

Eighth Six-months Component 3 Status Report

17 May 2018 – 16 November 2018

Report submitted by the
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DRAFT

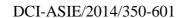


November 2018



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EU-China Social Protection Reform ProjectEighth Component Status Report







Relevant Acronyms

Acronym	Description
ACFTU	All-China Federation of Trade Unions
ACWF	All-China Women's Federation
ADECRI	Agence pour le Développement et la Coordination des Relations Internationals (Agency for the
CEC	China Entreprise Confederation
CASS	China Academy of Social Science
CIRC	Chinese Insurance Regulatory Commission
EN3S	Ecole Nationale Supérieure de Sécurité Sociale (National School of Advanced Social Security
ESF	European Social Fund
EU	European Union
EU MS	European Member State
EUD	European Union Delegation
IAC	Insurance Association of China
IASIA	International Association of Schools and Administration Institutes
IBRD	International Bank of Reconstruction and Development
ICT	Information and Communication Technology
ILO	International Labour Organization
IMC	Internal Management Committee
ISSA	International Social Security Association
LAC	Latin America & the Caribbean
M&E	Monitoring and Evaluation
MIS	Management Information System
MoA	Ministry of Agriculture
MoCA	Ministry of Civil Affairs
MoF	Ministry of Finance
MOFCO	Ministry of Commerce
NHFPC	National Health and Family Planning Commission;
MoHRSS	Ministry of Human Resources and Social Security
NDRC	National Development and Reform Commission
NGO	Non-Governmental Organization
OECD	Organization for Economic Co-operation and Development
OHS	Occupational Health & Safety
OPHRD	Operational Programme Human Resources Development
PAC	Project Advisory Committee
SAFEA	State Administration of Foreign Experts Affairs
SAI	Shanghai Administration Institute
SAWS	State Administration for Work Safety
SOCIEUX	Social Protection European Union Expertise in development cooperation
UNDP	United Nations Development Programme



Introduction

The eighth Component 3 Status Report presents activities of the Component 3 in the six months from 17 May 2018 to 16 November 2018.

Activities within Component 3 during the eight period of implementation focused mainly on finishing the analyses of two topics agreed in Action plan for 2018 for Component 3, organisation of events enabling the exchange of the opinions and best practices and performing the pilot activities in China.

The analysis of the following topics has been finalized in the reviewed period:

- 3.3.2 Providing services for social assistance beneficiaries
- 3.3.3 Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)

The main priority was aimed at completing the third result of the Project (R11 - Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level).

In the reviewed period C3 focused on the extended exchange of EU practices and recommendations.

On June 23rd 2018 MoCA hosted the 5th Panel Disucssion. The event was supported by two senior EU experts, 2 China short-term experts and several external senior experts, central and local level MoCA staff members specialising in the topics discussed.

On 25th September 2018 MoCA hosted the 4th Component 3 Workshop on standards in the social assistance benefits, social services scheme and publicity of social assistance policy. The event was supported by three senior EU experts and Chinese scholars, including experts contributing to the Component 3 EU-China SPRP research activities.

Within the period under review several activities related to C3 pilots were organized: holding kick-off meeting and official launch of the Component 3 pilots, conducting a study visit to pilot site in Inner Mongolia, training session for local staff implementing C3 pilots and Social Assistance Comprehensive Reform Pilot Area Exchange Conference - training session at the Nanjing University for the C3 SPRP pilot sites.

MoCA held a study visit to Lithuania and Sweden (May/June 2018) and to Slovenia and Czech Republic (October 2018).

In May MoCA held a study visit on Social Assistance legal framework; overall resources; social assistance benefits' targeting and development of social services to Lithuania and Sweden. In October Component 3 SPRP organised a study visit to Slovenia and Czech Republic for MoCA central and provincial level staff members.

The visibility of the Project has been improved thanks to better implementation of the project website and a special column on MoCA's official website dedicated to C3. Component 3 has been preparing bilingual newsletter during the period under review.

The period under review characterized also the ongoing excellent collaboration between MoCA, the C3 project team based in Beijing and Polish Ministry of Family, Labour and Social Policy.

Monika Szostak

Ministry of Family, Labour and Social Policy

Poland



This report describes implementation of activities under the Component 3 – support MoCA on Improving of legal framework and policy for social assistance - of the EU-China Social Protection Reform Project. It covers the period from 17 May 2018 to 16 November 2018.

1.1.1 Results pursued

Within Component 3 of the project there are 3 of 11 identified results - results R9 to R11 inclusive, namely:

- Promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living;
- Developing of legal framework on unified standards for defining social assistance benefits, target groups, low income families; and,
- Improving care for poor rural people and disabled people as well public information and transparency on social assistance policy.

MoCA is the main stakeholder and beneficiary for Component 3 activities.

Topics being explored in 2018 contributed mainly to the R11 ("Result 11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level").

1.1.2 Analysed topics

In 2018 under Component 3 two topics were being elaborated (based on the 2018 work plan adopted during the PAC meeting on 6 February 2018). See table 1. Component 3 was performing research activities related mainly to the topics 3.3.2 and 3.3.3. All topics were subject to an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

For the period under review identification and situation analysis for topics 3.3.2 and 3.3.3 have been finalized by the two Chinese experts as indicated in the Table 1.

Table 1 - Topics considered in 2018

ID	DESCRIPTION	R.	DATE STARTS
Compoi	nent 3 - Improving of legal framework and policy for social assistance		
3.3.2	Providing services for social assistance beneficiaries	11	January 2018
3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	11	January 2018



Topics 3.3.2, 3.3.3 are being under research activities as from January/February 2018 by two Chinese experts.

All finalised reports – assessment reports (Table 2) will be published in a C3 2018 volume for each of the three topics and have been shared with a broader audience via the Project website.¹

Table 2 – Situational analysis in 2018, Component 3

R	No	Topic	Incumbent	Output	Observation
11	3.3.2	Providing services for social assistance beneficiaries	CN expert 1 – Ms. Tian Rong	EN&CN report	Assessment report submit- ted to MoCA By mid July 2018
11	3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	CN expert 2 – Mr. Gang Shuge	EN&CN report	Assesment report submitted to MoCA By mid July 2018

The two Chiense expert after submitting the assemsnt reprots for topics 3.3.2 and 3.3.3 continued their research and provided by the time of 4th C3 Workshop policy recommendation reports (Table 3.)

Table 3 – Situational analysis – policy recommnedatio in 2018, Component 3

R	No	Topic	Incumbent	Output	Observation
11	3.3.2	Providing services for social assistance beneficiaries	CN expert 1 – Ms. Tian Rong	EN&CN report	Policy recommendation- report submitted to MoCA By mid November 2018
11	3.3.3	Publicity of social assistance laws and regulations (techniques and instruments used for dissemination of information)	CN expert 2 – Mr. Gang Shuge	EN&CN report	Policy recommendation- report submitted to MoCA By mid November 2018

1.1.3 Best practices

Best practises from EU countries were presented by th time of 5th Panel Discussion which was hosted by MoCA on June 23rd 2018. For the time of 5th Panel Discussion on the topics 3.3.2 and 3.3.3 Component 3 organised mission for two senior EU experts (Table 4.). The event was supported by two CN short-term experts and several external senior experts, central and local level MoCA staff members specialising in the topics discussed.

 $^{{\}color{blue}1 \, \underline{http://www.euchinasprp.eu/en/components-en/component-3-en/2016-reports/36-components-en/158-2017-reports/36-components-en/2016-reports/36-co$

Table 4 – Best practices reports in 2018 (within the time frame of this review), Component 3

TOPIC	TITLE	INCUBENTS ²	OUTPUT	OBSERVATIONS
3.3.2	Providing services for social assistance beneficiaries	Olivia Rusandu	1 report EN	Best practice report sub- mitted to MoCA by August 2018
3.3.3	Publicity of social assistance laws and regulations (techniques and in- struments used for dissemination of information)	Jan De Coninck	1 report EN	Best practice report submitted to MoCA by July 2018

The 4th Component 3 Workshop was hosted by MoCA on 25th September 2018. Based on the briefing of Chinese social assistance in the field of 2018 topics as well as discussion and exchanges between Chinese and EU best practises report for the three topics 3.3.2 and 3.3.3 were provided.

The implementation of the project proved that in order to increase the relevance and to improve the focus of exposure to European best practices under each of the related topics, the selection of European best practices should respond to the needs identified by the Chinese counterparts.

The EU best practises in a from of policy recommendation reports were futher elaborated by other three EU senior experts who executed reports in reference to each of the 2018 research topics. Please see table 5.

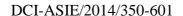
Table 5 – Policy recommendations in 2018 (within the time frame of this review), Component 3

TOPIC	TITLE	INCUBENTS ³	OUTPUT	OBSERVATIONS
3.3.2	Standards in social assistance benefits and services - legal framework, stakeholders, implementation, governance and administration with specific focus on social services	Camille Lambinion	1 report EN	Policy recommendation report to be submitted to MoCA by mid November 2018
Pilot related topics and 3.3.2	Standards in social assistance benefits and services - legal framework, stakeholders, implementation, governance and administration with specific focus on cash benefits including minimum income scheme and benefits for most vulnerable groups (disabled, children, etc.)	Christian Moutier	1 report EN	Policy recommendation report to be submitted to MoCA by mid November 2018
Pilot related topics and 3.3.2	Standards in social assistance benefits and services - legal framework, stakeholders, implementation, governance and administrationwith specific focus on the institutional coordination of complex system of bene-	Pavel Janecek	1 report EN	Policy recommendation report to be submitted to MoCA by mid November 2018

² The EU experts mission to Beijing lasted on June 21st- 28th, 2018.

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³ The EU experts mission to Beijing lasted on September 24th - 28th, 2018.







fits and services within social assistance scheme from a perspective of transition country

<u>September 25th, 2018 - 4th Component 3 Workshop on standards in the social assistance benefits, social services scheme and publicity of social assistance policy</u>

On September 25th, 2018 Ministry of Civil Affairs, the main stakeholder of Component 3 EU-China SPRP hosted the 4th Workshop. The discussion was co-chaired by Ms Jiang Wei, Deputy Director General of Social Assistance Department of MoCA. Welcoming speeches were delivered by Mr Liu Xitang and Ms Marzena Breza, Component 3 EU resident expert. Among some 30 participants were high level officials from central and local MoCA, as well as from the Center of Monitoring and Verification for Low Income Families of MoCA and Chinese researchers and scholars. The event was supported by Chinese scholars (including - experts contributing to the Component 3 EU-China SPRP research activities and EU-China SPRP Office representatives. The main goal of the event was to present first research outputs on the issues related to social services (Prof. Tian Rong) and dissemination policy of social assistance (Prof. Gang Shuge). Presentations on these topics were followed by comments and suggestions from the EU experts, Ms. Camille Lambinion and Mr. Christian Moutier and Mr. Pavel Janeček on the overall standards of social assistance benefits and services. The Workshop gave an opportunity to exchange knowledge on issues related to in-kind benefits and information on policy based on best practices in the EU countries and preliminary policy recommendation for China.

The main conclusion of the event is to continue work on development of social services schemes in China as a complementary approach to the existing programs of social assistance in China.

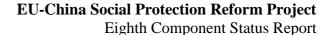
Pilot Sites

Pilots for Component 3 practically started in March 2018 (the pilots execise was proceeded by a research executed by Prof. Guan Xinping). It was decided that pilots will be implemented in four different locations at county level (covering urban and rural areas). Considering the needs and development of social assistance in China the west and north China provinces were at the main interest for the pilot location. The pilots are being implemented in Inner Mongolia; Jillin; Sichuan and Jiangsu provinces and county/district level – one location at each and every province.

For smooth implementation of the pilots a local agency - training/research institution in the field of social security (Nanjing University Social Security Research Center) was selected to ensure proper preparation of the pilots (executing baseline reports for the counties chosen for the pilots; organizing training; daily monitoring of the pilot process and their evaluation).

The first step was to set up the main goals and techniques to be used at pilot sites. For this purpose a research has been conducted. The output of the first research was provided by (June) a team under the leadership of Nanjing University in a form of base line reprots (separate for each of the four loca-







tions). The final Program of the Component 3 pilot has been decided together with MoCA (central and provincial level) based on the research and after considering of local condition in the pilots locations.

In the framework of Pilot exercise Component 3 supports MoCA by involving Chinese research institutions working in the field of social assistance as well as Chinese and European experts. The main assumption is to provide the county level with baseline reports; detailed program of the pilots to be undertaken; provide training for the social assistance staff; monitoring and evaluation of the pilot outputs.

The Component 3 trough implementing institution and in close cooperation with MoCA organized a training for MoCA staff dedicated to take part in a pilot. Activities to be undertaken include: training in China for county and township social assistance staff. Before the pilots start, there is a need of providing training to explain the goals of the pilot; actions to be undertaken and monitoring methods.

Finally, the pilot should provide a solid publication on the outputs of the pilots and their evaluation as well as possible follow up. This should include also other visibility actions on the pilot area.

Based on above Component 3 pilots could gather a reasonable number of social assistance officials getting professional knowledge and learning from experiences of the EU member states social assistance system. This, due to the internal rules and costs, is not feasible when such events are organized in Europe.

The very final result of implementation and execution of the pilots would be policy suggestions coming out from the pilots used for reform proposals within the legal framework of social assistance in China.

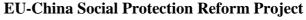
Within the period under review several activities related to C3 pilots were organised:

Component 3 launching four pilot sites August 23rd, 2018

Component 3 SPRP together with Ministry of Civil Affairs P.R.China following 2018 work plan organised a kick off meeting for the pilots activities within the EU-China SPRP Project. The meeting was co-chaired by Mr Liu Xitag, Director General of the Social Assistance Department of MoCA and Ms Marzena Breza, EU resident expert of Component 3. Among 20 participants were central, provincial and local MoCA staff members and Prof. Lin Mingang presenting the main outputs of the research conducted in four pilot sites (baseline reports). Representatives of the local pilot authorities introduced the main targets of the pilot programs. EUD representative and SPRP Beijing Office staff were joining the event and discussion held.

Component 3 Pilot sites were chosen among several provinces and considering their different socio-economic characteristics. Component 3 pilot projects are being implemented in four regions: Inner Mongolia Autonomous Region, Hohhot City, Xincheng District; Jilin Province, Da'an City (county level); Jiangsu Province, Zhangjiagang City (county level); Sichuan Province, Dazhou City, Dachuan District.

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Prior to the Component 3 pilot kick of meeting several activities were already undertaken by MoCA, Component 3 team and Chinese scholars like: research on the possible pilot programs (drafted by Prof. Guan Xinping from Nankai University); baseline reports prepared under the leadership of prof. Lin Mingang from Nanjing University and pilot operational programs individualized for four pilot sites with the main input coming from local MoCA.

The main goal of the four pilot projects is to implement new instruments and methods in the social assistance scheme depending on the local challenges identified by the groups of researchers working together with MoCA. The new approach to social assistance benefits and services will included the lesson learned from the EU-China knowledge and practice exchanges reached throughout the Project activities (research, policy dialogs, trainings, study visits, experts' missions, etc.). Each pilot sites decided on different pilot program however outputs of this exercise should bring reforms proposals in the overall social assistance system in China.

September 25th, 2018 – Training session for C3 pilot sites MoCA staff

Continuing the EU-China exchanges on practices and knowledge in the field of social assistance a training session for local staff implementing C3 pilots was organized.

EU experts (Ms. Camille Lambinion, Mr. Christian Moutier and Mr. Pavel Janeček) were providing EU practices input on targeting vulnerable groups, setting standards and involving social organizations in the overall social assistance system. Special focus was given to the data exchange and existing data base of social assistance and their use for monitoring and evaluation purposes. The first training offered to the local staff was aiming to share the practical examples of dealing with issues at grassroot level. One of the main interests of local MoCA staff was to share administrative and procedural practices and challenges when identifying people living in poverty.

Some other training session for the local pilot sites are undertaken under the coordination of Nanjing University Research Center for Social Security and provided by Chinese leading experts in the field of social assistance.

<u>September 26th - 27th, 2018 Component 3 SPRP Visit to Inner Mongolia province – EU-China exchanges on social assistance at the local level</u>

EU experts and C3 SPRP team took part in the visit to pilot site in Inner Mongolia Province to Hohhot city. The main target was to keep dialogue open on the main current and future Chinese characteristics for social assistance by sharing EU countries examples. The visit was organized under the leadership of Social Assistance Department of MoCA with a great support coming from provincial and city level MoCA of Hohhot city. The main aim of the visit was to give an opportunity to the EU and Chinese site for practical exchanges and learning on existing solutions in social assistance standards, administration and governance. This visit was an opportunity for the EU experts to learns more on the main challenges in social assistance implementation which will be taken into account when providing policy recommendations to China on specific topics.

Social Assistance Comprehensive Reform Pilot Area Exchange Conference -



Training session at the Nanjing University for the C3 SPRP pilot sites

Nanjing University with cooperation of the Ministry of Civil Affairs P.R. China hosted a training session for the four pilot sites taking part in Component 3 SPRP. In the event took part several other MoCA staff members from Shanghai, Changning District; Jiangsu Province, Siyang County; Guangxi Autonomous Region, Linshan County; Hunan Province, Xiangtan City and Shandong Province, Linyi County.

This event was an opportunity to have knowledge exchanges between different MoCA staff members working on social assistance to share main challenges, targets and methods used for the current social assistance scheme in China.

Prof. Lin Mingang coordinating the C3 pilot sites introduced to the audience baseline reports and main issues related to social assistance delivery in C3 SPRP pilot sites. Local MoCA staff gave presentations on their pilot programs and main achievements.

The C3 pilots will continue to support local MoCA by offering trainings and testing new methods used for the social assistance delivery.

1.1.4 Stakeholders

The main Chinese stakeholder for Component 3 of the Project is the Ministry of Civil Affairs (MoCA). Department of Social Assistance in MoCA is the lead technical unit for Component 3.

Annex XI to this report lists national stakeholders – target audience and beneficiaries – for C3 activities, as described in the report "Audience for Project results".

The list could be updated in line with pilot sites which will be identified on a later stage according to the MoCA indication.

Under the reviewing period Component 3 Team took part in the XIV International Forum on Social Security organized in Dalian, 15-16 September 2018. The Forum was hosted by China Association of Social Security, FES Beijing Representative Office and ILO Country Office for China and Mongolia. On the invitation of the CASS Ms. Marzena Breza C3 resident expert provided a speech on the topic: "Social Assistance Schemes in the EU – some challenges and lessons learned for China". The event was gathering main researchers from the social security fields and was an opportunity to have extended discussion on the main challenges in terms of social policy in China.

MoCA and Component 3 contributed to the conference on Population Ageing in China: Impact and Policy Scenarios organized on the occasion of the International Day of Older Persons. Ministry of Civil Affairs and EU resident expert of the Component 3 SPRP took part in the event by sharing the project outputs in terms of social assistance and social services for elderly. Ms. Marzena Breza provided a speech on the Project outputs in terms of social assistance programs.

Component 3 EU resident expert was a panelis at the Annual Meeting of Dialogues among Civilizations Forum – Dialog on the role of social organizations in modern society. Based on the mutual cooperation EU resident expert of the Component 3 SPRP took part in the event co-organized by Beijing Women's Federation. This event was stressing the role of social organizations in terms of current challenges of the society.

1.1.5 Overseas activities

Component 3 work plan for 2018 included two-three EU activities (two study visits and ministerial visit) for MoCA delegation to several EU countries.

In the period of this report review MoCA held a study visit to Lithuania and Sweden (May/June 2018) and to Slovenia and Czech Republic (October 2018).

In May under the coordination of Component 3 SPRP Ministry of Civil Affairs P.R.China was having exchanges in Lithuania in Sweden. MoCA hold a study visit on Social Assistance legal framework; overall resources; social assistance benefits' targeting and development of social services to Lithuania and Sweden.

In Lithuania MoCA was having an exchange meeting with the Ministry of Social Security and Labour. The main topics of the meeting were: Family policy and demographic strategy, Social services, Social integration of the disabled, Social support for families and children. The visit was an opportunity to have some knowledge sharing at the local level hosted by the Elektrenai municipality and Trakai district municipality. The main issues addressed were linked to identification of social assistance beneficiaries, social assistance access criteria, assessment process of benefit applications and fraud prevention. In addition organisation of social services and provision of cash support to deprived people and cooperation between municipalities and NGO's have been presented.

The study visit to Lithuania was supported by performing field visits to Support for the Family and Children Center and Disabled Employment Center both in Trakai. The aspects shared by the staff were: psychosocial assistance; family skills development and socio-cultural services; and positive parenthood training.

In Sweden MoCA on the invitation of the Ministry of Health and Social Affairs took part in an information and knowledge sharing meeting with Swedish ministerial experts on family policy (i.a. parental insurance and benefits for parents; cash benefits for families with children), social services; and benefits and services for people with disabilities.

The visit was continued by holding a meeting the National Board of Health and Welfare. The topics for presentation and discussion were: social care, support to children and to persons with disabilities.

During the visit to Sweden MoCA took part in field visits to Botkyrka kommun (residential care center for vulnerable groups) and Silviahemmet – NGO educating assistant nurses and nurses for elderly.

The study visit gave an excellent opportunity to MoCA delegation for sharing knowledge and practices on the policy design and policy making as well as having practical exchanges with the central and local social assistance staff and NGOs.



In October 21-28th, 2018 Component 3 SPRP organised study visit to Slovenia and Czech Republic for MoCA central and provincial level staff members.

During the visit to Slovenia exchange meeting with the Ministry of Labour, Family, Social Affairs and Equal Opportunities was held. During the meeting introduction to the social protection system, cash benefits and IT system support for the social assistance system and separately special support scheme for vulnerable groups were presented.

Study visit was an opportunity for practical exchanges during the field visits to care centers in Tržič co-provided by different providers (state, municipalities and private-NGOs) and social work center. MoCA delegates had an opportunity to learn the comprehensive approach to vulnerable groups which is used for the social policy implementation.

In the Czech Republic delegates took part in an exchange meeting with the ministerial and local level staff working for social assistance. The main focus was given to social services and social work. Social assistance benefits were presented from the perspective of assessment procedures and standards used for the benefits schemes in Czech Republic.

On the occasion of the study visit to Czech Republic a field visit to social services was organized in Tlockov.

Follow up of the visit will be continued through the coming Component 3 SPRP activities.

1.1.6 Human resources

The contract of the EU resident expert for Component 3, Ms Marzena Breza took effect on 23 December 2014. The EU resident expert of Component 3, within the Beijing Office in the assessed period was assisted by Ms Iwona Rogacka-Hu as from the end of January 2018. Component 3 is lacking operational Chinese expert mainly due to budget limitations and availability of Chinese experts in social assistance. This makes the daily cooperation with Chinese beneficiary (MoCA) of Component 3 much more challenging also from the perspective of limited efficiency of English communation between the Resident Expert and MoCA.

For Component 3 three Chinese experts were allocated during the period under review. Around 80 working days (under new budget arrangements) will be allocated among CN experts by the end of 2018. Around 30 working days were allocated in 2018 for the EU experts.

Table 5 – Human resourses in 2018, Component 3



	CATEGORY		^		DUBAT	ION W/D			OBSERVATIONS
#	CN	EU	OFFICE	POSITION	Doited		INCUMBENT		COSERVATIONS
	EXPERT	EXPERT	GITTOL		ALLOC.	CONTRA CT			
1		x		RESIDENT EXPERT			MARZENA BREZA	FULL	TIME / INCLUDING PILOTS WHEN DEFINED
2			x	COMPONENT ASSISTANT			Iwona Rogacka-HU	PART	TIME / INCLUDING PILOTS WHEN DEFINED
3	x			1. RESEARCH 3.3.2	max 40		Tian Rong		
4	x			2 RESEARCH 333	max 40		Gang Shuge		
5		x		CONSULTANCY FOR THE 5TH C3 PANEL DISCUSSION ON THE TOPICS: 3.3.283.3.3	14		2 EU EXPERTS (Jan De Conindx Olivia Rusandu) FOR 7 w/d EACH	IN CHINA	Jun-18
6	x	x		REEARCH AND OTHER KINDS OF SUPPORTING ACTIVITIES UNDERTAKEN BASED ON THE PILOTS NEEDS	Nanjing University		overal contract on implementing C3 pilots for a.30,000 euro contract	IN CHINA	April-Oct 2018
7		х	ı	CONSULTANCY FOR THE 4th WORKSHOP ON TOPICS: 3.3.2 & 3.3.3	15		3EU EXPERTS (Ms. Lambinion Mr. Moutier Mr. Janecek) FOR 5 w/d EACH	IN CHINA	September 2018 - 4th C3 WORKSHOP
8	x	x		TRAININGS IN CHINA WITHIN THE C3 PILOTS FRAMEWORK (back to back to the 4th C3 Workshop)	see point 7		3 EU EXPERTS		CHINA

1.1.7 Visibility

C3 RE supports the team from the horizontal Secretariat with all related background information and papers performed under C3 activities for the purpose of publishing on the Project webpage on a regular basis.

C3 (like C1) has published Component Newsletters. All can be found on the Project website http://www.euchinasprp.eu/index.php/en/ as well.

Additionally, to improve the visibility of Component 3 EU-China SPRP, a special column on MoCA's official website information about the activities on Component 3 was created.

1.2 Activities

The current chapter presents a summary of activities conducted during the period under Component 3.

It follows the structure of the Plan of activities for 2018 adopted by the PAC meeting held on 6 February 2018 and as far as 2019 activities are concerened activities are a part of the Project extension which currently being proceeded.

1.3 Planning for the next period

Lastly, a very preliminary preparatory work for planning 2019 acitvities has been undertaken. This should be a key element in the process on deciding on the extension of the Project as already requested by Chinese beneficiaries.

1.3.1 Situational analysis

Component 3 is continuously supporting MoCA in knowledge and information sharing on the legal framework on social assistance which mainly relates to Result 9: The capacity of MoCA for promulgating and enforcing the Social Assistance Law, it is therefore proposed to closely work with MoCA and to deepen the topic 1.1.1 Legal framework on Social Assistance by giving more focus to social

services provisions (since the cash benefits scheme has been elaborated in the early stage of the Project) and involving social organization in the social service delivery.

As a part of lessons learned within SPRP Project and increasing priorities of MoCA, Component 3 is giving more attention to operational – practical arrangements of social services and activities of social organization as key stakeholders of social assistance actions. To intensify working on Result 11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, it is suggested to continue developing pilot exercises.

Extension of the Project would therefore on one side enable to deepen key issues which arose during implementation of the Project and on the other side to ensure receiving appropriate practical experience in pilots, which implement lessons learned from the Project. Following the experience of previous four years, the project in 2019 will be implemented in close cooperation with MoCA, two topics assessment to be provided through cooperation with Chinese experts.

The Project (as in previous years) will ensure EU experts knowledge sharing with the main outputs – EU best practices reports.

Project will contribute to the Panel discussion / Workshop meeting to be organized for both new topics. Final result of research outputs will be submitting best practices / policy recommendation reports to MoCA.

Coherence among the various situational analysis will be provided by mid-term peer review and quality control conducted by Chinese short-term experts working under the supervision of the C3 EU Resident expert and MoCA.

Table 8 – Schedule for situation analyses

R	No	Торіс	Incumbent	Deadline for providing situation analyses
9	3.1.1A	Legal framework on Social Assistance focus on social services provisions	CN expert 1	First draft report by March 2019 Final assessment report by mid April 2019
9	3.1.1B	Legal framework on Social Assistance focus on involving social organization in the social service delivery	CN expert 2	First draft report by March 2019 Final assessment report by mid April 2019

It is envisaged that an European expert will be provided for the situational analysis for each topic, in order to ascertain that the assessment reports correspond to the level and quality of information required allowing for proper matching with the corresponding EU experience in terms of best practices.

The output of this activity is to provide an adequate knowledge of Chinese situation related to the topic under consideration. The assessment reports in English document the findings and conclusions of the Chinese experts.

1.3.2 Cross-topic activities



1.3.2.1 Panel discussion/Workshop

The Panel discussion/Workshop under the 2019 work plan to be hosted by MoCA was tentatively scheduled for the end of February/March, 2019 namely for topics 3.1.1A; 3.1.1.B. For the time Component 3 will arrange EU experts mission to Beijing to share knowledge and EU best practises in the field of the research topics chosen for 2019 analysis.

1.1.1. Cross-topics activities

Panel discussion/Workshop

Table 9 - EU best practices/policy recommendation reports

R	No	Topic	Incumbent	Deadline for providing situation analyses
9	3.1.1A	Legal framework on Social Assistance focus on social services provisions	EU expert 1	First draft report by March 2019 Final assessment report by mid April 2019
9	3.1.1B	Legal framework on Social Assistance focus on involving social organization in the social service delivery	EU expert 2	First draft report by March 2019 Final assessment report by mid April 20189

The output of this activity will be a solid ground for a preliminary formulation of desirable areas for reforms under the concerned topics, and a selection of European best practices worth deepening for that purpose. Deliverables will be reports on the Panel discussion/Workshop session prepared by Chinese and European experts.

ITEM	March 2018	
	Participants / Duration	
Panel discussion Topics: 3.1.1 A 3.1.1B	20 participants, 1 day	

The workshop will represent an unique opportunity, after duly analyzing the Chinese situation and the most relevant EU best practices, to start considering a set of draft reform proposals concerning the variety of topics already introduced under Component 3 Plan of activities, 2019.

The Component 3 Panel discussion/Workshop has been tentatively scheduled for Feb/March 2019.

constrains it can be a challenging issue.



The Workshop will gather high level representatives of the Chinese stakeholders, Chinese and European experts and Component 3 representatives. Provisions could be made to facilitate attendance via videoconference of interested consortium members and project leadership. However due to the time

The preparation, holding and subsequent reporting of the workshop would benefit from the support of 1 Chinese and 1 EU expert for each of the three topics recruited for the project. The results and conclusions of the Workshop would be of direct use in shaping reform proposals and designing related technical assistance activities, including development of ad hoc tools, as foreseen under the Grant application form Follow Up phase (Macro-activities 3.8 and ff.).

Output of the Workshop will be a solid ground for considering further possible reform options to be finalised, and designing a programme of accompanying technical assistance and technical tools development to be implemented under project auspices. The deliverables will be the Workshop report including proposals for reform options on each of the three topics being a subject of situational analysis in 2019, and a first set of proposed activities for a technical assistance programme and the development of relevant technical tools under the framework of the project Component 3.

1.1.2. Policy dialog / Study assignments to EU countries

For 2019 one EU activity, possibly study visit could be planned, to enable policy dialog meeting between MoCA and European Commission and Component Coordinator - Poland. This activity should ensure follow up actions to be undertaken after the Project is being completed.

It is foreseen that the outputs of the study visit (mission report) will be shared within the Project.

	May 2019
-Study visit topic: TBD	Participants / Duration
3 EU countries – Topics TBD	a. 6 participants, 10 days

1.1.3.Pilot Sites

The first pilot implementation started in late March 2018. Component 3 throughout the Project was executing 11 topics and MoCA expressed (already during the PAC meeting held on February 6, 2018) that the successful pilot implementation requires longer 'Project life'. EUD (at the same meeting) also stressed the issue of limited time for Component 3 pilot implementation as a possible obstacle to achieve appropriate results.

Therefore the main task of all Component 3 activities in the extended period of Project implementation will be dedicated to pilot exercise. The second phase, possible from November 2018 to May 2019, will ensure reaching practical and operational experience in the new methods in social assistance which are being developed based on the EU experiences.

Extended Component 3 pilot implementation will also ensure to exchange the lessons learned between four different pilots' locations in four provinces in China.

The key output of the Component 3 pilot will be a package of policy recommendations proposals for MoCA based on the preliminary policy recommendations shared with MoCA on annual basis (2015-2019) and the experiences, knowledge and suggestions coming out from the practical exercise – pilots.

A summing-up conference (back to back to the closing event) is planned to be organsied and possibly hosted by MoCA to enable exchanges, discussion nd lessod learned from all pilot sites.

3.2. Wrap up activities

Component 3 team as a member of the Project Task Force will take an active role in Project summing up activities. Component 3 will therefore coordinate providing a package of final policy recommendation for MoCA (covering all topics executed during the Project life). Some additional technical notes will be provided after the first (March-September/October 2018) and second (November 2018 – May 2019) phase of pilot implementation is completed. In addition, bibliography and memorabilia related to Component 3 (as a part of the Task Force activity) will be provided.

1.1.4.Deliverables

For Dec 2018-2019 within Component 3 deliverables are provided according to the work plan and activities perform through the year.

Table 11 – Component 3 – Nov 2018-2019 deliverables (preliminary list)

DATE	TITLE	AUTHOR	OBSERVATIONS
Nov 2018	8 th Component Status report	MRPiPS & C3RE	EN
Nov 2018	Mid-term report on C3 pilot implementation	Coordination C3RE	EN
Dec 2018	C3 4/2018 Newsletter	C3RE Comp 3 Assistant	EN/CN
March 2019	Panel Discussion/Workshop meet- ing report	C3RE Comp 3 Assistant	EN
March 2019	Assessment / Policy recommendations report on topic 3.1.1.A	CN expert 1	EN/CN
March 2019	Assessment report / Policy recommendations on topic 3.1.1.B	CN expert 2	EN/CN



DATE	TITLE	AUTHOR	OBSERVATIONS
March 2019	C3 1/2019 Newsletter	C3RE Comp 3 Assistant	EN/CN
April 2019	Policy recommendations / Best practices report 3.1.1.A	EU expert 1	CN/EN
April 2019	Policy recommendations / Best practices report 3.1.1.B	EU expert 2	CN/EN
May 2019	Visit to Europe background materials	Coordinated by MRPiPS C3RE	EN & possibly in CN
May 2019	9 th Component Status report	MRPiPS & C3RE	EN
June 2019	Study visit to Europe mission report	MoCA	EN&CN
June 2019	C3 2/2019 Newsletter	C3RE Comp 3 Assistant	EN/CN
June 2019	2019 C3 Volume	Package of CN and EU final re- ports from 2017	EN/CN
June/July 2019	Final C3 Pilot report (output of the C3 pilot summing up confer- ence)	Coordinated C3RE	EN/CN
June/July 2019	Final policy recommendation report	Coordinated C3RE	EN/CN
July 2019	Summing up C3 Project Newsletter	C3RE Comp 3 Assistant	EN/CN
July 2019	Task Force Project summing up documentation	C3RE Comp 3 Assistant	EN

1.1.5. Human Recourses foreseen for 2018

Table 12 - Component 3 Human resources in 2019 (estimation)

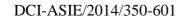
					DURAT	ATION W/D		OBSERVATIONS		
#	CN	EU	OFFICE	POSITION			INCUMBENT			
	EXPERT	EXPERT	OFFICE		ALLOC.	CONTRA				
1		x		RESIDENT EXPERT			MARZENA BREZA	FULL-TIME / INCLUDING PILOTS WHEN DEFINED		
			× D	CI-ASIE/2014/350	-601	F	Iwona Rogacka-HU			ject
3	x			1. RESEARCH 3.1.1.A	max 10		TBD)		port
4	x			2 RESEARCH 3.1.1.B	max 10		TBD			
5		x		CONSULTANCY FOR THE 6TH C3 PANEL DISCUSSION/Workshop ON THE TOPICS: 3.1.1 A&3.1.1.B	max 10		2 EU EXPERTS FOR 5 w/d EACH	IN CHINA	Feb/March 2019	
6	х	x	1	REEARCH AND OTHER KINDS OF SUPPORTING ACTIVITIES UNDERTAKEN BASED ON THE PILOTS NEEDS	TBD		overal contract on implementing C3 pilots for a.30.000 euro contract in 2018-2019	IN CHINA	Nov 2018/June 2019	
7	x	x		TRANINGS IN CHINA WITHIN THE C3 PILOTS FRAMEWORK	max 5 max 5		EU EXPERTS CN EXPERTS	CHINA		

1.1.6.Summary

Table 13 - Activities foreseen for 2019 (chronological order)

			A
No	Торіс	Date	location
1.	Situation analyses in depth on topics	from December 2018	China
	3.1.1.A; 3.1.1.B	to March 2019	
2.	Pilot sites (second phase)	November 2018 - May	China
		2019	
3.	Study visit to Europe	May 2019	EU
4.	Panel discussion/Workshop on topics	Feb/March 2019	Beijing-China
	3.1.1.A; 3.1.1.B		
5.	Best practices reports / policy recommen-	March/April 2019	China/EU
	dations		
6.	Final policy recommendation report	July/August 2019	China
7.	C3 pilot summing up conference (back to	July/August 2019	China
	back to the Project closing event)		

Tentative number of short-term experts contributing to C3 activities held in China between Nov 2018 - May 2019:



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EU experts: 2 for Panel discussion/Workshop in February/March 2019; Each mission of the EU expert is foreseen for max 5 working days. Additional EU expertise could be mobilized for C3 pilot sites.

Chinese experts: 2 CN experts – one for each research topic selected for 2018/2019 for max 10 w/d each of them.

1.3.2.2 Visibility

The new arrangement set up by the new horizontal Secretariat includes also the visibility of the activities. C3 team is supporting the page with all new C3 outputs on a regular basis.

1.4 Revised GANTT

Implementation of 2018 C3 plan of activities has been included in the revised C3 GANTT form. See Annex XV.

6. Annexes

6.1 Component 3

6.1.1 Annex X - Topics to be considered

Topics to be considered (Dec2018/2019), Component 3⁴

Result	#	Topic	Date starts
R9	3.1.1A	Legal framework on Social Assistance focus on social services provisions	December 2018
R9	3.1.1B	Legal framework on Social Assistance focus on involving social organization in the social service delivery	December 2018

⁴ Incorporating the changes agreed upon between MoCA and Component 3, subsequently endorsed by the PAC on its 21 April 2015 meeting.



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6.1.2 Annex XI – National stakeholders

Target Groups, Component 3	Final beneficiaries, Component 3
High level officials of NDRC	Rural people / farmers
High level officials, managers and staff of MoF	Rural landless households
High level official of managers and staff MoCA	Elderly / People in retirement age
High level officials of MoHRSS	Children (aged less 16)
High level officials of MoA	People with disabilities (physically or mentally)
High level officials, managers and staff of NHFPC	Patients
High level officials, managers and staff of LAO	Migrant workers
Members of CIRC	Indigent people
Representatives of ACWF	Unemployed people
Representatives of CSAF, CFPA, CFDP, CAA, CCF,	
NCF, CWDF, SCF, CCAFC, CSWF, RCSC representa-	
tives	
Members of CSAF, CFPA, CFDP, CAA, CCF,	
NCF, CWDF, SCF, CCAFC, CSWF, RCSC	
Local administrators, officials and staff *	

6.1.3 Annex XII – Deliverables

Component 3 – deliverables under reviewed period

DATE	TITLE	AUTHOR	OBSERVATIONS
May 2018	Study visit to Europe (1) back- ground materials	Coordinated by MRPiPS C3RE	EN & possibly in CN



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DATE	TITLE	AUTHOR	OBSERVATIONS
June 2018	Study visit to Europe (1) mission report	MoCA	EN&CN
June 2018	C3 2/2018 Newsletter	C3RE Comp 3 Assis- tant	EN/CN
June/July 2018	Assessment report on topic 3.3.2	CN expert 1	EN/CN
June/July 2018	Assessment report on topic 3.3.3	CN expert 2	EN/CN
June 2018	5 th Panel Discussion meeting report	C3RE Comp 3 Assis- tant	EN
July 2018	BEST PRACTICES REPORT – 3.3.2	EU expert 1	EN
July 2018	BEST PRACTICES REPORT – 3.3.3	EU expert 2	EN
September 2018	C3 3/2018 Newsletter	C3RE Comp 3 Assis- tant	EN/CN
October 2018	Study visit in Europe background materials	Coordinated by MRPiPS	EN
October/November 2018	Study visit in Europe mission report	MoCA	EN&CN
Sep/Oct 2018	4 th C3 Workshop report	C3RE Comp 3 Assis- tant	EN
Oct/Nov 2018	Policy recommendations / Best practices report 3.3.2	CN expert 1 / EU 3 expert	CN/EN
Oct/Nov 2018	Policy recommendations / Best practices report 3.3.3	CN expert 2 / EU 4 expert	CN/EN



6.1.4 Annex XIII – Quarterly Dashboard 2018

In a separate xls file

6.1.5 Annex XIV – Tentative work plan until July 2018 in a separate xls file

6.1.6 Annex XIV – Revised GANTT



Overall presentation of activities Component 3 **DESCRIPTION** Component 3 - Improving of legal framework and policy for social assistance Result 9: The capacity of the MoCA for promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living are strengthened; the skills of local officials in policy transmission and implementation are upgraded Legal framework on Social Assistance Legal framework on Social Assistance focus on social services provisions Legal framework on Social Assistance focus on involving social organization in the social service delivery 3.1.2 Governance framework for Social Assistance administration and management process

Processes and activities of policy transmission and 2.1.2 implementation carried out at central and local level of Social Assistance

3.1.4 Monitoring and evaluation of Social Assistance schemes Result 10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance 32 benefits, b) recognition of social assistance target groups c) identification of low-income families are Experiences on unified standards for calculation of 2.2.1 Social Assistance benefits Optimization of social assistance - procedures and 222 eligibilitycriteria for social assistance benefits 222 Coordination of overall social assistance resources Fraud in social assistance: identification, coping strategyand prevention
Result 11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level Social Assistance for specific groups - services for children, elderly, people with disabilities, with a special to cus on poor rural people Providing services for social assistance beneficiaries Publicity of social assistance laws and regulations 13.3 (techniques and instruments used for dissemination of information)

L EG END	A A
	Identification Phase: Situation analysis, Identification and review of possible relevant EU experience, Workshop with Stakeholders on the Identification Phase.
	Analysis Phase: Specific analysis of relevant EU experience, Training/visits to EU countries, Workshop with Stakeholders on the Analysis Phase, Elaboration of reform proposal.
	Follow-up Phase: Follow-up and ongoing technical assistance and tool development, Training needs analysis and training definition, Possible Training conducted (EU and/or China), Possible pilot application, Evaluation
	of follow-up phase and lesson learned.
MSV	Ministerial study visit
SV	Studyvisit abroad
PD	Panel Discussion
WS	Workshop
HLPD	High Level Policy Dialog
	Technical consultancy by European expert
HIE	First High Lavel Event on Social Assistance