already introduced under Component 3 Plan of activities, 2018.

The 4th Component 3 Workshop has been tentatively scheduled for October 2018.

The Workshop will gather high level representatives of the Chinese stakeholders, Chinese and European experts and Component 3 representatives. Provisions could be made to facilitate attendance via videoconference of interested consortium members and project leadership. However due to the time constrains it can be a challenging issue.

The preparation, holding and subsequent reporting of the workshop would benefit from the support of 1 Chinese and 1 EU expert for each of the three topics recruited for the project. The results and conclusions of the Workshop would be of direct use in shaping reform proposals and designing related technical assistance activities, including development of ad hoc tools, as foreseen under the Grant application form Follow Up phase (Macro-activities 3.8 and ff.).

Table 10 - Policy recommendations reports

R	No	Topic	Incumbent	Deadline for providing situation analyses
11	3.3.2	Providing services for social assistance beneficiaries	EU expert 3 Tian Rong CN expert 1	First draft report by October 2018 Final assessment report by mid Early November 2018
11	3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	EU expert 5 FGang Shuge CN expert 2	First draft report by October 2018 Final assessment report by mid Early November 2018

Output of the Workshop will be a solid ground for considering further possible reform options to be finalised, and designing a programme of accompanying technical assistance and technical tools development to be implemented under project auspices. The deliverables will be the Workshop report including proposals for reform options on each of the three topics being a subject of situational analysis in 2018, and a first set of proposed activities for a technical assistance programme and the development of relevant technical tools under the framework of the project Component 3.

ITEM	October 2018
	Participants / Duration
Workshop to discuss first proposals for reform options, topics: 3.3.2; 3.3.	35 participants, 1 day

1.1.3. Pilot Sites

Pilots for Component 3 which started in March 2018 will be continued trough Septmeber 2018. Depending on the Project extension MoCA is potencialy interested to continue pilots exrcise and deep research activites undertaken within this action.

1.1.4.Deliverables

For 2018 within Component 3 deliverables are provided according to the work plan and activities perform through the year.

Table 11 – Component 3 - 2018 deliverables (preliminary list)

DATE	TITLE	AUTHOR	OBSERVATIONS
Feb 2018	2017 C3 Volume	Package of CN and EU final reports from 2017	EN/CN
March 2018	C3 1/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
May 2018	7th Component Status report	MRPiPS & C3RE	EN
May 2018	Study visit to Europe (1) background materials	Coordinated by MRPiPS C3RE	EN & possibly in CN
June 2018	Study visit to Europe (1) mission report	MoCA	EN&CN
June 2018	C3 2/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
June/July 2018	Assessment report on topic 3.3.2	CN expert 1	EN/CN
June/July 2018	Assessment report on topic 3.3.3	CN expert 2	EN/CN
June 2018	5th Panel Discussion meeting report	C3RE Comp 3 Assistant	EN
July 2018	BEST PRACTICES REPORT – 3.3.2	EU expert 1	EN
July 2018	BEST PRACTICES REPORT – 3.3.3	EU expert 2	EN
September 2018	Training in Europe background materials	Coordinated by MRPiPS	EN
September 2018	Training in Europe mission report	MoCA	EN&CN
September 2018	C3 3/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
Oct 2018	Policy recommendations / Best practices report 3.3.2	CN expert 1 / EU 3 expert	CN/EN&EN
Oct 2018	Policy recommendations / Best practices report 3.3.3	CN expert 2 / EU 4 expert	CN/EN&EN
Oct/Nov 2018	4th C3 Workshop report	C3RE Comp 3 Assistant	EN
November 2018	C3 4/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN

1.1.5. Human Recourses foreseen for 2018

Table 12 - Component 3 Human resources in 2018 (estimation)

#	CATEGORY			POSITION	DURATION W/D		INCUMBENT	OBSERVATIONS	
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT			
1		X		RESIDENT EXPERT			MARZENA BREZA	FULL-TIME / INCLUDING PILOTS WHEN DEFINED	
2			X	COMPONENT ASSISTANT			Iwona Rogacka-HU	PART-TIME / INCLUDING PILOTS WHEN DEFINED	
3	X			1. RESEARCH 3.3.2	max 40		Tian Rong		
4	X			2. RESEARCH 3.3.3	max 40		Gang Shuge		
5		X		CONSULTANCY FOR THE 5TH C3 PANEL DISCUSSION ON THE TOPICS: 3.3.2&3.3.3	max 30		2 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA	Jun-18
6	x	x		REEARCH AND OTHER KINDS OF SUPPORTING ACTIVITIES UNDERTAKEN BASED ON THE PILOTS NEEDS	Nanjing University		overall contract on implementing C3 pilots for a 30,000 euro contract	IN CHINA	April-Sep 2018
7		X		CONSULTANCY FOR THE 4th WORKSHOP ON TOPICS: 3.3.2 & 3.3.3	max 30		2 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA	September 2018 - 4th C3 WORKSHOP
8	x	x		TRAININGs IN CHINA WITHIN THE C3 PILOTS FRAMEWORK	see point 6		EU EXPERTS CN EXPERTS	CHINA	

EU-CHINA SOCIAL PROTECTION REFORM PROJECT COMPONENT THREE - MONITORING OF HUMAN RESOURCES - 2018 as for May 2018

1.1.6.Summary

Table 13 - Activities foreseen for 2018 (chronological order)

No	Topic	Date	location
1.	Situation analyses on topics 3.3.2; 3.3.3.	from January to July 2018	China
2.	Pilot sites • Inner Mongolia Autonomous Region, Hohhot City, Xincheng District • Jilin Province, Da'an City (county level) • Jiangsu Province, Zhangjiagang City (county level) • Sichuan Province, Dazhou City, Dachuan District	April-Sep 2018	China - Inner Mongolia; Jillin; Sichuan and Jiangsu provinces
3.	Study visit to Europe (1)	May/June 2018	Lithuania and Sweden

4.	Study visit to Europe (2)	September 2018	EU
5.	Panel discussion on topics 3.3.2; 3.3.3.	June 2018	Beijing-China
6.	Best practices reports -first batch (for Panel Discussion)	from June to July 2018	China/EU
7.	4th C3 Workshop on topics 3.3.2; 3.3.3.	September/October 2018	Beijing-China
8.	Best practices reports / policy recommendations (for 4th C3 Workshop)	October 2018	China/EU

Tentative number of short-term experts contributing to C3 activities held in China in 2018:

EU experts: 2 for Panel discussion in June 2018; 2 for the 3rd C3 Workshop in September. Each mission of the EU expert is foreseen for max 10 working days. Possibly several EU experts will be involved for the research/training activities of the purpose of the pilots in China to be decided at the later stage.

Chinese experts: 2 CN experts – one for each research topic selected for 2018 for max 40 w/d each of them. Possibly several CN experts will be involved for the research/training activities of the purpose of the pilots in China to be decided at the later stage.

1.3.1.1 Visibility

The new arrangement set up by the new horizontal Secretariat includes also the visibility of the activities. C3 team is supporting the page with all new C3 outputs on a regular basis.

1.4 Revised GANTT

Implementation of 2018 C3 plan of activities has been included in the revised C3 GANTT form. See Annex XV.

6. Annexes

6.1   Component 3

6.1.1 Annex X – Topics to be considered

Topics to be considered (2017&2018), Component 3[4]

Result	#	Topic	Date starts
R10	3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	January/February 2017
	3.2.3	Coordination of overall social assistance resources	January/February 2017
	3.2.4	Fraud in social assistance: identification, coping strategy and prevention	January/February 2017
R11	3.3.2	Social Assistance services for poor people and families – service delivery approach	January 2018
	3.3.3	Communication techniques and channels of public information on Social Assistance policy	January 2018

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[4] Incorporating the changes agreed upon between MoCA and Component 3, subsequently endorsed by the PAC on its 21 April 2015 meeting.

6.1.2 Annex XI – National stakeholders

Target Groups, Component 3	Final beneficiaries, Component 3
High level officials of NDRC	Rural people / farmers
High level officials, managers and staff of MoF	Rural landless households
High level official of managers and staff MoCA	Elderly / People in retirement age
High level officials of MoHRSS	Children (aged less 16)
High level officials of MoA	People with disabilities (physically or mentally)
High level officials, managers and staff of NHFPC	Patients
High level officials, managers and staff of LAO	Migrant workers
Members of CIRC	Indigent people
Representatives of ACWF	Unemployed people
Representatives of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC representatives	
Members of CSAF, CFPA, CFDP, CAA, CCF, NCF, CWDF, SCF, CCAFC, CSWF, RCSC	
Local administrators, officials and staff *	

6.1.3 Annex XII – Deliverables

Component 3 – deliverables under reviewed period

DATE	TITLE	AUTHOR	OBSERVATIONS
November/December 2017	Study visit to Europe – Italy and belgium (3) background materials	Coordinated by MRPiPS Package of ppts (C3 Team)	EN/CN

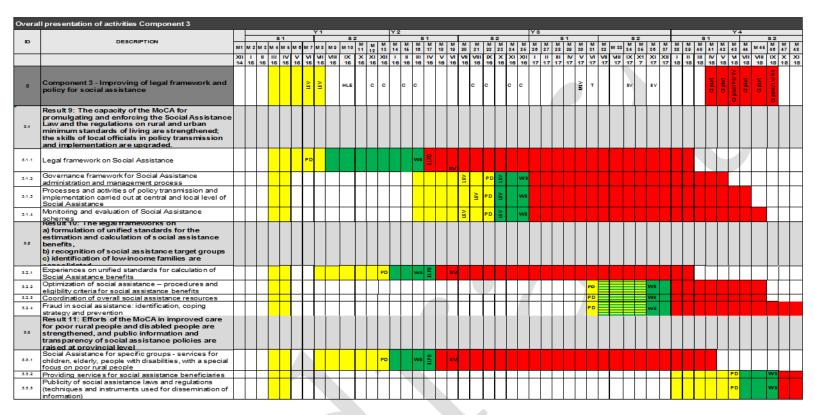| DATE	TITLE	AUTHOR	OBSERVATIONS
Dec 2017	Study visit to Europe (3) mission report	MoCA	EN/CN
Dec 2017	Best practices report 3.2.2	Julien Van Geertsom EU 1 expert	CN/EN
Dec 2017	Best practices report 3.2.3	Heinz-Dietrich Steinmeyer EU 2 expert	CN/EN
Dec 2017	Best practices report 3.2.4	Stephane Pacaud EU 3 expert	CN/EN
Dec 2017	Policy recommendations reports (2) 3.2.2	Yao Jianping CN expert 1 / Lacrami-oara Corches EU 4 expert	CN/EN
Dec 2017	Policy recommendations reports (2) 3.2.3	Zhang Haomiao CN expert 2 / Jean Yves Hocquet EU 5 expert	CN/EN
Dec 2017	Policy recommendations reports (2) 3.2.4	Lin Yi CN expert 3 / Katharina Hackstein EU 6 expert	CN/EN
Dec 2017	3rd C3 Workshop report	Marzena Breza Comp 3 Assistant	EN
Dec 2017	C3 Newsletter 4/2017	Marzena Breza Comp 3 Assistant	EN/CN
Feb 2018	2017 C3 Volume	Package of CN and EU final reports from 2017 3 publications one for each of the topics	EN/CN
March 2018	C3 1/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
May 2018	7th Component Status report	MRPiPS & C3RE	EN

6.1.4 Annex XIII – Quarterly Dashboard 2018

<mark>In a separate xls file</mark>

6.1.5 Annex XIV – Tentative work plan until November 2018 <mark>in a separate xls file</mark>

6.1.6 Annex XIV – Revised GANTT

Overall presentation of activities Component 3

ID	DESCRIPTION	Y1 / Y2 / Y3 / Y4 (M1–M48) activity chart
3	Component 3 - Improving of legal framework and policy for social assistance	AT1, AT1, HLE, C, C, C, C, C, C, C, C, MSV, T, SV, SV, CI pilot, CI pilot, CI pilot / EU SV, CI pilot, CI pilot, CI pilot / W/S
3.1	**Result 9: The capacity of the MoCA for promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living are strengthened; the skills of local officials in policy transmission and implementation are upgraded.**	
3.1.1	Legal framework on Social Assistance	PD, WS, HLPD, SV
3.1.2	Governance framework for Social Assistance administration and management process	AT1, PD, AT1, WS
3.1.3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	AT1, PD, AT1, WS
3.1.4	Monitoring and evaluation of Social Assistance schemes	AT1, PD, AT1, WS
3.2	**Result 10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups c) identification of low-income families are consolidated.**	
3.2.1	Experiences on unified standards for calculation of Social Assistance benefits	PD, WS, HLPD, SV
3.2.2	Optimization of social assistance – procedures and eligibility criteria for social assistance benefits	PD, WS
3.2.3	Coordination of overall social assistance resources	PD, WS
3.2.4	Fraud in social assistance: identification, coping strategy and prevention	PD, WS
3.3	**Result 11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level**	
3.3.1	Social Assistance for specific groups - services for children, elderly, people with disabilities, with a special focus on poor rural people	PD, WS, HLPD, SV
3.3.2	Providing services for social assistance beneficiaries	PD, WS
3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	PD, WS

LEGENDA

(yellow)	Identification Phase: Situation analysis, Identification and review of possible relevant EU experience, Workshop with Stakeholders on the Identification Phase.
(green)	Analysis Phase: Specific analysis of relevant EU experience, Training/visits to EU countries, Workshop with Stakeholders on the Analysis Phase, Elaboration of reform proposal.
(red)	Follow-up Phase: Follow-up and ongoing technical assistance and tool development, Training needs analysis and training definition, Possible Training conducted (EU and/or China), Possible pilot application, Evaluation of follow-up phase and lesson learned.
MSV	Ministerial study visit
SV	Study visit abroad
PD	Panel Discussion
WS	Workshop
HLPD	High Level Policy Dialog
C	Technical consultancy by European expert
HLE	First High Level Event on Social Assistance